

AN UPPER BOUND ON THE ESSENTIAL DIMENSION OF A CENTRAL SIMPLE ALGEBRA

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ABSTRACT. We prove a new upper bound on the essential p -dimension of the projective linear group PGL_n .

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1. INTRODUCTION

Let k be a base field; all other fields will be assumed to be extensions of k .

Given a central simple algebra A over a field K one can ask whether A can be written as $A = A_0 \otimes_{K_0} K$ where A_0 is a central simple algebra over some subfield K_0 of K . In that situation we say that A *descends* to K_0 . The *essential dimension* of A , denoted $\mathrm{ed}(A)$, is the minimal transcendence degree over k of a field $K_0 \subset K$ such that A descends to K_0 . It can be thought of as “the minimal number of independent parameters” required to define A .

For a prime number p , the related notion of essential dimension at p of an algebra A/K is defined as $\mathrm{ed}(A; p) = \min \mathrm{ed}(A_{K'})$, where K'/K runs over all finite field extensions of degree prime to p .

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We also define

$$\text{ed}(\text{PGL}_n) := \mathbf{max} \{ \text{ed}(A) \},$$

and

$$\text{ed}(\text{PGL}_n; p) := \mathbf{max} \{ \text{ed}(A; p) \},$$

where the maximum is taken over all fields K/k and over all central simple K -algebras A of degree n . The appearance of PGL_n in the symbols $\text{ed}(\text{PGL}_n)$ and $\text{ed}(\text{PGL}_n; p)$ has to do with the fact that central simple algebras of degree n are in a natural bijective correspondence with PGL_n -torsors. In fact, one can define $\text{ed}(G)$ and $\text{ed}(G; p)$ for every algebraic k -group G in a similar manner, using G -torsors instead of central simple algebras; see [Re₂], [RY] or [BF].

To the best of our knowledge, the problem of computing $\text{ed}(\text{PGL}_n)$ was first raised by C. Procesi in the 1960s. Procesi and S. Amitsur constructed so-called *universal division algebras* $\text{UD}(n)$ and showed that $\text{UD}(n)$ has various generic properties among central simple algebras of degree n . In particular, their arguments can be used to show that

$$\text{ed}(\text{UD}(n)) \geq \text{ed}(A) \text{ and } \text{ed}(\text{UD}(n); p) \geq \text{ed}(A; p)$$

for any prime integer p ; cf. [LRRS, Remark 2.8]. Equivalently,

$$\text{ed}(\text{UD}(n)) = \text{ed}(\text{PGL}_n) \text{ and } \text{ed}(\text{UD}(n); p) = \text{ed}(\text{PGL}_n; p).$$

Since the center of $\text{UD}(n)$ has transcendence degree $n^2 + 1$ over k , we conclude that $\text{ed}(\text{PGL}_n) \leq n^2 + 1$. Procesi showed (using different terminology) that in fact,

$$\text{ed}(\text{PGL}_n) \leq n^2;$$

see [Pr, Theorem 2.1].

The problem of computing $\text{ed}(\text{PGL}_n)$ was raised again by B. Kahn in the early 1990s. In particular, in 1992 Kahn asked the second author if $\text{ed}(\text{PGL}_n)$ grows sublinearly in n , i.e., whether

$$\text{ed}(\text{PGL}_n) \leq an + b$$

for some positive real numbers a and b . To the best of our knowledge, this question never appeared in print but it is implicit in [Ka, Section 2]. It remains open; the best known upper bound,

$$(1) \quad \text{ed}(\text{PGL}_n) \leq \begin{cases} \frac{(n-1)(n-2)}{2}, & \text{for every odd } n \geq 5 \text{ and} \\ n^2 - 3n + 1, & \text{for every } n \geq 4 \end{cases}$$

(see [LR], [LRRS, Theorem 1.1], [Le, Proposition 1.6] and [FF]), is quadratic in n and the best known lower bound,

$$\text{ed}(\text{PGL}_{p^r}) \geq \text{ed}(\text{PGL}_{p^r}; p) \geq 2r,$$

is logarithmic.

Note that if p^s is the largest power of p dividing n then one easily checks, using primary decomposition of central simple algebras, that $\text{ed}(\text{PGL}_n; p) =$

$\text{ed}(\text{PGL}_{p^s}; p)$. Thus for the purpose of computing $\text{ed}(\text{PGL}_n; p)$ it suffices to consider the case where $n = p^s$. In this case we have showed that

$$\text{ed}(\text{PGL}_{p^s}; p) \leq p^{2s-1} - p^s + 1$$

for any $s \geq 2$; see [MR, Corollary 1.2]. The main result of this paper is the following stronger upper bound.

Theorem 1.1. *Let $n = p^s$ for some $s \geq 2$. Then*

$$\text{ed}(\text{PGL}_n; p) \leq 2\frac{n^2}{p^2} - n + 1$$

A. S. Merkurjev [Me₂] recently showed that for $s = 2$ this bound is sharp, i.e., $\text{ed}(\text{PGL}_{p^2}; p) = p^2 + 1$. We conjecture that this bound is sharp for every $s \geq 2$; this would imply, in particular, that $\text{ed}(\text{PGL}_n)$ is not sublinear in n .

Our upper bound on $\text{ed}(\text{PGL}_n; p)$ is a consequence of the following result. Here n is not assumed to be a prime power.

Theorem 1.2. *Let A/K be a central simple algebra of degree n . Suppose A contains a field F , Galois over K and $\text{Gal}(F/K)$ can be generated by $r \geq 1$ elements. If $[F : K] = n$ then we further assume that $r \geq 2$. Then*

$$\text{ed}(A) \leq r \frac{n^2}{[F : K]} - n + 1$$

Note that we always have $[F : K] \leq n$. In the special case where equality holds, i.e., A is a crossed product in the usual sense, Theorem 1.2 reduces to [LRRS, Corollary 3.10(a)].

To deduce Theorem 1.1 from Theorem 1.2, let $n = p^s$ and $A = \text{UD}(n)$. In [RS₁, 1.2], L. H. Rowen and D. J. Saltman showed that if $s \geq 2$ then there is a finite field extension K'/K of degree prime to p , such that $A' := A \otimes_K K'$ contains a field F , Galois over K' with $\text{Gal}(F/K') \simeq \mathbb{Z}/p \times \mathbb{Z}/p$. Thus, if $s \geq 2$, Theorem 1.2 tells us that

$$\text{ed}(\text{PGL}_n; p) = \text{ed}(A; p) \leq \text{ed}(A') \leq 2\frac{n^2}{p^2} - n + 1.$$

This proves Theorem 1.1. □

The remainder of this paper will be devoted to proving Theorem 1.2. We reduce the problem to a question about G -lattices, using the same approach as in [LRRS, Sections 2–3], but our analysis is more delicate here, and the results (Theorems 1.2 and 4.1) are stronger.

2. G/H -CROSSED PRODUCTS

Lemma 2.1. *In the course of proving Theorem 1.2 we may assume without loss of generality that F is contained in a subfield L of A such that L/K is a separable extension of degree $n = \text{deg}(A)$.*

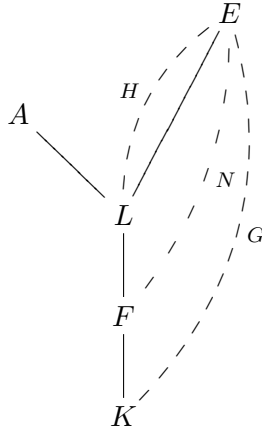
Proof. Note that we are free to replace K by $K(t)$, F by $F(t)$ and A by $A(t) = A \otimes_K K(t)$, where t is an independent variable. Indeed, $\text{ed}_k A(t) = \text{ed}_k(A)$; see, e.g., [LRRS, Lemma 2.7(a)]. Thus if the inequality of Theorem 1.2 is proved for $A(t)$, it will also hold for A .

The advantage of passing from A to $A(t)$ is that $K(t)$ is Hilbertian for any infinite field K ; see, e.g., [FJ, Proposition 13.2.1]. Thus after adjoining two variables, t_1 and t_2 as above, we may assume without loss of generality that K is Hilbertian. (Note that a subfield $L \subset A$ of degree n over K may not exist without this assumption.)

Let $F \subset F'$ be maximal among separable field extensions of F contained in A . We will look for L inside the centralizer $C_A(F')$. By the Double Centralizer Theorem, $C_A(F')$ is a central simple algebra with center F' . The maximality of F' tells us that $C_A(F')$ contains no non-trivial field extensions of F' . In particular, $C_A(F') = M_r(F')$, where $r[F' : K] = n$.

On the other hand, since K is Hilbertian, so is its finite separable extension F' ; cf. [FJ, 12.2.3]. Consequently, F' admits a finite separable extension L/F' of degree r . (To construct L/F' , start with the field extension $L_r = F'(t_1, \dots, t_r)[x]/(f(x))$ of $F'(t_1, \dots, t_r)$ of degree r , where $f(x) = x^r + t_1x^{r-1} + \dots + t_{n-1}x + t_n$ is the general polynomial of degree r . Then specialize t_1, \dots, t_r in F' , using the Hilbertian property, to obtain a field extension L/F' of degree r .) Any such L/F' can be embedded into $M_r(F')$ via the regular representation of L on $L = (F')^r$; cf. [Pi, Lemma 13.1a]. By the maximality of F' , we conclude that $L = F'$, i.e., $r = 1$ and $[L : K] = n$, as desired. \square

Let us now assume that our central simple algebra A/K has a separable maximal subfield L/K , as in Lemma 2.1. We will denote the Galois closure of L over K by E and the associated Galois groups by $G = \text{Gal}(E/K)$, $H = \text{Gal}(E/L)$ and $N = \text{Gal}(E/F)$, as in the diagram below.



In the terminology of [LRRS], A/K is a G/H -crossed product; cf. also [FSS, Appendix]. Note that since E/K is the smallest Galois extension containing

L/K , we have

$$(2) \quad \text{Core}_G(H) = \bigcap_{g \in G} H^g = \{1\}.$$

where $H^g := gHg^{-1}$. We will assume that this condition is satisfied whenever we talk about G/H -crossed products.

Using the notation introduced above and remembering that $[G : H] = [L : K] = \deg(A) = n$, and $\frac{n}{[F : K]} = [L : F] = [N : H]$, we can restate Theorem 1.2 as follows.

Theorem 2.2. *Let A be a G/H -crossed product. Suppose H is contained in a normal subgroup N of G and G/N is generated by r elements. Furthermore, assume that either $H \neq \{1\}$ or $r \geq 2$. Then*

$$\text{ed}(A) \leq r[G : H] \cdot [N : H] - [G : H] + 1.$$

3. G -LATTICES

In the sequel $H \leq G$ will be finite groups. Given $g \in G$ we will write \bar{g} for the left coset gH of H . We will denote the identity element of G by 1.

Recall that a G -lattice M is a (left) $\mathbb{Z}[G]$ -module, which is free of finite rank over \mathbb{Z} . In particular, any finite set X with a G -action gives rise to a G -lattice $\mathbb{Z}[X]$; G -lattices of this form are called *permutation*. For background material on G -lattices we refer the reader to [Lo].

Of particular interest to us will be the G -lattice $\omega(G/H)$, which is defined as the kernel of the natural augmentation map $\mathbb{Z}[G/H] \rightarrow \mathbb{Z}$, sending $n_1\bar{g}_1 + \cdots + n_s\bar{g}_s$ to $n_1 + \cdots + n_s$.

The starting point for our proof of Theorem 2.2 (and hence, of Theorem 1.2) will be the following result from [LRRS].

Theorem 3.1. ([LRRS, Theorem 3.5]) *Let P be a permutation G -lattice and*

$$0 \rightarrow M \rightarrow P \rightarrow \omega(G/H) \rightarrow 0$$

be an exact sequence of G -lattices. If the G -action on M is faithful then

$$\text{ed}(A) \leq \text{rank}(M) - n + 1$$

for any G/H -crossed product A . □

The condition that G acts faithfully on M is not automatic. However, the following lemma shows that it is satisfied for many natural choices of P .

Lemma 3.2. *Let $G \neq \{1\}$ be a finite group $H \leq G$ be a subgroup of G , H_1, \dots, H_r be subgroups of H and*

$$(3) \quad 0 \rightarrow M \rightarrow \bigoplus_{i=1}^r \mathbb{Z}[G/H_i] \rightarrow \omega(G/H) \rightarrow 0$$

be an exact sequence of G -lattices. Assume that H does not contain any nontrivial normal subgroup of G (i.e., H satisfies condition (2) above). Then the G -action on M fails to be faithful if and only if $s = 1$ and $H_1 = H$.

Here we are not specifying the map $\bigoplus_{i=1}^r \mathbb{Z}[G/H_i] \rightarrow \omega(G/H)$; the lemma holds for any exact sequence of the form (3). We also note that in the case where $H_1 = \cdots = H_r = \{1\}$, Lemma 3.2 reduces to [LRRS, Lemma 2.1].

Proof. To determine whether or not the G -action on M is faithful, we may replace M by $M_{\mathbb{Q}} := M \otimes \mathbb{Q}$. After tensoring with \mathbb{Q} , the sequence (3) splits, and we have an isomorphism

$$(4) \quad \omega(G/H)_{\mathbb{Q}} \oplus M_{\mathbb{Q}} \simeq \bigoplus_{i=1}^r \mathbb{Q}[G/H_i].$$

Case 1: $r \geq 2$. Then H_r is a subgroup of H , we have a natural surjective map $\mathbb{Q}[G/H_r] \rightarrow \mathbb{Q}[G/H]$. Using complete irreducibility over \mathbb{Q} once again, we see that $\mathbb{Q}[G/H]$ (and hence $\omega(G/H)$) is a subrepresentation of $\mathbb{Q}[G/H_r]$. Thus (4) tells us that $\mathbb{Q}[G/H_{r-1}]$ is a subrepresentation of $M_{\mathbb{Q}}$. The kernel of the G -representation on $\mathbb{Q}[G/H_{r-1}]$ is a normal subgroup of G contained in H_{r-1} (and hence, in H); by our assumption on H , any such subgroup is trivial. This shows that G acts faithfully on $\mathbb{Q}[G/H_{r-1}]$ and hence, on M .

Case 2: Now assume $r = 1$. Our exact sequence now assumes the form

$$0 \rightarrow M_{\mathbb{Q}} \rightarrow \mathbb{Q}[G/H_1] \rightarrow \omega(G/H)_{\mathbb{Q}} \rightarrow 0.$$

If $H = H_1$ then $M \simeq \mathbb{Z}$, with trivial (and hence, non-faithful) G -action.

Our goal is thus to show that if $H_1 \subsetneq H$ then the G -action on $M_{\mathbb{Q}}$ is faithful. Denote by $\mathbb{Q}[1]$ the trivial representation (it will be clear from the context of which group). Observe that

$$\begin{aligned} \mathbb{Q}[G/H_1] &\simeq \text{Ind}_{H_1}^G \mathbb{Q}[1] \simeq \text{Ind}_H^G \text{Ind}_{H_1}^H \mathbb{Q}[1] \simeq \text{Ind}_H^G \mathbb{Q}[H/H_1] \\ &\simeq \text{Ind}_H^G (\omega(H/H_1)_{\mathbb{Q}} \oplus \mathbb{Q}[1]) \\ &\simeq \text{Ind}_H^G \omega(H/H_1)_{\mathbb{Q}} \oplus \mathbb{Q}[G/H] \\ &\simeq \text{Ind}_H^G \omega(H/H_1)_{\mathbb{Q}} \oplus \omega(G/H)_{\mathbb{Q}} \oplus \mathbb{Q}[1] \end{aligned}$$

and we obtain

$$M_{\mathbb{Q}} \simeq \text{Ind}_H^G \omega(H/H_1)_{\mathbb{Q}} \oplus \mathbb{Q}[1].$$

If $H_1 \subsetneq H$ then the kernel of the G -representation $\text{Ind}_H^G \omega(H/H_1)_{\mathbb{Q}}$ is a normal subgroup of G contained in H_1 (and hence, in H). By our assumption on H , this kernel is trivial. \square

4. AN UPPER BOUND

In this section we will prove the following upper bound on the essential dimension of a G/H -crossed product.

We will say that $g_1, \dots, g_s \in G$ generate G over H if $G = \langle g_1, \dots, g_s, H \rangle$.

Theorem 4.1. *Let A be a G/H -crossed product. Suppose that*

- (i) $g_1, \dots, g_s \in G$ generate G over H , and
- (ii) if G is cyclic then $H \neq \{1\}$.

Then $\text{ed}(A) \leq \sum_{i=1}^s [G : (H \cap H^{g_i})] - [G : H] + 1$.

Remark 4.2. The index $[G : (H \cap H^{g_i})]$ appearing in the above formula can be rewritten as

$$[G : H] \cdot [H : (H \cap H^{g_i})] = [G : H] \cdot [(H \cdot H^{g_i}) : H];$$

see, e.g., [Ro, 1.3.11(i)]. Note $H \cdot H^g := \{hh' \mid h \in H, h' \in H^g\}$ is a subset of G but may not be a subgroup, and $[(H \cdot H^g) : H]$ is defined as $\frac{|H \cdot H^g|}{|H|}$.

If H is contained in a normal subgroup N of G then clearly $H \cdot H^g$ lies in N , each $[H \cdot H^g : H] \leq [N : H]$ and thus Theorem 4.1 yields

$$\text{ed}(A) \leq s[G : H] \cdot [N : H] - [G : H] + 1.$$

This is a bit weaker than the inequality of Theorem 2.2, even though the two look very similar. The difference is that we have replaced r in the inequality of Theorem 2.2 by s , where G is generated by s elements over H and by r elements over N . A priori r can be smaller than s . Nevertheless in the next section we will deduce Theorem 2.2 from Theorem 4.1 by a more delicate argument along these lines.

Our proof of Theorem 4.1 will rely on the following lemma.

Lemma 4.3. *Let V be a $\mathbb{Z}[G]$ -submodule of $\omega(G/H)$. Then*

$$G_V := \{g \in G \mid \bar{g} - \bar{1} \in V\}$$

is a subgroup of G containing H .

Proof. The inclusion $H \subset G_V$ is obvious from the definition.

To see that G_V is closed under multiplication, suppose $g, g' \in G_V$. That is, both $\bar{g} - \bar{1}$ and $\bar{g}' - \bar{1}$ lie in V . Then

$$\overline{gg'} - \bar{1} = g \cdot (\bar{g}' - \bar{1}) + (\bar{g} - \bar{1})$$

also lies in V , i.e., $gg' \in G_V$, as desired. \square

Proof of Theorem 4.1. We claim that the elements $\bar{g}_1 - \bar{1}, \dots, \bar{g}_s - \bar{1}$ generate $\omega(G/H)$ as a $\mathbb{Z}[G]$ -module.

Indeed, let V be the $\mathbb{Z}[G]$ -submodule of $\omega(G/H)$ generated by these elements. Lemma 4.3 and condition (i) tell us that V contains $\bar{g} - \bar{1}$ for every $g \in G$. Translating these elements by G , we see that V contains $\bar{a} - \bar{b}$ for every $a, b \in G$. Hence, $V = \omega(G/H)$, as claimed.

For $i = 1, \dots, s$, let

$$S_i := \{g \in G \mid g \cdot (\bar{g}_i - \bar{1}) = \bar{g}_i - \bar{1}\}$$

be the stabilizer of $\bar{g}_i - \bar{1}$ in G . We may assume here that g_i is not in H , otherwise it could be removed since it is not needed to generate G over H . Then clearly $g \in S_i$ iff $\overline{gg}_i = \bar{g}_i$ and $\bar{g} = \bar{1}$. From this one easily sees that $S_i = H \cap H^{g_i}$. Thus we have an exact sequence

$$0 \rightarrow M \rightarrow \bigoplus_{i=1}^s \mathbb{Z}[G/S_i] \xrightarrow{\phi} \omega(G/H) \rightarrow 0$$

where ϕ sends a generator of $\mathbb{Z}[G/S_i]$ to $\bar{g}_i - \bar{1} \in \omega(G/H)$. By Theorem 3.1 it remains to show that G acts faithfully on M .

By Lemma 3.2 G fails to act faithfully on M if and only if $r = 1$ and $S_1 = H = H^{g_1}$. But this possibility is ruled out by (ii). Indeed, assume that $s = 1$ and $S_1 = H = H^{g_1}$. Then $G = \langle g_1, H \rangle$ and $H = H^{g_1}$. Hence, H is normal in G . Condition (2) then tells us that $H = \{1\}$. Moreover, in this case $G = \langle g_1, H \rangle = \langle g_1 \rangle$ is cyclic, contradicting (ii). \square

5. PROOF THEOREM 1.2

As we saw above, it suffices to prove Theorem 2.2.

Let $t_1, \dots, t_r \in G/N$ be a set of generators for G/N . Choose $g_1, \dots, g_r \in G$ representing t_1, \dots, t_r . and let $H' := \langle H, H^{g_1}, \dots, H^{g_r} \rangle$. Since $H \leq N$ and N is normal in G , $H' \leq N$. The group H' depends on the choice of $g_1, \dots, g_r \in G$, so that $g_i N = t_i$. Fix t_1, \dots, t_r and choose $g_1, \dots, g_r \in G$ representing them, so that H' has the largest possible order or equivalently the smallest possible index in N . Denote this minimal possible value of $[N : H']$ by m . In particular

$$(5) \quad m = [N : H'] \leq [N : (H^{g_i g} \cdot H)]$$

for any $i = 1, \dots, r$ and any $g \in N$. Here $[N : (H^{g_i g} \cdot H)] = \frac{|N|}{|H^{g_i g} \cdot H|}$, as in Remark 4.2.

Choose a set of representatives $1 = n_1, n_2, \dots, n_m \in N$ for the distinct left cosets of H' in N . We claim that the elements

$$\{g_i n_j \mid i = 1, \dots, r; j = 1, \dots, m\}$$

generate G over H . Indeed, let G_0 be the subgroup of G generated by these elements and H . Since $n_1 = 1$, G_0 contains g_1, \dots, g_r . Hence, G_0 contains H' . Moreover, G_0 contains $n_j = g_1^{-1}(g_1 n_j)$ for every j ; hence, G_0 contains all of N . Finally, since $t_1 = g_1 N, \dots, t_r = g_r N$ generate G/N , we conclude that G_0 contains all of G . This proves the claim.

We now apply Theorem 4.1 to the elements $\{g_i n_j\}$. Substituting

$$[G : H] \cdot [H : (H \cdot H^{g_i n_j})] \text{ for } [G : (H \cap H^{g_i n_j})],$$

as in Remark 4.2, we obtain

$$\begin{aligned}
 \text{ed}(A) &\leq \sum_{i=1}^r \sum_{j=1}^m [G : (H \cap H^{g_i^{n_j}})] - [G : H] + 1 \\
 &= [G : H] \cdot \sum_{i=1}^r \sum_{j=1}^m [(H \cdot H^{g_i^{n_j}}) : H] - [G : H] + 1 \\
 &= [G : H] \cdot \sum_{i=1}^r \sum_{j=1}^m \frac{[N : H]}{[N : (H \cdot H^{g_i^{n_j}})]} - [G : H] + 1 \\
 &\leq \text{(by (5)) } [G : H] \cdot \sum_{i=1}^r \sum_{j=1}^m \frac{[N : H]}{m} - [G : H] + 1 \\
 &= r[G : H] \cdot [N : H] - [G : H] + 1
 \end{aligned}$$

as desired. This completes the proof of Theorem 2.2 and thus of Theorem 1.2. \square

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