LINEAR SYSTEM OF HYPERSURFACES PASSING THROUGH A GALOIS ORBIT

SHAMIL ASGARLI, DRAGOS GHIOCA, AND ZINOVY REICHSTEIN

ABSTRACT. Let d and n be positive integers, and E/F be a separable field extension of degree $m = \binom{n+d}{n}$. We show that if |F| > 2, then there exists a point $P \in \mathbb{P}^n(E)$ which does not lie on any degree d hypersurface defined over F. In other words, the m Galois conjugates of P impose independent conditions on the m-dimensional F-vector space of degree d forms in x_0, x_1, \ldots, x_n . As an application, we determine the maximal dimension of an F-linear system \mathcal{L} of hypersurfaces such that every F-member of \mathcal{L} is irreducible over F.

1. INTRODUCTION

Consider the vector space V of all degree d homogeneous forms in n + 1 variables with coefficients in a field F. An elementary counting argument shows that $m := \dim(V) = \binom{n+d}{n}$. Each point of $\mathbb{P}(V)$ can be identified with a projective hypersurface in \mathbb{P}^n defined over F. It is well known that if F is an infinite field, l points of $\mathbb{P}^n(F)$ in general position impose linearly independent conditions on hypersurfaces of degree d, provided that $l \leq m$; cf. Lemma 3. In particular, for points P_1, \ldots, P_m of \mathbb{P}^n in general position, there is no hypersurface of degree d which passes through all of them.

Now suppose F is an arbitrary field (possibly finite) and E/F is a separable field extension of degree m. Can we choose $P \in \mathbb{P}^n(E)$ so that the m Galois conjugates of P impose independent conditions on degree d hypersurfaces in \mathbb{P}^n ? In other words, is there always a $P \in \mathbb{P}^n(E)$ which does not lie on any degree d hypersurface defined over F? Our main result gives an affirmative answer to this question under a mild restriction on F.

Theorem 1. Let $d, n \in \mathbb{N}$, and E/F be a separable field extension of degree $m := \binom{n+d}{n}$ where |F| > 2. Then there exists a point $P \in \mathbb{P}^n(E)$ such that P does not lie on any hypersurface of degree d defined over F.

Theorem 1 can be restated as follows: there exist $a_0, a_1, ..., a_n \in E$ such that the m elements $a_0^{i_0} a_1^{i_1} \cdots a_n^{i_n}$ of E are linearly independent over F. Here $i_0, i_1, ..., i_n$ range over non-negative integers such that $i_0 + i_1 + ... + i_n = d$. Note that in the case, where n = 1, this assertion specializes to the Primitive Element Theorem for the separable field extension E/F.

As an application of Theorem 1, we prove a sharp result regarding the linear systems of hypersurfaces whose \mathbb{F}_q -members are all irreducible. Recall that a linear system $\mathcal{L} = \langle F_0, F_1, ..., F_r \rangle$ consists of hypersurfaces defined by $c_0F_0 + ... + c_0F_r = 0$ where c_i are scalars. By definition, \mathbb{F}_q -members of \mathcal{L} are those hypersurfaces where

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the coefficients c_i all live in \mathbb{F}_q . A linear system \mathcal{L} of hypersurfaces will be called \mathbb{F}_q -*irreducible* if every \mathbb{F}_q -member of \mathcal{L} is irreducible over \mathbb{F}_q . The following theorem determines the maximum size of an \mathbb{F}_q -irreducible linear system.

Theorem 2. Let q > 2, $d, n \in \mathbb{N}$, and $r := \binom{n+d}{n} - \binom{n+d-1}{n} - 1 = \binom{n+d-1}{n-1} - 1$.

(1) For t > r, no t-dimensional \mathbb{F}_q -irreducible linear system of degree d exists.

(2) There exists an r-dimensional \mathbb{F}_q -irreducible linear system of degree d.

Computer experiments with specific values of n and d suggest that the assertion of Theorem 1 may be true when |F| = 2, even though our proof does not go through in this case. If the assumption that |F| > 2 can be dropped in Theorem 1, then the assumption that q > 2 can be dropped in Theorem 2.

The remainder of this paper is structured as follows. In Section 2 we use a general position argument to prove Theorem 1 under the assumption that F is infinite. In the case where F is finite, the concept of general position no longer applies. Here we employ a point-counting argument. The strategy behind this counting argument is outlined in Section 3, and is carried out in Sections 4 and 5. In Section 6 we deduce Theorem 2 from Theorem 1 and present two examples.

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2. Proof of Theorem 1 in the case, where F is infinite

The following lemma is well known; we include a short proof for the sake of completeness.

Lemma 3. Let F be an infinite field, d and n be positive integers, and $m = \binom{n+d}{n}$. Then there exist $P_1, \ldots, P_m \in \mathbb{P}^n(F)$ such that no degree d hypersurface in \mathbb{P}^n passes through P_1, \ldots, P_m .

Proof. Let $V_0 = H^0(\mathbb{P}^n, \mathcal{O}(d))$ be the *m*-dimensional vector space space of all degree d forms in x_0, \ldots, x_n and $V_i \subset V$ be the subspace of forms vanishing at P_1, \ldots, P_i . Clearly $V_i \subseteq V_{i-1}$ for any choice of P_1, \ldots, P_i . Requiring forms to vanish on each P_i imposes one linear condition; hence, $\dim(V_i) \ge m - i$, again for any choice of P_1, \ldots, P_i . We claim that for a suitable choice of P_1, \ldots, P_m , we have

$$(2.1) V_i \subsetneq V_{i-1}$$

for every i = 1, 2, ..., m or equivalently, $\dim(V_i) = m - i$. In particular, for this choice of $P_1, ..., P_m$, we will have $\dim(V_m) = 0$, and the lemma will follow.

We will choose P_1, \ldots, P_i so that (2.1) holds, by induction on $i \in \{1, \ldots, m\}$. Indeed, assume P_1, \ldots, P_{i-1} have been chosen. Since $\dim(V_{i-1}) \ge m - i + 1 > 0$, there exists a non-zero element $f_i \in V_{i-1}$. We will now choose $P_i \in \mathbb{P}^n(F)$ so that $f_i(P_i) \ne 0$. The existence of P_i with this property is obvious, since we are assuming that F is an infinite field. For this choice of P_i , $f \in V_{i-1} \setminus V_i$, and (2.1) follows. This completes the proof of the claim and thus of Lemma 3. **Proposition 4.** Let d and n be positive integers and E/F be a commutative algebra of degree $m = \binom{n+d}{n}$ over F. View E as an m-dimensional vector space over F. Then there is a homogeneous polynomial function H on the affine space $\mathbb{A}_F(E^{n+1}) \simeq \mathbb{A}_F^{(n+1)m}$ defined over F with the following property: For any field extension F'/F, $E' = E \otimes_F F'$, a point $a = (a_0 : \ldots : a_n) \in \mathbb{P}^n(E')$ lies on a hypersurface of degree d defined over F' if and only if $H(a_0, a_1, \ldots, a_n) = 0$.

Proof. Let M_1, \ldots, M_m be distinct monomials of degree d in x_0, \ldots, x_n . Clearly $a = (a_0 : a_1 : \ldots : a_n) \in \mathbb{P}^n(E)$ lies on a hypersurface of degree d in \mathbb{P}^n defined over F if and only if $M_1(a), \ldots, M_m(a)$ are linearly dependent over F.

Suppose $\{b_1, \ldots, b_n\}$ is an *F*-basis of *E*. Write

$$b_i b_j = \sum_{h=1}^n c_{ij}^h b_h$$

where the structure constants c_{ij}^h lie in F. Using the basis b_1, \ldots, b_m we can identify E with F^m as an F-vector space (not necessarily as an algebra). Set

(2.3)
$$a_i = y_{i,1}b_1 + \ldots + y_{i,m}b_m,$$

where each $y_{i,j} \in F$. Using formulas (2.2), for every $s = 1, \ldots, m$, we can express $M_s(a)$ in the form $M_s(a) = p_{s,1}b_1 + \ldots + p_{s,m}b_m$, where each $p_{s,t}$ is a homogeneous polynomial of degree d in $y_{i,j}$ with coefficients in F. By abuse of notation, we will denote these polynomials by $p_{s,t}(y_{i,j})$.

Now, view $y_{i,j}$ as independent (n+1)m variables, as *i* ranges from 0 to *n* and *j* ranges from 1 to *m*. Set

$$H(y_{i,j}) = \det \begin{pmatrix} p_{1,1}(y_{i,j}) & p_{1,2}(y_{i,j}) & \cdots & p_{1,m}(y_{i,j}) \\ p_{2,1}(y_{i,j}) & p_{2,2}(y_{i,j}) & \cdots & p_{2,m}(y_{i,j}) \\ \vdots & \vdots & \ddots & \vdots \\ p_{m,1}(y_{i,j}) & p_{m,2}(y_{i,j}) & \cdots & p_{m,m}(y_{i,j}) \end{pmatrix}.$$

For any field extension F'/F, an F'-point $(\alpha'_{i,j}) \in \mathbb{A}_F^{(n+1)m}$ represents a point $a' = (a'_0 : \ldots : a'_m) \in \mathbb{P}^n(E')$, where $a'_i = \alpha_{i,1}b_1 + \ldots + \alpha_{i,m}b_m \in E'$ for each $i = 0, 1, \ldots, n$. By our construction, $H(\alpha_{i,j}) = 0$ if and only if $M_1(a'), \ldots, M_m(a')$ are linearly dependent over F', and the proposition follows.

Conclusion of the proof of Theorem 1, assuming F is an infinite field. Let $H(y_{i,j})$ be the homogeneous polynomial function on $\mathbb{A}_F(E^n) \simeq \mathbb{A}_F^{(n+1)m}$ defined over F whose existence is asserted by Proposition 4. We claim that H is not identically 0.

Once this claim is established, Theorem 1 readily follows from Proposition 4; since F is an infinite field, we can specialize each x_{ij} to some $c_{ij} \in F$ so that $H(c_{ij}) \neq 0$.

To prove the claim, it suffices to show that $H(c_{ij}) \neq 0$, for some choice of c_{ij} in a larger field F' containing F. Let us choose F' so that F' splits E/F, i.e., $E \otimes_F F'$ isomorphic to $E' := F' \times \ldots \times F'$ (*m* times). In particular, we can take F' to be an algebraic closure of F.

Using Proposition 4, we can rephrase the above observation as follows: in order to prove the existence of a point $a = (a_0 : a_1 : \ldots : a_n) \in \mathbb{P}^n(E)$ with the property that it does not lie of any hypersurface of degree d defined over F, it suffices to prove the existence of a point $a' = (a'_0 : \ldots : a_n) \in \mathbb{P}^n(E')$ which does not lie on any hypersurface of degree d defined over F'. To finish the proof, observe that the existence of a' with this property is equivalent to Lemma 3 with F = F'.

3. Proof of Theorem 1 in the case, where F is finite: the overall strategy

From now on, we will assume that $F = \mathbb{F}_q$ and $E = \mathbb{F}_{q^m}$ are finite fields. The purpose of this section is to outline a strategy for a proof of Theorem 1 in this case. We begin by proving Theorem 1 under an additional assumption, q > d, which greatly simplifies our counting argument.

Proposition 5. Let q be a prime power, $d, n \in \mathbb{N}$ and $m := \binom{n+d}{n}$. Assume q > d. Then there exists a point $P \in \mathbb{P}^n(\mathbb{F}_{q^m})$ such that P does not lie on any hypersurface of degree d defined over \mathbb{F}_q .

Note that here q = 2 is allowed, unlike in Theorem 1, but only in the (trivial) case, where d = 1. For the remainder of the paper,

 $\mathcal{H} \subset \mathbb{P}^n$ will denote the union of all hypersurfaces of degree d defined over \mathbb{F}_q .

Proof of Proposition 5. Observe that $\deg(\mathcal{H}) = d(q^{m-1} + \ldots + q + 1)$. Since q > d, we have

 $\deg(\mathcal{H}) \leqslant (q-1)(q^{m-1} + \dots + q + 1) = q^m - 1$

On the other hand, the degree of a space-filling hypersurface in $\mathbb{P}^n(\mathbb{F}_{q^m})$ defined over \mathbb{F}_q is at least $q^m + 1$; see, e.g., [MR98]. We conclude that \mathcal{H} is not space-filling in $\mathbb{P}^n(\mathbb{F}_{q^m})$, and the proposition follows.

When $d \ge q$, we will need a more delicate argument to show that \mathcal{H} does not contain every \mathbb{F}_{q^m} -point of \mathbb{P}^n . We will estimate the number of \mathbb{F}_{q^m} -points on \mathcal{H} , with the goal of showing that this number is strictly smaller than the number of \mathbb{F}_{q^m} -points in \mathbb{P}^n . To estimate the number of \mathbb{F}_{q^m} -points on \mathcal{H} , we will subdivide the hypersurfaces $X \subset \mathbb{P}^n$ of degree d defined over \mathbb{F}_q into two classes:

- a) X is geometrically irreducible (that is, irreducible over $\overline{\mathbb{F}_q}$), or
- b) X is geometrically reducible.

When $X \subset \mathbb{P}^n$ is geometrically irreducible, we will use the inequality

$$(3.1) |X(\mathbb{F}_{q^m})| \leq (q^{m(n-1)} + \dots + q^m + 1) + (d-1)(d-2)q^{m(n-3/2)} + 5d^{13/3}q^{m(n-2)},$$

due to Cafure and Matera [CM06]. When X is geometrically reducible, we will use Serre's estimate [Ser91],

(3.2)
$$|X(\mathbb{F}_q)| \leq dq^{m(n-1)} + q^{m(n-2)} + \dots + q^m + 1.$$

Note that both of these are polynomial bounds in q of degree m(n-1). However, the one in Case b) is asymptotically weaker, because the leading term $q^{m(n-1)}$ comes with coefficient 1 in (3.1) and with coefficient d in (3.2). To get a strong upper bound on the number of \mathbb{F}_{q^m} -points on \mathcal{H} , we need to make sure that Case b) does not occur too often. In other words, if we let t denote the fraction of hypersurfaces in \mathbb{P}^n over \mathbb{F}_q of fixed degree d which are not geometrically irreducible, then our first task is to bound t from above. Note that t depends on q, d and n.

Poonen showed that $t \to 0$, as $d \to \infty$ and q and n remain fixed; see [Poo04, Proposition 2.7]. This is not enough for our purposes. We will refine the inequalities from the proof of [Poo04, Proposition 2.7] to establish the following upper bound on t.

Proposition 6. Let t denote the fraction of hypersurfaces in \mathbb{P}^n of degree d over \mathbb{F}_q that are geometrically reducible. Assume that one of the following conditions holds:

• $n = 2, d \ge 6$ and $q \ge 3$; or

• $n \ge 3$, $d \ge 3$ and $q \ge 3$.

Then $(d-1)tq \leq 2$.

We will prove this proposition in the next section, then use it to complete the proof of Theorem 1 in Section 5.

4. Proof of Proposition 6

Following Poonen [Poo04, Proof of Proposition 2.7], we will write

(4.1)
$$t = t_1 + t_2$$

and estimate t_1 and t_2 separately. Here

- t_1 is the proportion of hypersurfaces of degree d in \mathbb{P}^n defined over \mathbb{F}_q , which are reducible over \mathbb{F}_q , and
- t_2 is the proportion of hypersurfaces of degree d in \mathbb{P}^n defined over \mathbb{F}_q , which are irreducible over \mathbb{F}_q but reducible over \mathbb{F}_{q^e} for some integer e > 1, dividing d.

Lemma 7. $t_1 \leq \frac{29}{27}q^{2-d}$ when n = 2, $q \geq 3$ and $d \geq 6$, while $t_1 \leq 1.5 \cdot q^{-\frac{n(n+d-1)}{2}+n+1}$ for all $n \geq 3$, $q \geq 3$, and $d \geq 3$.

Proof. Following the proof of [Poo04, Proposition 2.7], we obtain:

(4.2)
$$t_1 \leqslant \sum_{i=1}^{\lfloor d/2 \rfloor} q^{-N_i},$$

where

$$N_{i} = \binom{n+d}{d} - \binom{n+i}{n} - \binom{n+d-i}{n}$$

Claim: For each $1 \leq i \leq \lfloor d/2 \rfloor - 1$

- (a) $N_{i+1} N_i \ge d 2i 1$, and
- (b) $N_{i+1} N_1 \ge d 3.$

To prove part (a), we use Pascal's identity to rewrite $N_{i+1} - N_i$ as

$$N_{i+1} - N_i = \binom{n+d-i-1}{n-1} - \binom{n+i}{n-1}$$
$$= \sum_{j=0}^{d-i} \binom{n-2+j}{n-2} - \sum_{j=0}^{i+1} \binom{n-2+j}{n-2}$$
$$= \sum_{j=i+2}^{d-i} \binom{n-2+j}{n-2}$$

Each term in the above sum is ≥ 1 (note also that $d-i \ge i+2$ since $i \le \lfloor d/2 \rfloor - 1$); thus $N_{i+1} - N_i \ge d - 2i - 1$, as claimed in part (a).

To prove part (b), write $N_{i+1} - N_1 = (N_{i+1} - N_i) + (N_i - N_{i-1}) + \ldots + (N_2 - N_1)$. Part (a) tells us that each term in this sum is non-negative, and the last term, $N_2 - N_1$, is $\ge d - 3$. Thus

(4.3)
$$N_{i+1} - N_1 = (N_{i+1} - N_i) + (N_i - N_{i-1}) + \ldots + (N_2 - N_1) \ge N_2 - N_1 \ge d - 3.$$

The completes the proof of the Claim.

Next we estimate N_1 from below:

(4.4)

$$N_{1} = \binom{n+d-1}{n-1} - \binom{n+1}{n} = \binom{n+d-1}{d} - \binom{n+1}{1}$$

$$= \frac{(n+d-1)(n+d-2)\cdots(n+1)n}{d!} - (n+1)$$

$$= (n+d-1)\cdot\left(\frac{n+d-2}{d}\right)\cdots\left(\frac{n+1}{3}\right)\cdot\frac{n}{2} - (n+1)$$

$$\geqslant \frac{(n+d-1)n}{2} - (n+1).$$

Substituting (4.3) and (4.4) into the inequality (4.2), we deduce that

$$t_1 \leqslant q^{-N_1} \left(1 + \left(\frac{d}{2} - 1\right) q^{3-d} \right) = q^{-\frac{(n+d-1)n}{2} + (n+1)} \left(1 + \left(\frac{d}{2} - 1\right) q^{3-d} \right)$$

An elementary computation shows that for integers $d \ge 6$ and $q \ge 3$ (which corresponds to the case n = 2), the expression $\left(1 + \left(\frac{d}{2} - 1\right)q^{3-d}\right)$ is at most $\frac{29}{27}$ (which is achieved when q = 3 and d = 6). Similarly, when $n \ge 3$, we need to find the maximum of the expression $\left(1 + \left(\frac{d}{2} - 1\right)q^{3-d}\right)$ when $q \ge 3$ and $d \ge 3$; the maximum equals 1.5 and is attained when q = 3 and d = 3.

Thus,

$$t_1 \leqslant \frac{29}{27} \cdot q^{2-d} \text{ when } n = 2, \text{ while}$$
$$t_1 \leqslant 1.5 \cdot q^{-\frac{(n+d-1)n}{2} + (n+1)} \text{ when } n \ge 3,$$

as desired.

Next, we prove a lower bound on the proportion t_2 of hypersurfaces which are irreducible but not geometrically irreducible.

Lemma 8. Let $n \ge 2$, $q \ge 3$, $d \ge 3$, we have $t_2 \le (d-1)q^{-\frac{1}{4}\binom{n}{2}d^2+d-1}$.

Proof. It is shown in the proof of [Poo04, Proposition 2.7] that

(4.5)
$$t_2 \leqslant \sum_{e|d,e>1} q^{-M_e}$$

where $M_e = \binom{d+n}{n} - e\binom{d/e+n}{n}$. Our first task is to provide a lower bound on M_e .

Claim 1. Assume $n \ge 2$, $q \ge 3$, $d \ge 3$ and $e \mid d$, where e > 1. Then

$$M_e \ge {\binom{e}{2}} {\binom{n}{2}} {\binom{d}{e}}^2 - e + 1.$$

Proof of Claim 1. Let $S = T \cup F$, where T and F are disjoint sets of cardinality d and n, respectively. The binomial coefficient $\binom{d+n}{n}$ counts the number of n-subsets of S.

Partition T as $T = T_1 \cup T_2 \cup \cdots \cup T_e$, where $|T_i| = d/e$ for each i, and set $S_i = T_i \cup F$. Note that $|S_i| = (d/e) + n$; hence, the binomial coefficient $\binom{d/e+n}{n}$ counts the number of n-subsets of S_i . It is also clear that the number of common n-subsets of S_i and S_j for $i \neq j$ is exactly 1, namely the n-set F. Thus, the total number of n-subsets arising from S_1, S_2, \cdots, S_e is exactly:

$$e \cdot \left(\binom{d/e+n}{n} - 1 \right) + 1 = e \cdot \binom{d/e+n}{n} - e + 1$$

Next, we construct additional *n*-subsets of S that are not contained in any S_k . Fix integers $1 \leq i < j \leq e$. Choose elements $a \in T_i$ and $b \in T_j$ and consider an *n*-subset of S containing both a and b. Any such subset is of the form

 $\{a,b\} \cup E$

for some (n-2)-subset E of F. By our contruction $\{a, b\} \cup E$ is not contained in S_k for any $1 \leq k \leq e$. The number of subsets of the form $\{a, b\} \cup E$ is equal to $(d/e) \cdot (d/e) \cdot \binom{n}{n-2}$ once i and j are fixed, because there are d/e ways to choose a in T_i , d/e ways to choose b in T_j , and $\binom{n}{n-2} = \binom{n}{2}$ ways to choose an (n-2)-subset E of F. Varying (i, j) among the $\binom{e}{2}$ choices, we get a total contribution of

$$\binom{e}{2}\binom{n}{2}\binom{d}{e}^2$$

many distinct *n*-subsets of S that do not arise as *n*-subsets of S_k for any $1 \leq k \leq e$. Consequently,

$$\binom{d+n}{n} - \left(e \cdot \binom{d/e+n}{n} - e+1\right) \ge \binom{e}{2}\binom{n}{2}\left(\frac{d}{e}\right)^2,$$

leading to the lower bound

$$M_e = \binom{d+n}{n} - e \cdot \binom{d/e+n}{n} \ge \binom{e}{2} \binom{n}{2} \left(\frac{d}{e}\right)^2 - e + 1,$$

as claimed.

Claim 2:
$$M_e \ge \frac{1}{4} \binom{n}{2} d^2 - d + 1$$

To deduce this bound from Claim 1, note that

$$\binom{e}{2}\binom{n}{2}\left(\frac{d}{e}\right)^2 - e + 1 \ge \left(\frac{1}{2} - \frac{1}{2e}\right)\binom{n}{2}d^2 - d + 1 \ge \frac{1}{4}\binom{n}{2}d^2 - d + 1$$

since $d \ge e \ge 2$.

To complete the proof of Lemma 8, we note that the number of divisors e of d with e > 1 is at most d - 1. Thus the right hand side of (4.5) has at most d - 1 terms. By Claim 2, each term q^{-M_e} is at most $q^{-\frac{1}{4}\binom{n}{2}d^2+d-1}$. This yields the inequality

$$t_2 \leqslant (d-1)q^{-\frac{1}{4}\binom{n}{2}d^2+d-1}$$

of Lemma 8.

We are now ready to finish the proof of Proposition 6. Writing $t = t_1 + t_2$, as in (4.1) and using Lemma 7 and Lemma 8, we obtain

(4.6)
$$t \leq 1.5 \cdot q^{-\frac{(n+d-1)n}{2} + (n+1)} + (d-1)q^{-\frac{1}{4}\binom{n}{2}d^2 + d-1}$$

when $n \ge 3$, $q \ge 3$ and $d \ge 3$, while

(4.7)
$$t \leqslant \frac{29}{27} \cdot q^{2-d} + (d-1)q^{-\frac{1}{4}d^2 + d-1},$$

when n = 2, $q \ge 3$ and $d \ge 6$. We will consider the cases, where n = 2 and $n \ge 3$ separately.

Claim 1: For n = 2, $q \ge 3$ and $d \ge 6$, we have $(d - 1)tq \le 2$.

Indeed, when n = 2, the inequality (4.6) specializes to

$$t \leqslant \frac{29}{27}q^{2-d} + (d-1)q^{-\frac{1}{4}d^2 + d-1}$$

Consequently,

$$(d-1)tq \leq \Theta(q,d) := (d-1)\left(\frac{29}{27}q^{3-d} + (d-1)q^{-\frac{1}{4}d^2+d}\right).$$

For $d \ge 6$, both exponents in q^{3-d} and $q^{-\frac{1}{4}d^2+d}$ are negative. This yields $\Theta(q,d) \le \Theta(3,d)$ for $q \ge 3$. On the domain $d \ge 6$, the one-variable function $\Theta(3,d)$ achieves its maximum when d = 6. Thus, $(d-1)tq \le \Theta(3,6) \approx 1.125$. In particular, $(d-1)tq \le 2$. This proves Claim 1.

Claim 2. For $n \ge 3$, $q \ge 3$ and $d \ge 3$, we have $(d-1)tq \le 2$.

We argue as in the proof of Claim 1. For $n \ge 3$, the inequality (4.6) implies

$$t \leqslant 1.5q^{4-\frac{3}{2}(d+2)} + (d-1)q^{-\frac{3}{4}d^2 + d - 1}.$$

where we have substituted n = 3 in (4.6). Consequently,

$$(d-1)tq \leqslant \Psi(q,d) := (d-1)\left(1.5q^{5-\frac{3}{2}(d+2)} + (d-1)q^{-\frac{3}{4}d^2+d}\right)$$

We have $\Psi(q, d) \leq \Psi(3, d)$ for $q \geq 3$. On the domain $d \geq 3$, the one-variable function $\Psi(3, d)$ achieves its maximum when d = 3. Thus, $(d - 1)tq \leq \Psi(3, 3) \approx 0.257$. In particular, $(d - 1)tq \leq 2$. This completes the proof of Claim 2 and thus of Proposition 6.

5. Conclusion of the proof of Theorem 1

The case when F is infinite is handled in Section 2. Thus we will assume that $F = \mathbb{F}_q$ and $E = \mathbb{F}_{q^m}$ are finite fields. Furthermore, Proposition 5 delivers the desired result when q > d; hence, from now on, we assume that $q \leq d$.

We follow the strategy outlined in Section 3. Recall the notation we used there:

- ${\mathcal H}$ denotes the union of all degree d hypersurfaces in ${\mathbb P}^n$ defined over ${\mathbb F}_q,$ and
- t denotes the fraction of these hypersurfaces which are *not* geometrically irreducible.

Our goal is to show that there exists an \mathbb{F}_{q^m} -point in \mathbb{P}^n which does not lie on \mathcal{H} . As the total number of hypersurfaces of degree d defined over \mathbb{F}_q is $q^{m-1}+\ldots+q+1 = \frac{q^m-1}{q-1}$, there are exactly $t\left(\frac{q^m-1}{q-1}\right)$ hypersurfaces of degree d which are geometrically reducible. Using the upper bounds (3.1) and (3.2) on the number of points of a hypersurface of degree d, we obtain the following inequality:

$$#\mathcal{H}(\mathbb{F}_{q^m}) \leq \left(\frac{q^m - 1}{q - 1}\right) \cdot \left((1 - t)((q^{m(n-1)} + \dots + q^m + 1) + (d - 1)(d - 2)q^{m(n-3/2)} + 5d^{13/3}q^{m(n-2)}) + t(dq^{m(n-1)} + q^{m(n-2)} + \dots + q^m + 1)),$$

where $m := \binom{n+d}{n}$. After some cancellations, we can bound the term in the parenthesis after $\frac{q^m-1}{q-1}$ from above by

(5.1)
$$(1 + (d-1)t)q^{m(n-1)} + q^{m(n-2)} + \dots + q^m + 1 + (d-1)(d-2)q^{m(n-3/2)} + 5d^{13/3}q^{m(n-2)}.$$

By Proposition 6, we have

$$(5.2) (d-1)t \leqslant \frac{2}{q},$$

for all $n \ge 3$, $d \ge 3$ and $q \ge 3$, or n = 2, $q \ge 3$ and $d \ge 6$. Since we already know that Theorem 1 holds when q > d (see Proposition 5), we may assume that the inequality (5.2) holds unless (n, q, d) equals (2, 3, 3), (2, 3, 4), (2, 3, 5), (2, 4, 4), (2, 4, 5) and (2, 5, 5). These exceptional cases will be handled using a computer at the end of the proof; we ignore them for now. Next, we bound the lower-order terms in the expression (5.1).

Claim. If $n \ge 2$, $q \ge 3$ and $d \ge 3$, then we have

$$(d-1)(d-2)q^{m(n-3/2)} + (q^{m(n-2)} + \dots + q^m + 1) + 5d^{13/3}q^{m(n-2)} < q^{m(n-1)-1}$$

In order to verify this inequality, we first note that

(5.3)
$$q^{m(n-2)} + \dots + q^m + 1 = \frac{q^{m(n-1)} - 1}{q^m - 1} < \frac{q^{m(n-1)}}{q^m - 1} < \frac{q^{m(n-1)}}{1000q},$$

since $q \ge 3$ and $m \ge (d+2)(d+1)/2 \ge 10$ because $d \ge 3$. Employing (5.3), the left-hand side of the desired inequality is less than

(5.4)
$$(d-1)(d-2)q^{m(n-3/2)} + \frac{q^{m(n-1)-1}}{1000} + 5d^{13/3}q^{m(n-2)}$$

Dividing the expression from (5.4) by $q^{m(n-1)-1}$, we can easily check

$$(d-1)(d-2)q^{1-m/2} + \frac{1}{1000} + 5d^{13/3}q^{1-m} < 1,$$

keeping in mind that $q \ge 3$ and $m \ge (d+2)(d+1)/2$, while $d \ge 3$; this completes the proof of the claim.

Combining the Claim with the inequality (5.2), we see that the quantity in (5.1) is less than

$$\left(1+\frac{2}{q}\right)q^{m(n-1)}+q^{m(n-1)-1} < q^{m(n-1)}+3q^{m(n-1)-1}.$$

Thus, we obtain the following upper bound on $\#\mathcal{H}(\mathbb{F}_{q^m})$.

$$#\mathcal{H}(\mathbb{F}_{q^m}) < \left(\frac{q^m - 1}{q - 1}\right) \left(q^{m(n-1)} + 3q^{m(n-1)-1}\right)$$

In order to show that \mathcal{H} does not pass through every \mathbb{F}_{q^m} -point in \mathbb{P}^n , it is enough to show that

$$\left(\frac{q^m-1}{q-1}\right)\left(q^{m(n-1)}+3q^{m(n-1)-1}\right)\leqslant q^{mn},$$

because $\#\mathbb{P}^n(\mathbb{F}_{q^m}) = q^{mn} + \cdots + q^m + 1$. By replacing $q^m - 1$ with q^m on the left-hand-side, we claim that the stronger inequality holds:

$$q^m(q^{m(n-1)} + 3q^{m(n-1)-1}) \leq q^{mn+1} - q^{mn}.$$

After cancelling out q^{mn-1} from both sides, it remains the show,

$$q+3 \leqslant q^2 - q.$$

This last inequality $q^2 - 2q - 3 \ge 0$ is valid for all $q \ge 3$. Therefore, we have established Theorem 1 with $F = \mathbb{F}_q$ and $E = \mathbb{F}_{q^m}$, for all triples (n, q, d) with $n \ge 2, q \ge 3, d \ge 1$, and $(n, q, d) \ne (2, 3, 3), (2, 3, 4), (2, 3, 5), (2, 4, 4), (2, 4, 5),$ (2, 5, 5).

We now complete the proof of Theorem 1 by a computer-assisted computation in these six exceptional cases. For each of the exceptional triples (n, q, d), it suffices to find a single point $P \in \mathbb{P}^2(\mathbb{F}_{q^m})$ where $m = \binom{n+d}{n}$ such that P does not lie on any degree d hypersurface defined over \mathbb{F}_q .

When (n, q, d) = (2, 3, 3) we write $\mathbb{F}_{3^{10}}$ as $\mathbb{F}_3[a]/(a^{10} + a^4 + a + 1)$, and check that $P = (a : a^8 : 1)$ does not lie on any cubic plane curve defined over \mathbb{F}_3 .

When (n, q, d) = (2, 3, 4), we write $\mathbb{F}_{3^{15}}$ as $\mathbb{F}_3[a]/(a^{15} + a^2 - 1)$ and check that $P = (a : a^9 : 1)$ does not lie on any quartic plane curve defined over \mathbb{F}_3 .

When (n, q, d) = (2, 3, 5), we write $\mathbb{F}_{3^{21}}$ as $\mathbb{F}_3[a]/(a^{21} + a^{16} - 1)$ and check that $P = (a : a^{18} : 1)$ does not lie on any quintic plane curve defined over \mathbb{F}_3 .

When (n, q, d) = (2, 4, 4), we write $\mathbb{F}_{4^{15}}$ as $\mathbb{F}_4[a]/(a^{15} + a + 1)$ and check that $P = (a^3 : a^8 : 1)$ does not lie on any quartic plane curve defined over \mathbb{F}_4 .

When (n, q, d) = (2, 4, 5), we write $\mathbb{F}_{4^{21}}$ as $\mathbb{F}_4[a]/(a^{21} + a^2 + 1)$ and check that $P = (a^6 : a^{11} : 1)$ does not lie on any quintic plane curve defined over \mathbb{F}_4 .

When (n, q, d) = (2, 5, 5), we write $\mathbb{F}_{5^{21}}$ as $\mathbb{F}_5[a]/(a^{21} + a^{18} + a^{14} + 1)$ and check that $P = (a : a^9 : 1)$ does not lie on any quintic plane curve defined over \mathbb{F}_5 . \Box

6. Proof of Theorem 2

Suppose \mathcal{P} is a property of an algebraic hypersurface. For instance, \mathcal{P} could stand for "is smooth", or "is irreducible over \mathbb{F}_q ", or "is geometrically irreducible." Given a finite field \mathbb{F}_q , it is natural to ask the following.

Question 9. What is the largest value of r such that there exists a dimension-r linear system \mathcal{L} of degree d hypersurfaces in \mathbb{P}^n such that every \mathbb{F}_q -member of \mathcal{L} satisfies \mathcal{P} ?

More explicitly, Question 9 asks for the largest value of r, as a function of q, n, d, such that there exists a linear system $\mathcal{L} = \langle F_0, F_1, \cdots, F_r \rangle$ of (projective) dimension r with the following property: each nontrivial linear combination $c_0F_0 + \cdots + c_rF_r =$ 0 with $c_i \in \mathbb{F}_q$ defines a hypersurface in \mathbb{P}^n that satisfies the property \mathcal{P} . When \mathcal{P} represents the property of being smooth, we answered Question 9 in [AGR23] under a mild restriction on the characteristic of our field. More precisely, we proved that the maximum value of r is n whenever char(\mathbb{F}_q) \nmid gcd(d, n + 1).

When \mathcal{P} represents the property of being irreducible over \mathbb{F}_q , Theorem 2 pinpoints the exact answer: the largest value of r is $\binom{n+d}{n} - \binom{n+(d-1)}{n} - 1 = \binom{n+d-1}{n-1} - 1$.

Proof of Theorem 2. (1) Let $\mathcal{L} = \langle F_0, ..., F_t \rangle$ be a linear system of (projective) dimension t > r. Let V denote the vector space of all degree-d forms and consider the following subspace:

$$W = \{ F \in V \mid F \text{ is divisible by } x_0 \}.$$

Evidently, $\dim(V) = \binom{n+d}{n}$ and $\dim(W) = \binom{n+d-1}{n}$. Thus,

$$\dim_{\mathbb{F}_q}(W) + \dim_{\mathbb{F}_q}(\mathcal{L}) = \binom{n+d-1}{n} + t + 1 > \binom{n+d}{n}$$

due to t > r and our choice of r. It follows that W and \mathcal{L} meet in a nontrivial \mathbb{F}_q -subspace inside V. In other words, \mathcal{L} contains a hypersurface defined over \mathbb{F}_q whose equation is divisible by x_0 . Therefore, \mathcal{L} is not an \mathbb{F}_q -irreducible linear system.

(2) We apply Theorem 1 for degree d-1 hypersurfaces in \mathbb{P}^n . We obtain a point $P \in \mathbb{P}^n(\mathbb{F}_{q^m})$ with $m = \binom{n+d-1}{n}$ that is not contained in any hypersurface of degree d-1 defined over \mathbb{F}_q . Clearly, P is also not contained in any hypersurface of degree at most d-1. Let $P_1 := P$, and set $S = \{P_1, \dots, P_m\}$ to be the orbit of P under $\operatorname{Gal}(\mathbb{F}_{q^m}/\mathbb{F}_q)$. Consider the vector space V_S of degree d forms defined over \mathbb{F}_q , which vanish at the point P (and therefore at each point of S). Since vanishing at each additional point imposes at most one new linear condition, we obtain

$$\dim V_S \ge \binom{n+d}{d} - m = \binom{n+d}{d} - \binom{n+d-1}{n} = r+1.$$

Pick linearly independent forms $F_0, F_1, ..., F_r \in V_S$. Consider the linear system $\mathcal{L} = \langle F_0, F_1, ..., F_r \rangle$ of degree d hypersurfaces. We claim that each \mathbb{F}_q -member F of \mathcal{L} is irreducible over \mathbb{F}_q . Otherwise, $F = G \cdot H$ where $\deg(G) \leq d-1$ and $\deg(H) \leq d-1$. Since F(P) = 0, we have G(P) = 0 or H(P) = 0. Either case leads to a contradiction because P does not lie on a hypersurface of degree at most d-1 defined over \mathbb{F}_q . Therefore, \mathcal{L} is \mathbb{F}_q -irreducible.

Example 10. Consider the case d = 4 and n = 2, along with q > 2.

- By [AGR23], there exist n + 1 = 3 linearly independent plane quartics $C_i = \{F_i = 0\}$ for i = 0, 1, 2 such that the quartic $\{a_0F_0 + a_1F_1 + a_2F_2 = 0\}$ is smooth for all $(a_0, a_1, a_2) \in (\mathbb{F}_q)^3 \setminus \{(0, 0, 0)\}$. The number 3 is maximum possible here.
- By Theorem 2, there exist $r + 1 = \binom{d+2}{2} \binom{d+1}{2} = d + 1 = 5$ linearly independent plane quartics $C_i = \{F_i = 0\}$ for i = 0, 1, 2, 3, 4 such that the quartic $\{\sum_{i=0}^4 a_i F_i = 0\}$ is irreducible over \mathbb{F}_q for all $(a_0, a_1, a_2, a_3, a_4) \in (\mathbb{F}_q)^5 \setminus \{(0, 0, 0, 0, 0)\}$. The number 5 is maximum possible here.

Example 11. Consider the case d = 3 and n = 3, along with q > 2.

• By [AGR23], there exist n + 1 = 4 linearly independent cubic surfaces $C_i = \{F_i = 0\}$ for i = 0, 1, 2, 3 such that the cubic $\{a_0F_0 + a_1F_1 + a_2F_2 + a_3F_3 = 0\}$ is smooth for all $(a_0, a_1, a_2, a_3) \in (\mathbb{F}_q)^4 \setminus \{(0, 0, 0, 0)\}$. The number 4 is maximum possible here.

• By Theorem 2, there exist $r + 1 = \binom{d+3}{3} - \binom{d+2}{3} = \frac{1}{2}(d^2 + 3d + 2) = 10$ linearly independent cubic surfaces $C_i = \{F_i = 0\}$ for $i = 0, 1, 2, \cdots, 9$ such that the cubic $\{\sum_{i=0}^{9} a_i F_i = 0\}$ is irreducible over \mathbb{F}_q for all $(a_0, ..., a_9) \in (\mathbb{F}_q)^{10} \setminus \{(0, \cdots, 0)\}$. The number 10 is maximum possible here.

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Department of Mathematics and Computer Science, Santa Clara University, 500 El Camino Real, USA 95053

 $Email \ address: \verb"sasgarli@scu.edu"$

Department of Mathematics, University of British Columbia, Vancouver, BC V6T $1\overline{2}2$

Email address: dghioca@math.ubc.ca

Department of Mathematics, University of British Columbia, Vancouver, BC V6T $1\overline{2}2$

Email address: reichst@math.ubc.ca