Homework set 2 – Solution

Problem 1. (i) Pick $x \in X$ and a sequence $(x_n)_{n \in \mathbb{N}}$ converging to x. Let O be a neighbourhood of f(x). Then $f^{-1}(O^o)$ is an open neighbourhood of x in X. Since $x_n \to x$, there is n_0 such that $x_n \in f^{-1}(O^o)$ for all $n \ge n_0$, namely $f(x_n) \in O^o \subset O$ for all $n \ge n_0$. Hence $f(x_n) \to f(x)$, since this holds for any neighbourhood of f(x).

(ii) We first note that it suffices to prove that the preimage of any closed set is closed. Indeed if that holds, then for any open set $O \subset Y$, the set $f^{-1}(Y \setminus O)$ is closed in X, namely $X \setminus f^{-1}(Y \setminus O) = f^{-1}(O)$ is open, proving that f is continuous. Let C be closed in Y. Let $(x_n)_{n \in \mathbb{N}}$ be a convergent sequence in $f^{-1}(C)$ and let x be its limit. Then $(f(x_n))_{n \in \mathbb{N}}$ is a sequence in C which converges to f(x) by assumption, and $f(x) \in C$ since C is closed, hence $x \in f^{-1}(C)$. Since X is first countable, this proves that $\overline{f^{-1}(C)} = f^{-1}(C)$, namely $f^{-1}(C)$ is closed and hence f is continuous.

Problem 2. (i) Assume that $f(S_1)$ is disconnected. Then there exist open sets $U, V \subset S_2$ such that $f(S_1) \subset U \cup V$, while $f(S_1) \cap U \neq \emptyset$, $f(S_1) \cap V \neq \emptyset$ and $f(S_1) \cap U \cap V = \emptyset$. By continuity, $U_1 = f^{-1}(U)$ and $V_1 = f^{-1}(V)$ are open, and their are both nonempty. Now, $f(U_1) \subset U \cap f(S_1), f(V_1) \subset V \cap f(S_1)$ are disjoint since $U \cap V = \emptyset$, hence $U_1 \cap V_1 = \emptyset$. Moreover, for any $x \in S_1$, $f(x) \in f(S_1) \subset U \cup V$, showing that $x \in U_1 \cup V_1$, namely $S_1 = U_1 \cup V_1$. Hence S_1 is disconnected, a contradiction.

(ii) Assume that S_1 is not connected, and let U, V be a separation of S_1 . Let $x \in U, y \in V$. Let $f : [0, 1] \to S_1$ be any continuous function such that f(0) = x, f(1) = y. By (i), one of $f([0, 1]) \cap U, f([0, 1]) \cap V$ is empty, which is a contradiction. Hence S_1 is not arcwise connected.

(iii) We first prove that S is connected. Assume that it is disconnected. Then there are open sets U, V of \mathbb{R}^2 such that $S \subset U \cup V$, while $S \cap U \neq \emptyset$, $S \cap V \neq \emptyset$ and $S \cap U \cap V = \emptyset$. In particular, (0,0) belongs to only one of the the two sets $S \cap U, S \cap V$, say $(0,0) \in U$. Let $S = S_- \cup S_+ \cup \{(0,0)\}$, where S_{\pm} correspond to $s \ge 0$. Since U is open, there is r > 0 such that $B_r(0) \subset U$ and hence $U \cap S_{\pm} \neq \emptyset$. Now, $f(s) = (s, \sin 1/s)$) is continuous from $(0,\infty)$ to \mathbb{R}^2 and since $(0,\infty)$ is connected, so is $S_+ = f((0,1))$ by (i). But $S_+ \cap U \cap V \subset S \cap U \cap V = \emptyset$ and $S_+ \subset S \subset U \cup V$ imply that $S_+ \cap V = \emptyset$. Repeating the argument with $(-\infty, 0)$, we conclude that $S_- \cap V = \emptyset$. But $(0,0) \notin V$ implies $S \cap V = (S_+ \cap V) \cup (S_- \cap V) = \emptyset$, which is a contradiction.

Reciprocally, assume that S is connected, and let $f:[0,1] \to S$ be such that f(0) = (0,0), f(1) = (1, sin(1)). Since (0,0) is closed, its preimage is closed and clearly does not contain 1. Hence, $M = \sup f^{-1}(\{(0,0)\}) \neq 1$. Since $M \in f^{-1}(\{(0,0)\})$, we restrict the attention to [M,1], and rescale to get a new $g:[0,1] \to S$ such that g(0) = (0,0), f(1) = (1, sin(1)) and $g(\lambda) \neq (0,0)$ for all $\lambda \in (0,1]$. The second component g_2 of g is continuous and hence, for any n, there is $t_n \in (0, 1/n)$ such that $g_2(t_n) = 1$. But by continuity again, $1 = \lim_{n \to \infty} g_2(t_n) = g_2(0) = 0$, which is a contradiction.

Problem 3. (i) It is clear that $\emptyset, X \in \mathcal{T}$. Let $\{O_1, \ldots, O_N\}$ be open sets. If $\infty \notin \bigcap_{j=1}^N O_j$, namely $\exists j_0$ such that $\infty \notin O_{j_0}$, then $\bigcap_{j=1}^N O_j = S \cap (\bigcap_{j=1}^N O_j)$ is an open set of S since it is a finite intersection of open sets of S. But since $\infty \notin \bigcap_{j=1}^N O_j$, it is also an open set of X. On the other hand, assume that $\infty \in O_j$ for all $1 \leq j \leq N$. Then by definition $X \setminus O_j$ is a compact subset of S, and so is their finite union $\bigcup_{j=1}^N X \setminus O_j = X \setminus \bigcap_{j=1}^N O_j$. This shows that the intersection belongs to \mathcal{T} since it contains ∞ . Let now $\{O_\alpha : \alpha \in I\}$ be an arbitrary family in \mathcal{T} . If $\infty \notin O_\alpha$ for all $\alpha \in I$, then $\bigcup_{\alpha \in I} O_\alpha$ is a union of open sets of S. Since $\infty \notin \bigcup_{\alpha \in I} O_\alpha$ it is also open in X. If $\infty \in O_{\alpha_0}$ for some $\alpha_0 \in I$, then $X \setminus \bigcup_{\alpha \in I} O_\alpha$ is a closed subset of the compact $X \setminus O_{\alpha_0}$, hence compact. Since $\infty \in \bigcup_{\alpha \in I} O_\alpha$ it is open in X.

(ii) Since $X \setminus S = \{\infty\}$, it suffices to show that \overline{S} is strictly larger than S, and equivalently that S is not closed. If it were, its complement $\{\infty\}$ would be open, namely $X \setminus \{\infty\} = S$ would be compact, a contradiction.

(iii) Let $\{O_{\alpha} : \alpha \in I\}$ be an open cover of X. There is an $\alpha_0 \in I$ such that $\infty \in O_{\alpha_0}$. In particular, $X \setminus O_{\alpha_0}$ is compact in S and $\{S \cap O_{\alpha} : \alpha \neq \alpha_0\}$ is an open cover of $X \setminus O_{\alpha_0}$. Extracting a finite cover $\{O_{\alpha_j}, 1 \leq j \leq N\}$, we conclude that $\{O_{\alpha_j}, 0 \leq j \leq N\}$ is a finite cover of X.

(iv) Let $x \neq y$ in X. If both are not ∞ , then there are disjoint neighbourhoods $O_x, O_y \subset S$ of x, y that are open in S. Hence they are open in X. Let now $x = \infty, y \in S$. Since S is locally compact, there is a compact (in S) neighbourhood K_y of y. Then $O_y = N_y^0$ is open in S and hence in X and contains y. Moreover, $O_x = X \setminus K_y$ is an open (in X) and contains x, and $O_x \cap O_y = \emptyset$.

(v) Let $f: S \to \mathbb{R}$ and $y \in \mathbb{R}$. We say $\lim_{x\to\infty} f(x) = y$ if for any $\epsilon > 0$, there is a compact K_{ϵ} such that $|y - f(x)| < \epsilon$ for all $x \in S \setminus K_{\epsilon}$. Claim: f has a continuous extension to X iff $\lim_{x\to\infty} f(x)$ exists. Let us first assume that the limit exists and call that limit y. Then the function g defined by $g(x) = f(x)(x \in S)$ and $g(\infty) = y$ is a continuous extension of f. We only need to check continuity for open sets $O \ni \infty$. Then by definition of the limit, there is a compact set K_O such that $f(S \setminus K_O) \subset O$, namely $S \setminus K_O \subset f^{-1}(O)$ and $S \setminus f^{-1}(O) \subset K_O$. But $f^{-1}(O)$ being open implies that $S \setminus f^{-1}(O)$ is a closed subset of a compact set and it is therefore compact. But $g^{-1}(O) = \{\infty\} \cup f^{-1}(O)$ so that $X \setminus g^{-1}(O) = S \setminus f^{-1}(O)$ is compact, proving that $g^{-1}(O)$ is open. Reciprocally, we assume that f has a continuous extension g to X. Let $y = g(\infty)$. Then for any $\epsilon > 0$, the continuity of g implies that $g^{-1}((y - \epsilon, y + \epsilon)) = \{\infty\} \cup f^{-1}((y - \epsilon, y + \epsilon))$ is open in X, namely its complement in X, $K_{\epsilon} = f^{-1}((y - \epsilon, y + \epsilon))$ is compact. But that is exactly the definition of $\lim_{x\to\infty} f(x) = y$.

Problem 4. (i) Let $D = \{x \in X : f(x) = g(x)\}$. We assume that $f \neq g$ and show that D is not dense. Let x_0 be so that $f(x_0) \leq g(x_0)$. Since Y is Hausdorff, there are disjoint open sets O_f, O_g with $f(x_0) \in O_f, g(x_0) \in O_g$. By continuity, $O = f^{-1}(O_f) \cap g^{-1}(O_g)$ is open in X, and nonempty since $x_0 \in O$. Now, $f(O) \subset O_f$ and $g(O) \subset O_g$ are disjoint, namely $f(x) \neq g(x)$ for all $x \in O$. In other words $O \cap D = \emptyset$ and hence $D \subset X \setminus O$, which is closed, so that $\overline{D} \subset X \setminus O \neq X$.

(ii) Since $f^{-1}(\emptyset) = \emptyset$ and by definition $f^{-1}(Y) = Y$, both of which are open, f is continuous.

(iii) Let $f : \mathbb{R} \to Y$ be the indicator function of \mathbb{Q} , which is continuous when Y is equipped with the trivial topology, and let g = 1. Then f and g agree on the dense set \mathbb{Q} , but they are not equal. Of course, this is because Y is not Hausdorff.