

# Orderable groups with applications to topology

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A group  $G$  is *left-orderable* (LO) if its elements can be given a (strict) total ordering  $<$  which is left invariant:

$$g < h \Rightarrow fg < fh \quad \text{if } f, g, h \in G.$$

Alternative viewpoint:

Let  $P = \{g \in G \mid g > 1\}$  be the *positive cone* in a LO group  $G$ . Then:

- (1)  $P$  is closed under multiplication, and
- (2) if  $g \in G \setminus \{1\}$  exactly one of  $g, g^{-1}$  is in  $P$ .

Conversely, if a group  $G$  has a subset  $P$  satisfying (1) and (2), then  $G$  is left-orderable, defining  $g < h \Leftrightarrow g^{-1}h \in P$ .

**Proposition:** LO groups are torsion-free, i. e. no elements of finite order.

**Reason:** If  $g \neq 1$ , say  $g > 1$ . Then  $g^2 > g$ , by left-invariance. So  $g^2 > 1$ , by transitivity. Inductively,  $g^n > 1$  for all  $n > 0$ , so  $g^n \neq 1$ . Similarly if  $g < 1$ .

**Proposition:** If  $G$  is LO and  $R$  is a ring without zero divisors, then the group algebra  $RG$  has no zero-divisors.

(This is conjectured to be true for torsion-free groups in general.)

Examples of LO groups:

- $(\mathbb{R}, +)$  (2-sided invariant)

(but not the multiplicative group  $(\mathbb{R} \setminus \{0\}, \cdot)$ )

- Free groups and torsion-free abelian groups. (these have two-sided invariant orders)

- Braid groups (P. Dehornoy) (but NOT 2-sided invariant)

- $\text{Homeo}_+(\mathbb{R})$  = the group of order preserving homeomorphisms of the real line.

How to left-order  $\text{Homeo}_+(\mathbb{R})$ :

Let  $x_1, x_2, \dots$  be a countable dense subset of  $\mathbb{R}$ . If  $f, g$  are order-preserving homeomorphisms  $\mathbb{R} \rightarrow \mathbb{R}$  and  $f \neq g$ , let  $n = n(f, g)$  be the first  $n$  such that  $f(x_n) \neq g(x_n)$ . Then define

$$f < g \Leftrightarrow f(x_n) < g(x_n)$$

.

**Fact:** Every countable LO group is isomorphic with a subgroup of  $\text{Homeo}_+(\mathbb{R})$

The family of LO groups is closed under:

- subgroups
- direct products (use lexicographic order)
- free products
- quotients by convex normal subgroups
- extensions: if  $G \rightarrow H$  is a surjective homomorphism with kernel  $K$ , and both  $K$  and  $H$  are LO, then  $G$  is LO.

**Application to topology:** One of the principal connections between topology and group theory is through the fundamental group  $\pi_1(X)$ .

**Surface groups:** Let  $\Sigma_g$  denote the connected, compact, orientable surface of genus  $g$ . (The torus  $S^1 \times S^1$  has genus 1.) Then  $\pi_1(\Sigma_g)$  has a presentation with  $2g$  generators  $a_1, b_1, \dots, a_g, b_g$  subject to the single relation:

$$[a_1, b_1] \cdot [a_2, b_2] \cdots [a_g, b_g] = 1.$$

Here  $[a, b] = aba^{-1}b^{-1}$  denotes the commutator.

The Klein bottle  $K^2$ :

This nonorientable surface may be considered as the union of two Möbius bands, attached to each other along their boundaries. Its fundamental group has presentation:

$$\pi_1(K^2) \cong \langle a, b \mid a^2 = b^2 \rangle.$$

Alternatively, one may consider  $K^2$  as the **orbit space** of  $\mathbb{R}^2$  under the action of the (discrete) group  $G \subset Isom(\mathbb{R}^2)$  generated by:

$$X : (x, y) \rightarrow (1 + x, -y) \quad \text{and} \quad Y : (x, y) \rightarrow (x, 1 + y).$$

In other words,  $\mathbb{R}^2 \rightarrow K^2$  is a covering space, and the fundamental group of  $K$  can be identified with  $G$ , which has the presentation:

$$\pi_1(K) \cong G \cong \langle X, Y \mid XYX^{-1} = Y^{-1} \rangle$$

One can also verify this isomorphism by the substitutions

$$a = X, \quad b = XY^{-1}.$$

**Proposition:** The fundamental group of  $K^2$  is left-orderable.

**Proof:** Identify this with the group  $G$  of isometries of  $\mathbb{R}^2$ , as above. If  $g \in G$ , consider  $g(0,0) = (x_0, y_0)$ . Define  $g$  to be **positive** if and only if

either  $x_0 > 0$  or  $x_0 = 0$  and  $y_0 > 0$ .

More generally, we have:

**Theorem:** The fundamental group of every surface except  $\mathbb{R}P^2$  is left-orderable. Moreover, all (possibly nonorientable and non-compact) surface groups have 2-sided invariant orderings, except for  $\mathbb{R}P^2$  and  $K^2$ .

Left-orderability is very common among fundamental groups of 3-manifolds, too. For example:

**Theorem:** (Short - Howie) Suppose  $M^3$  is a connected compact orientable 3-manifold which is irreducible. Then  $\pi_1(M^3)$  is left-orderable if and only if it has a homomorphic image which is left-orderable.

**Cor:** If  $M^3$  is as above, and the abelianization  $H_1(M^3)$  of  $\pi_1(M^3)$  is **infinite**, then  $\pi_1(M^3)$  is LO.

**Cor:** If  $K$  is a knot in  $\mathbb{R}^3$  or  $S^3$ , then the fundamental group of its complement is left-orderable. That is, “knot groups” are LO.

**An application:** an obstruction to the existence of mappings of nonzero degree.

Suppose  $M$  and  $N$  are closed orientable 3-manifolds. **Is there a continuous function  $M \rightarrow N$  of nonzero degree?**

**Theorem:** If  $\pi_1(N)$  is left-orderable,  $\pi_1(M)$  is **not** left-orderable and  $M$  is irreducible. Then then the answer is **NO!**

There are many 3-manifolds whose groups are torsion-free, yet not left-orderable.

**Example:** The Weeks manifold  $W^3$  is the closed hyperbolic 3-manifold of minimal volume. Calegari-Dunfield:  $\pi_1(W^3)$  is **not** left-orderable.

A surgery description of the Weeks manifold:



**Question:** Suppose  $G = \pi_1(M)$  is the fundamental group of a compact hyperbolic 3-manifold  $M$  (a.k.a. Kleinian group). Does  $G$  have a finite index subgroup which is left-orderable?

If one could find an  $M$  as above for which the answer is **no**, then one would have a **counterexample** to both of the following:

**Conjectures of Thurston:** (1) Every compact hyperbolic 3-manifold is finitely covered by a manifold which has positive first Betti number.

(2) Every compact hyperbolic 3-manifold is finitely covered by a manifold which fibres over  $S^1$ .

An application of orderable groups to **foliations** of 3-manifolds:

A foliation  $\mathfrak{F}$  (of dimension  $k$ ) of a manifold  $M^n$  is a partition of  $M^n$  into sets (called “leaves”), so that each point of  $M$  has a neighborhood homeomorphic with  $\mathbb{R}^n$ , so that the leaves meet this neighborhood in sets which correspond to parallel  $k$ -hyperplanes in  $\mathbb{R}^n$ .

A similar definition applies to manifolds with boundary.

**Example:** A foliation of the Klein bottle.

Note that the family of **horizontal** lines in  $\mathbb{R}^2$  is preserved by the action of the group  $G \subset Isom(\mathbb{R}^2)$  described earlier. So under the mapping  $\mathbb{R}^2 \rightarrow K^2$  it descends to a foliation of  $K^2$  by circles which look locally like parallel lines.

However the image of the  $x$ -axis is a circle whose neighboring circles wrap “twice.” Similarly for the image of the line  $y = 1/2$ .

A **codimension-one** foliation  $\mathfrak{F}$  of a manifold  $M$  is **transversely oriented** if there is a continuous choice of normal vector at each point of each leaf.

A codimension-one foliation  $\mathfrak{F}$  is said to be  **$\mathbb{R}$ -covered** if the pullback foliation  $\tilde{\mathfrak{F}}$  of the universal cover  $\tilde{M}$  has **space of leaves homeomorphic with  $\mathbb{R}$** .

**Example:** The Klein bottle foliation described above is  $\mathbb{R}$ -covered but not transversely-oriented. On the other hand, the foliation of  $K$  defined by the **vertical** lines  $x = \text{constant}$  is both  $\mathbb{R}$ -covered and transversely orientable.

We now turn to the special case of compact orientable 3-manifolds and 2-dimensional foliations.

**Theorem:** (Lickorish, Zieschang) Every compact orientable 3-manifold has a 2-dimensional foliation.

This is contrast to the situation for 2-manifolds (surfaces) – the **only** compact surfaces which have codimension-one foliations are the **torus and Klein bottle**.

**Proposition:** If an orientable  $M^3$  has a 2-dimensional foliation which is  $\mathbb{R}$ -covered and transversely oriented, then  $\pi_1(M)$  is left-orderable.

**Reason:** Let  $\mathfrak{F}$  be such a foliation of  $M$ . Consider the universal cover  $\tilde{M}$ , which has a “lifted” foliation  $\tilde{\mathfrak{F}}$ .

$\pi_1(M)$  acts on  $\tilde{M}$  as the covering translations, and also acts on the set  $\tilde{\mathfrak{F}}$ . And therefore  $\pi_1(M)$  acts on the **space of leaves** of  $\tilde{\mathfrak{F}}$  which is homeomorphic to  $\mathbb{R}$ . Also, the action respects the transverse orientation, which also lifts to  $\tilde{\mathfrak{F}}$ .

Thus we have a homomorphism  $\pi_1(M) \rightarrow \text{Homeo}_+(\mathbb{R})$ .

The kernel may be nontrivial, but it acts freely on each leaf, which is an orientable surface. Hence the kernel is left-orderable, and by the extension property,  $\pi_1(M)$  is left-orderable.

A construction:

Let  $\tilde{Q} = \{(x, y, z) \in \mathbb{R}^3 \mid -1 \leq z \leq 1\}$ . Define  $X, Y : \tilde{Q} \rightarrow \tilde{Q}$  by:

$$X : (x, y, z) \rightarrow (1 + x, -y, -z) \quad \text{and} \quad Y : (x, y, z) \rightarrow (x, 1 + y, z).$$

Let  $G =$  the group of isometries of  $\tilde{Q}$  generated by  $X$  and  $Y$ .

Define  $Q = \tilde{Q}/G$ .

Then  $\tilde{Q} \rightarrow Q$  is a covering space and  $\pi_1(Q) \cong G$ .

Note that  $Q$  is **orientable** and has boundary  $\partial Q \cong S^1 \times S^1$ .

Moreover,  $Q$  contains a **Klein bottle**, the image of the plane  $z = 0$ .

In fact,  $\pi_1(Q) \cong \langle a, b \mid a^2 = b^2 \rangle$  is just the Klein bottle group.

Its boundary has  $\pi_1(\partial Q) \cong \mathbb{Z} \times \mathbb{Z} =$  the subgroup consisting of words in  $a, b$  with total exponent **even**.

We take as **basis** for  $\pi_1(\partial Q)$  the words

$$m = a^2 \quad \text{and} \quad l = ab.$$

We recall that the orientation-preserving homeomorphisms of the torus  $S^1 \times S^1$  are parametrized by  $SL(2, \mathbb{Z})$ .

Now take two copies  $Q_1, Q_2$  of  $Q$  and glue their boundaries together by a homeomorphism whose matrix in the  $m, l$  bases is

$$\varphi = \begin{pmatrix} p & r \\ q & s \end{pmatrix}.$$

This produces the closed orientable manifold

$$M_\varphi = Q_1 \cup_\varphi Q_2$$

**Proposition:** Suppose  $p, q$  are non-negative and  $r, s$  are non-positive integers (or vice-versa). Then  $\pi_1(M_\varphi)$  is **not** left-orderable.

**Proof:** The group  $\pi_1(M_\varphi)$  has presentation with generators  $a, b, x, y$  and relations:

$$a^2 = b^2, x^2 = y^2, a^{2p}(ab)^q = x^2, a^{2r}(ab)^s = xy$$

Assume  $\pi_1(M_\varphi)$  is left-ordered. Then the first relation implies that  $a$  and  $b$  have the same "sign" — that is, either both are greater than the identity or less than the identity. The same is true of  $x$  and  $y$ . If  $a, b$  have the same sign as  $x, y$  we contradict the fourth equation. If  $a, b$  have the opposite sign as  $x$  (and  $y$ ) we contradict the third. QED

**Remark:** These examples all have nontrivial, finite first homology (recalling  $H_1$  is the abelianization of  $\pi_1$ ):

$$|H_1(M)| = 16|p + q - r - s|.$$

Therefore this construction gives **infinitely many distinct examples of 3-manifolds with non-LO fundamental groups.**

Their fundamental groups are, however, **torsion-free**, as they are amalgamated free products of torsion-free groups.

Note that, by construction, they are foliated by (two) Klein bottles and (infinitely many) tori. The universal cover of  $M_\varphi$  is Euclidean space, foliated by planes, so it is an  $\mathbb{R}$ -covered foliation. However, the foliation is not transversely oriented.

**Proposition:** The manifolds  $M_\varphi$  constructed above **cannot** be given transversely oriented  $\mathbb{R}$ -covered foliations.

The same is true of the Weeks manifold, as well as examples constructed by Roberts, Shareshian and Stein.

**Summary:** We have discussed three applications of group orderability to 3-dimensional topology:

- an obstruction to the existence of maps  $M^3 \rightarrow N^3$  of finite nonzero degree.
- an approach to the Thurston conjectures.
- an obstruction to the existence of very nice foliations for  $M^3$ .

MUCHAS GRACIAS!