

## Math 538, Lecture 5 29/1/2024

- (1) PS1, PS2 on website
- (2) Commutative algebra supplement

Last time: Unique factorisation in  $\mathcal{O}_K$

$K = \# \text{ field}$ ,  $\mathcal{O}_K = \text{ring of integers}$

Thm 3 (1) Every ideal  $\mathfrak{a} \subseteq \mathcal{O}_K$  has a unique representation in the form

( $\mathfrak{p}$  primes,  $e_{\mathfrak{p}} \in \mathbb{Z}_{\geq 0}$ , almost all 0)  $\mathfrak{a} = \prod_{\mathfrak{p} \subseteq \mathcal{O}_K} \mathfrak{p}^{e_{\mathfrak{p}}}$

(2) Every fractional ideal has a unique such representation,  $e_{\mathfrak{p}} \in \mathbb{Z}$

"Correct" generalization to  $K$  of the fundamental theorem of arithmetic.

Cor: In the monoid of ideals of  $\mathcal{O}_K$ ,  $\mathfrak{a} | \mathfrak{b}$  iff  $\mathfrak{a} \supseteq \mathfrak{b}$ .

Today: Extension of primes

Key tool in understanding primes of  $K$  was studying prime  $p \in \mathbb{Z}$  of  $\mathbb{Q}$ .

Proof of unique factorization transported structure from  $\mathbb{Q}$  to  $K$

Next: general extension  $L/K$  of # fields

Lemma: Let  $P$  be a prime of  $L$ . Then  $p = P \cap K = P \cap \mathbb{Q}_K$  is a prime of  $K$ , the unique prime of  $K$  contained in  $P$ .

PB: Same as for  $K/\mathbb{Q}$ . (HW)

Def: In this situation say  $P$  lies **above**  $p$ , write  $P|p$ .

(strictly speaking  $P|p\mathbb{Q}_L$ )

$\Rightarrow$  prime ideals of  $L$  which lie above  $\mathfrak{p}$  are exactly the prime divisors of  $\mathfrak{p}\mathcal{O}_L$

Also, the  $\mathcal{O}_L$ -module  $\mathcal{O}_L/\mathfrak{P}$  is annihilated by  $\mathfrak{p}$   
 $\Rightarrow k_{\mathfrak{P}} \stackrel{\text{def}}{=} \mathcal{O}_L/\mathfrak{P}$  is a  $k_{\mathfrak{p}} = \mathcal{O}_K/\mathfrak{p}$ -module

Call  $k_{\mathfrak{p}}, k_{\mathfrak{P}}$  the **residue fields** of  $\mathfrak{p}, \mathfrak{P}$ .

Def:  $g_{\mathfrak{p}} = \#k_{\mathfrak{p}}$  is the size of the residue field

$f(\mathfrak{P}/\mathfrak{p}) \stackrel{\text{def}}{=} [k_{\mathfrak{P}} : k_{\mathfrak{p}}] = \dim_{k_{\mathfrak{p}}} k_{\mathfrak{P}}$  is called

the **residue index** or **inertial degree**.

Prop:  $\mathfrak{p}\mathcal{O}_L \neq \mathcal{O}_L$ , i.e. there **exist** primes of  $L$  above  $\mathfrak{p}$ .

(Ex.)

Say  $\mathfrak{p}\mathcal{O}_L = \prod_{i=1}^g \mathfrak{P}_i^{e_i}$  with  $\mathfrak{P}_i$  distinct.

Def:  $e(\mathfrak{P}_i/\mathfrak{p}) \stackrel{\text{def}}{=} e_i$  is called the **ramification index**. If some  $e_i > 1$ , say  $\mathfrak{p}$  **ramifies** in  $L$

Also write  $b_i$  for  $f(P_i/p)$ .

Lemma: Let  $P \supset \mathcal{O}_L$  be prime. Then for all  $e \geq 1$ ,  $\mathcal{O}_L/P^e$  is a DVR: a local ring and a PID

Pf: The ideals of  $\mathcal{O}_L/P^e$  correspond to the ideals of  $\mathcal{O}_L$  containing  $P^e$ , i.e. to the ideals

$\{P^j\}_{j=0}^e$ . So  $P/P^e$  is the unique prime.

Choose  $\pi \in P \setminus P^2$ . Then its image in  $\mathcal{O}_L/P^e$  generates a proper ideal not contained in  $P^2/P^e$ . So it must be  $P/P^e$ .

Conclusion:  $P^j/P^e = (\bar{\pi})^j$   $\bar{\pi}$  = image of  $\pi$ .

Example:  $F[[x^r : r \in \mathbb{Q}]] = \lim_{n \rightarrow \infty} F[[x^{1/n}]]$

is not of this type.

Thm: Recall  $n = [L:k]$ . Then for any  $\mathfrak{p} \in \mathcal{O}_k$

$$n = \sum_{i=1}^g f_i e_i$$

PF: Calculate

$\dim_{k_p}(\mathcal{O}_L/\mathfrak{p}\mathcal{O}_L)$  in two ways

RHS:  $\mathfrak{P}_i$  are maximal ideals, so if  $i \neq j$   $\mathfrak{P}_i + \mathfrak{P}_j = (1)$

$\Rightarrow \mathfrak{P}_i^{e_i} + \mathfrak{P}_j^{e_j} = (1)$ . Next,  $\bigcap_{i=1}^g \mathfrak{P}_i^{e_i} = \mathfrak{p}\mathcal{O}_L$  (both sides have same factorisation: if  $\mathfrak{Q}$  is a prime of  $L$ ,  $r$  larger than order of  $\mathfrak{Q}$  in both sides, reduce mod  $\mathfrak{Q}^r$ )

$$\Rightarrow \text{(CRT)} \quad \mathcal{O}_L/\mathfrak{p}\mathcal{O}_L \cong \bigoplus_{i=1}^g \mathcal{O}_L/\mathfrak{P}_i^{e_i}$$

As a  $k_p$ -vsp,  $\mathcal{O}_L/\mathfrak{P}_i^{e_i}$  is filtered by subspaces  $\{\mathfrak{P}_i^j/\mathfrak{P}_i^{e_i}\}_{j=0}^{e_i}$ .

So

$$\dim_{k_p} \mathcal{O}_L/\mathfrak{P}_i^{e_i} = \sum_{j=0}^{e_i-1} \dim_{k_p} \mathfrak{P}_i^j/\mathfrak{P}_i^{j+1}$$

$$\mathfrak{P}_i^j/\mathfrak{P}_i^{j+1} = (\overline{\pi})^j/(\overline{\pi})^{j+1} \cong \mathcal{O}_L/\mathfrak{P}_i \leftarrow \dim = f_i$$

(in  $\mathcal{O}_L/\mathfrak{P}_i^{e_i}$ , mult by  $\overline{\pi}$  gives isom  $\mathfrak{P}_i^j/\mathfrak{P}_i^{j+1} \cong \mathfrak{P}_i^{j+1}/\mathfrak{P}_i^{j+2}$  and

$$\Rightarrow \dim_{k_p} \mathcal{O}_L/\mathfrak{P}_i^{e_i} = e_i \cdot f_i \Rightarrow \dim_{k_p} \mathcal{O}_L/\mathfrak{p}\mathcal{O}_L = \sum_i e_i f_i$$

LHS: If  $K = \mathbb{Q}$ ,  $\mathcal{O} = \mathbb{Z}$ , have  $\mathcal{O}_2 \cong \mathbb{Z}^n$  as  $\mathbb{Z}$ -mod.  
so  $\mathcal{O}_2/p\mathcal{O}_2 \cong \mathbb{Z}^n/p\mathbb{Z}^n \cong (\mathbb{Z}/p\mathbb{Z})^n \Rightarrow \dim_{\mathbb{F}_p} \mathcal{O}_2/p\mathcal{O}_2 = n$

In general,  $\mathcal{O}_2$  need not be a free  $\mathcal{O}_K$ -module  
solution: localise at  $\mathfrak{p}$

Pass to  $\mathcal{O}_{K,\mathfrak{p}}$  has  $\mathfrak{p}$  as the unique maximal ideal

( $\mathcal{O}_{K,\mathfrak{p}} =$  subring of  $K$  generated by  $\mathcal{O}_K \setminus \{\alpha^{-1} : \alpha \in \mathcal{O}_K \setminus \mathfrak{p}\}$ )

$\Rightarrow$  ideals of  $\mathcal{O}_{K,\mathfrak{p}}$  are powers of  $\mathfrak{p}$ .

$\Rightarrow \mathcal{O}_{K,\mathfrak{p}}$  is a PID (ideals are generated by  $\pi^i$  as in lemma)

Now  $\mathcal{O}_{2,\mathfrak{p}} = \mathcal{O}_2[S^{-1}]$ ,  $S = \mathcal{O}_K \setminus \mathfrak{p}$   
is a torsion-free  $\mathcal{O}_{K,\mathfrak{p}}$ -module (torsion-free as  $\mathcal{O}_2$  is, all ideals of  $\mathcal{O}_{K,\mathfrak{p}}$  are coprime)  
so it is free, so

$$\mathcal{O}_2[S^{-1}] \cong \mathcal{O}_{K,\mathfrak{p}}^m$$

as an  $\mathcal{O}_{K,\mathfrak{p}}$ -module.

Further localize by inverting every element of  $\mathbb{Z}$   
 $\mathcal{O}_L[\mathbb{Z}^{-1}] = L$ ,  $\mathcal{O}_K[\mathbb{Z}^{-1}] = K$  ( $\forall \alpha \in K \exists d \in \mathbb{Z}$   
 s.t.  $d\alpha \in \mathcal{O}_K$ )

$\Rightarrow L \cong K^m$  as  $K$ -module  $\Rightarrow m=n$

Finally, every  $s \in S$  is invertible in  $\mathcal{O}_K/\mathfrak{p}$ , so

$$\begin{aligned} \mathcal{O}_L/\mathfrak{p}\mathcal{O}_L &\cong (\mathcal{O}_L/\mathfrak{p}\mathcal{O}_L)[S^{-1}] \cong \mathcal{O}_L[S^{-1}]/\mathfrak{p}\mathcal{O}_L[S^{-1}] \\ &\cong \mathcal{O}_{K,\mathfrak{p}}^n / \mathfrak{p}\mathcal{O}_{K,\mathfrak{p}}^n \cong (\mathcal{O}_{K,\mathfrak{p}}/\mathfrak{p})^n \cong (\mathcal{O}_K/\mathfrak{p})^n. \end{aligned}$$

$$\Rightarrow \dim_{\mathcal{O}_K/\mathfrak{p}} \mathcal{O}_L/\mathfrak{p}\mathcal{O}_L = n. \quad \square$$

Or: Have abstract notion of "Dedekind domain".

Thm: If  $\mathcal{O}$  is a Dedekind domain,  $M$  f.g.  $\mathcal{O}$ -mod then have ideals  $\mathfrak{a}_i, \mathfrak{b}_j$  s.t.

$$M \cong \bigoplus_i \mathfrak{a}_i \oplus \bigoplus_j \mathcal{O}/\mathfrak{b}_j$$

$\mathfrak{a}_i$  really ideal classes.

Analogy: ① Riemann surfaces  $U_K$   
(1d complex manifolds, holomorphic fns  
 $K \leftrightarrow$  meromorphic fns)

② Algebraic curves (1d projective varieties)  
 $U_K \leftrightarrow$  regular function  
 $K \leftrightarrow$  rational functions

Extension  $\begin{matrix} \mathbb{Z} \\ \updownarrow \\ \mathbb{Z} \end{matrix}$   $\xrightarrow{\text{non-constant}}$  map of surfaces  $\begin{matrix} S_1 \\ \downarrow \\ S_2 \end{matrix}$

primes  $\leftrightarrow$  points