

Lior Silberman's Math 539: Problem Set 3 (due 30/3/2016)

Convergence Dirichlet Series

1. (Convergence of Dirichlet series) Let $D(s) = \sum_{n \geq 1} a_n n^{-s}$ be a formal Dirichlet series. We will study the convergence of this series as s varies in \mathbb{C} .
 - (a) Suppose that $D(s)$ converges absolutely at some $s_0 = \sigma_0 + it$. Show that $D(s)$ converges uniformly absolutely in the closed half-plane $\Re(s) = \sigma \geq \sigma_0$.
 - (b) Conclude that there is an *abscissa of absolute convergence* $\sigma_{ac} \in [-\infty, +\infty]$ such that one of the following holds: (1) ($\sigma_{ac} = \infty$) $D(s)$ does not converge absolutely for any $s \in \mathbb{C}$; (2) ($\sigma_{ac} \in (-\infty, +\infty)$) $D(s)$ converges absolutely exactly in the half-plane $\sigma > \sigma_{ac}$ or $\sigma \geq \sigma_{ac}$; (3) ($\sigma_{ac} = -\infty$) $D(s)$ converges absolutely in \mathbb{C} . In cases (2),(3) the convergence is uniform in any half-plane whose closure is a proper subset of the domain of convergence.
 - (c) Suppose that $D(s)$ converges at some s_0 . Show that $D(s)$ converges in the open half-plane $\sigma > \sigma_0$, locally uniformly in every half-plane of the form $\sigma \geq \sigma_1 > \sigma_0$, and that $D(s)$ converges absolutely in the half-plane $\sigma > \sigma_0 + 1$.
 - (d) Conclude that there is an *abscissa of convergence* $\sigma_c \in [-\infty, \infty]$ such that one of the following holds: (1) ($\sigma_c = \infty$) $D(s)$ does not converge for any $s \in \mathbb{C}$; (2) ($\sigma_c \in (-\infty, +\infty)$) $D(s)$ converges in the open half-plane $\sigma > \sigma_c$ and diverges in the open half-plane $\sigma < \sigma_c$; the convergence is locally uniform in any half-plane $\sigma \geq \sigma_1 > \sigma_c$ (3) ($\sigma_c = -\infty$) $D(s)$ converges absolutely in \mathbb{C} . In cases (2) the convergence is uniform in any half-plane. Furthermore, σ_c and σ_{ac} are either both $-\infty$, both $+\infty$, or both finite, and in the latter case $\sigma_c \leq \sigma_{ac} \leq \sigma_c + 1$.
2. Let $D(s)$ have abscissa of absolute convergence σ_{ac} .
 - (a) Suppose $\sigma_{ac} \geq 0$. Show that $\sum_{n \leq x} |a_n| \ll_{\varepsilon} x^{\sigma_{ac} + \varepsilon}$.
 - (b) Suppose $\sigma_{ac} < 1$. Show that $\sum_{n > x} |a_n| n^{-1} \ll_{\varepsilon} x^{\sigma_{ac} + \varepsilon}$.
3. (Convergence of sums and products) Let $D_1(s) = \sum_{n \geq 1} a_n n^{-s}$ and $D_2(s) = \sum_{n \geq 1} b_n n^{-s}$, and let $(D_1 + D_2)(s) = \sum_{n \geq 1} (a_n + b_n) n^{-s}$, $(D_1 \cdot D_2)(s) = \sum_{n \geq 1} c_n n^{-s}$ where $c = a * b$ is the Dirichlet convolution.
 - (a) Show that the domain of absolute convergence of $D_1 + D_2$ and $D_1 D_2$ is at least the intersection of the domains of absolute convergence of D_1, D_2 .
 - (**b) (Mertens) Suppose that D_1, D_2 have abscissa of convergence σ_c . Show that $D_1 D_2$ has abscissa of convergence at most $\sigma_c + \frac{1}{2}$.
4. (Uniqueness of Dirichlet series) Suppose that $D(s) = \sum_{n \geq 1} a_n n^{-s}$ converges somewhere.
 - (a) Suppose that $a_n = 0$ if $n < N$ and $a_N \neq 0$. Show that $\lim_{\Re(s) \rightarrow \infty} N^s D(s) = a_N$.
 - (b) Suppose that $D_2(s) = \sum_{n \geq 1} b_n n^{-s}$ also converges somewhere, and that $D(s_k) = D_2(s_k)$ for $\{s_k\}$ in the common domain of convergence such that $\lim_{k \rightarrow \infty} \Re(s_k) = \infty$. Show that $a_n = b_n$ for all n .

5. (Landau's Theorem; proof due to K. Kedlaya) Let $D(s) = \sum_{n \geq 1} a_n n^{-s}$ have non-negative coefficients.
- Show that $\sigma_c = \sigma_{ac}$ for this series.
 - Suppose that $D(s)$ extends to a holomorphic function in a small ball $|s - \sigma_c| < \varepsilon$. Show that if $s < \sigma_c < \sigma$ and s, σ are close enough to σ_c then s is in the domain of convergence of the Taylor expansion of D at σ .
 - Using that $D^{(k)}(\sigma) = \sum_{n=1}^{\infty} a_n (-\log n)^k n^{-\sigma}$, write $D(s)$ as the sum of a two-variable series with positive terms.
 - Changing the order of summation, show that $D(s)$ converges at s , a contradiction to the definition of σ_c .
 - Obtain *Landau's Theorem*: if $D(s)$ has positive coefficients, has abscissa of convergence σ_c , and agrees with a holomorphic function in some punctured neighbourhood of σ_c then the singularity at $s = \sigma_c$ is not removable.

Hadamard's Three-Line Theorem and the convexity bound

6. Let f be continuous in the strip $a \leq \Re(z) \leq b$, holomorphic in the interior of the strip. Suppose that $|f(x + iy)| = e^{o(y^2)}$ as $y \rightarrow \infty$ in the strip.
- (Simple version) Suppose that $M_0 = \sup\{|f(z)| : \Re(z) = a\}$ and $M_1 = \sup\{|f(z)| : \Re(z) = b\}$ as finite. Show that for $x_t = (1-t)a + tb$ ($t \in [0, 1]$) we have

$$|f(x_t + iy)| \leq M_0^{1-t} M_1^t.$$

(Hint: apply the maximum principle to the function

$$g_\varepsilon(z) = f(z) M_0^{\frac{z-b}{b-a}} M_1^{\frac{z-a}{a-b}} e^{-\varepsilon z^2}.$$

- (f growing) Suppose now that $|f(a + iy)| \ll |y|^{m_0}$, $|f(b + iy)| \ll |y|^{m_1}$ where $m_0, m_1 \geq 0$. Show that

$$|f(x_t + iy)| \ll |y|^{m_t}$$

where $m_t = (1-t)m_0 + tm_1$. (Hint: multiply and divide by functions of the form $\Gamma(\alpha z + \beta)$ for appropriate α, β).

7. (Application to functional analysis) Let (Ω, μ) be a measure space and $1 < p, q < \infty$ satisfy $\frac{1}{p} + \frac{1}{q} = 1$. Show that for $f \in L^p(\mu)$, $g \in L^q(\mu)$ we have *Hölder's inequality*,

$$\int |fg| d\mu \leq \|f\|_p \|g\|_q.$$

(Hint: Consider $F(z) = \int_\Omega |f(\omega)|^{pz} |g(\omega)|^{q(1-z)} d\mu(\omega)$ on the strip $0 \leq x \leq 1$).

Counting with Dirichlet Series

The following problems apply Theorem 113 of the notes.

8. PS1, problems 3, 4.

(a) Estimate (with error terms) $\sum_{n \leq x} \phi(n)$, $\sum_{n \leq x} \frac{\phi(n)}{n}$, $\sum_{n \leq x} \frac{\phi(n)}{n^2}$.

(b) Show $\frac{1}{x} \sum_{n \leq x} d_k(n) = P_k(\log x) + O(x^{-\frac{1}{k}})$ where P_k is a polynomial of degree $k - 1$.

(c) Show that $\sum_{n \leq x} \sigma_\alpha(n) = Cx^{1+\alpha} + O(x^\beta)$ for some $\beta < \alpha$.

9. PS1, problem 8,9.

(a) Let $a_p \in \mathbb{C}$ satisfy $|a_p| \leq p^{-\sigma}$ and let $f(n) \stackrel{\text{def}}{=} \prod_{p|n} (1 + a_p)$. Show that $\sum_{n \leq x} f(n) = cx + O(x^{1-\sigma})$ where $c = \prod_p \left(1 + \frac{a_p}{p}\right)$.

(b) \mathcal{A}_n denote a set of representative for the isomorphism classes of abelian groups of order n , $A_n = \#\mathcal{A}_n$ the number of isomorphism classes. Show that $\sum_{n \leq x} A_n = cx + O(x^{1/2})$ where $c = \prod_{k=2}^{\infty} \zeta(k)$.

10. (2014 Miklós Schweitzer competition) For $n \geq 2$ let $f(n)$ be the number of representations of n as a product of an ordered tuple of integers at least 2 and set $f(1) = 1$. Show that

$$\sum_{n \leq x} f(n) = Cx^\alpha + \text{lower order},$$

where $\alpha > 1$ satisfies $\zeta(\alpha) = 2$.