

Lior Silberman's Math 412: Problem Set 8 (due 18/3/2023)

Practice

M1. Find the characteristic and minimal polynomial of each matrix:

$$\begin{pmatrix} 1 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}, \begin{pmatrix} 5 & 0 & 0 & 0 & 0 & 0 \\ 0 & 4 & 0 & 0 & 0 & 0 \\ 0 & 0 & 2 & 0 & 0 & 0 \\ 0 & 0 & 0 & 2 & 1 & 0 \\ 0 & 0 & 0 & 0 & 2 & 1 \\ 0 & 0 & 0 & 0 & 0 & 2 \end{pmatrix}, \begin{pmatrix} 5 & 0 & 0 & 0 & 0 & 0 \\ 0 & 2 & 1 & 0 & 0 & 0 \\ 0 & 0 & 2 & 0 & 0 & 0 \\ 0 & 0 & 0 & 2 & 1 & 0 \\ 0 & 0 & 0 & 0 & 2 & 1 \\ 0 & 0 & 0 & 0 & 0 & 2 \end{pmatrix}.$$

M2. Show that $\begin{pmatrix} 0 & 1 & \alpha \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix}$ are similar. Generalize to higher dimensions.

The Jordan Canonical Form

- For each of the following matrices, (i) find the characteristic polynomial and eigenvalues (over the complex numbers), (ii) find the eigenspaces and generalized eigenspaces, (iii) find a Jordan basis and the Jordan form.

$$A = \begin{pmatrix} 1 & 2 & 1 & 0 \\ -2 & 1 & 0 & 1 \\ 0 & 0 & 1 & 2 \\ 0 & 0 & -2 & 1 \end{pmatrix}, B = \begin{pmatrix} 0 & 1 & -1 & 0 \\ 0 & 0 & 0 & -1 \\ 1 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 \end{pmatrix}, C = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & -1 & 1 \\ -1 & -1 & 1 & 1 & -1 & 1 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 2 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}.$$

RMK I suggest computing by hand first even if you later check your answers with a CAS.

- Suppose the characteristic polynomial of T is $x(x-1)^3(x-3)^4$.
 - What are the possible minimal polynomials?
 - What are the possible Jordan forms?
- Let $T, S \in \text{End}_F(V)$.
 - Suppose that T, S are similar. Show that $m_T(x) = m_S(x)$.
 - Prove or disprove: if $m_T(x) = m_S(x)$ and $p_T(x) = p_S(x)$ then T, S are similar.
- Let F be algebraically closed of characteristic zero. Show that every $g \in \text{GL}_n(F)$ has a square root, in that $g = h^2$ for some $h \in \text{GL}_n(F)$.
- Let V be finite-dimensional, and let $\mathcal{A} \subset \text{End}_F(V)$ be an F -subalgebra, that is a subspace containing the identity map and closed under multiplication (composition). Suppose that $T \in \mathcal{A}$ is invertible in $\text{End}_F(V)$. Show that $T^{-1} \in \mathcal{A}$.

6. (The additive Jordan decomposition) Let V be a finite-dimensional vector space, and let $T \in \text{End}_F(V)$.

DEF An *additive Jordan decomposition* of T is an expression $T = S + N$ where $S \in \text{End}_F(V)$ is diagonalizable, $N \in \text{End}_F(V)$ is nilpotent, and S, N commute.

- (a) Suppose that F is algebraically closed. Separating the Jordan form into its diagonal and off-diagonal parts, show that T has an additive Jordan decomposition.
 (b) Let $S, S' \in \text{End}_F(V)$ be diagonalizable and suppose that S, S' commute. Show that $S + S'$ is diagonalizable.
 (c) Show that a nilpotent diagonalizable linear transformation vanishes.
 (d) Suppose that T has two additive Jordan decompositions $T = S + N = S' + N'$. Show that $S = S'$ and $N = N'$.

Supplementary problems: ℓ^p spaces

- A. For $\underline{y} \in \mathbb{C}^n$ and $1 \leq p \leq \infty$ let $\|\underline{y}\|_p$ be as defined in class.
- (a) For $1 < p < \infty$ define $1 < q < \infty$ by $\frac{1}{p} + \frac{1}{q} = 1$ (also if $p = 1$ set $q = \infty$ and if $p = \infty$ set $q = 1$). Given $x \in \mathbb{C}$ let $y(x) = \frac{\bar{x}}{|x|} |x|^{p/q}$ (set $y = 0$ if $x = 0$), and given a vector $\underline{x} \in \mathbb{C}^n$ define a vector \underline{y} analogously.
- (i) Show that $\|\underline{y}\|_q = \|\underline{x}\|_p^{p/q}$.
 (ii) Show that for this particular choice of \underline{y} , $|\sum_{i=1}^n x_i y_i| = \|\underline{x}\|_p \|\underline{y}\|_q$
- (b) Now let $\underline{u}, \underline{v} \in \mathbb{C}^n$ and let $1 \leq p \leq \infty$. Show that $|\sum_{i=1}^n u_i v_i| \leq \|\underline{u}\|_p \|\underline{v}\|_q$ (this is called *Hölder's inequality*).
- (c) Conclude that $\|\underline{u}\|_p = \max \left\{ |\sum_{i=1}^n u_i v_i| \mid \|\underline{v}\|_q = 1 \right\}$.
 (d) Show that $\|\underline{u}\|_p$ is a seminorm (hint: A(c)) and then that it is a norm.
 (e) Show that $\lim_{p \rightarrow \infty} \|\underline{v}\|_p = \|\underline{v}\|_\infty$ (this is why the supremum norm is usually called the L^∞ norm).
- B. Let X be a set. For $1 \leq p < \infty$ set $\ell^p(X) = \{f: X \rightarrow \mathbb{C} \mid \sum_{x \in X} |f(x)|^p < \infty\}$, and also set $\ell^\infty(X) = \{f: X \rightarrow \mathbb{C} \mid f \text{ bounded}\}$.
- (a) Show that for $f \in \ell^p(X)$ and $g \in \ell^q(X)$ (q as in A(a)) we have $fg \in \ell^1(X)$ and $|\sum_{x \in X} f(x)g(x)| \leq \|f\|_p \|g\|_q$.
 (b) Show that $\ell^p(X)$ are subspaces of \mathbb{C}^X , and that $\|f\|_p = (\sum_{x \in X} |f(x)|^p)^{1/p}$ is a norm on $\ell^p(X)$.
 (c) Let $\{f_n\}_{n=1}^\infty \subset \ell^p(X)$ be a Cauchy sequence. Show that for each $x \in X$, $\{f_n(x)\}_{n=1}^\infty \subset \mathbb{C}$ is a Cauchy sequence.
 (d) Let $\{f_n\}_{n=1}^\infty \subset \ell^p(X)$ be a Cauchy sequence and let $f(x) = \lim_{n \rightarrow \infty} f_n(x)$. Show that $f \in \ell^p(X)$.
 (e) Let $\{f_n\}_{n=1}^\infty \subset \ell^p(X)$ be a Cauchy sequence. Show that it is convergent in $\ell^p(X)$.

Hint for B(d): Suppose that $\|f\|_p = \infty$. Then there is a finite set $S \subset X$ with $(\sum_{x \in S} |f(x)|^p)^{1/p} \geq \lim_{n \rightarrow \infty} \|f_n\|_p + 1$.