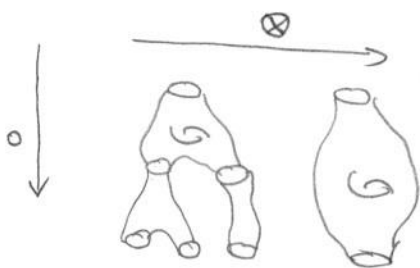


# 2d extended TQFTs and categorification

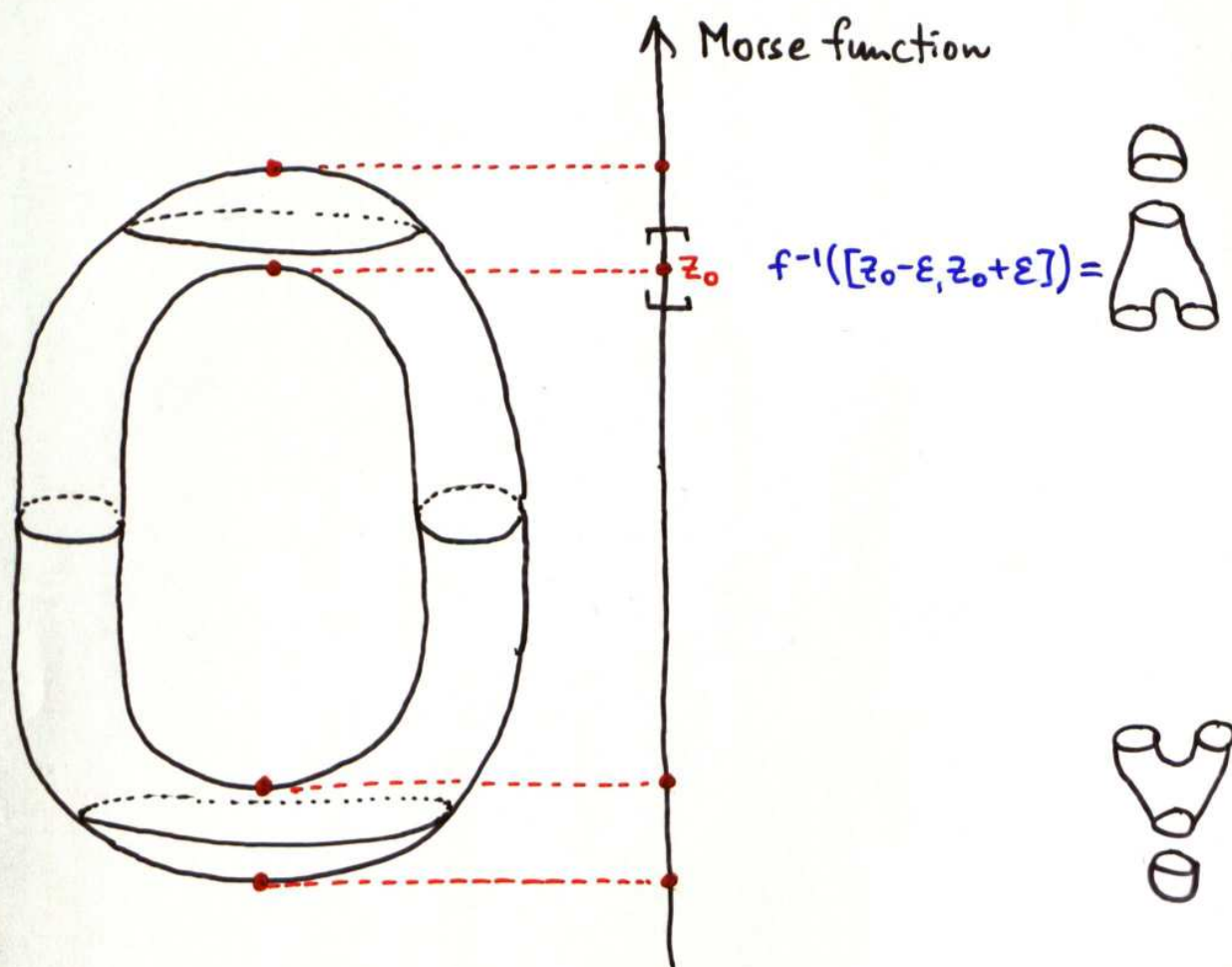
A Landa, HP, Top Appl 155(08)623  
 A Landa, HP, J Knot Th Ramif 16(07)1121  
 A Landa, HP, mat. GT/0606331

- 1 2d TQFTs
- 2 mfd's with corners and 2d extended TQFTs  
 → canonical form, invariants, classification
- 3 Khovanov homology for tangles

def: A  $d$ -dimensional TQFT is a symmetric monoidal functor  
 $Z: d\text{Cob} \rightarrow \text{Vect}_k$ .  
 Here:  $d=2$ .



$$T^2 \subseteq \mathbb{R}^3$$



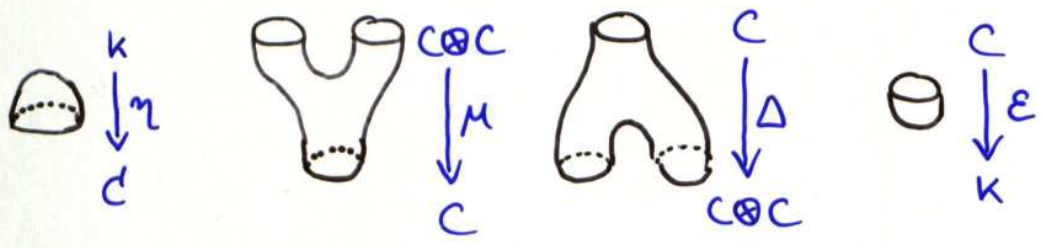
A 2-dimensional TQFT sends

(1) closed 1-manifolds to  $k$ -vector spaces

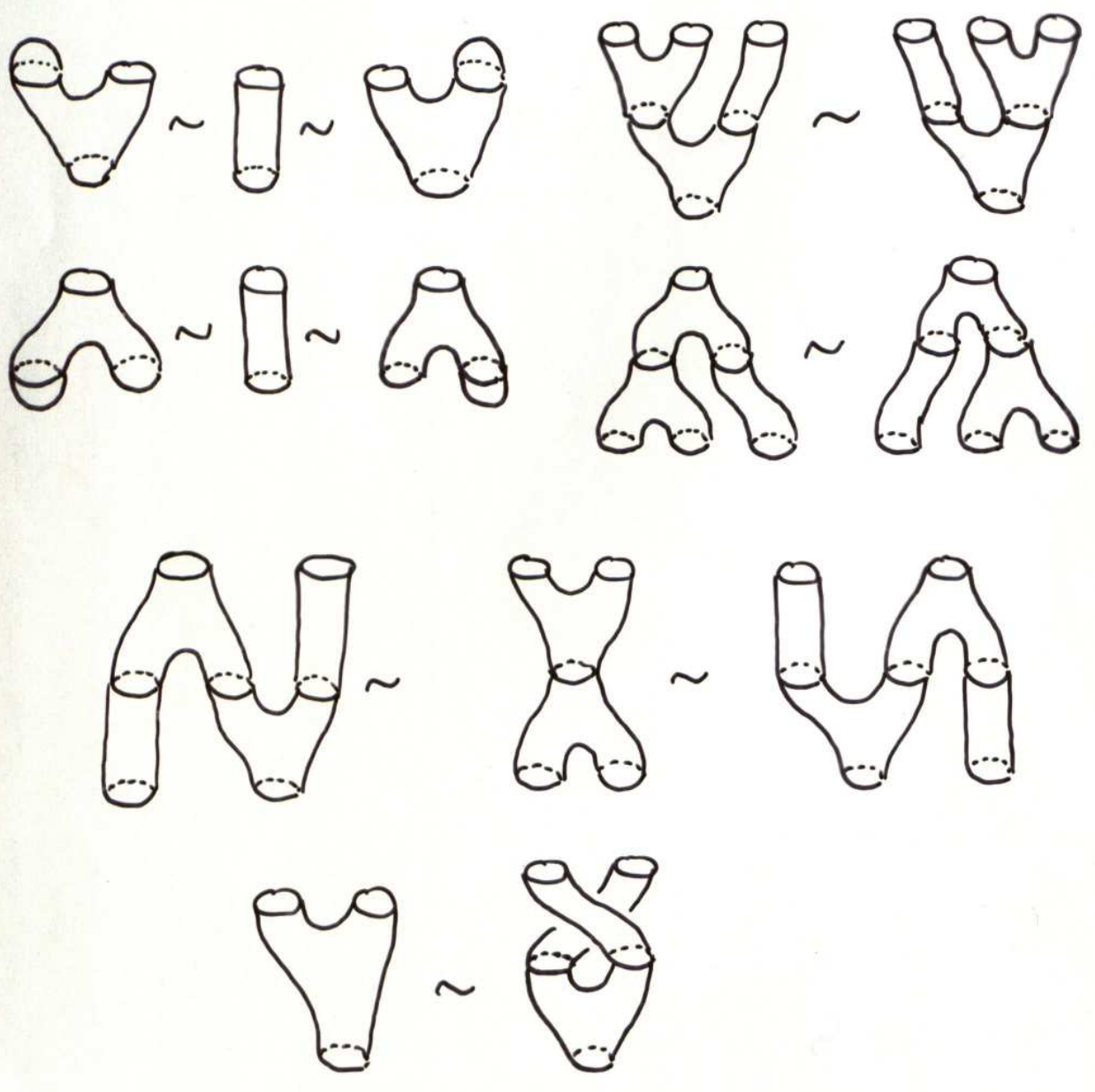
$$\begin{array}{ccc} \bigcirc & \mapsto & \mathcal{C} \\ \bigcirc \quad \bigcirc & \mapsto & \mathcal{C} \otimes \mathcal{C} \\ \emptyset & \mapsto & k \end{array}$$

(2) 2-dimensional cobordisms to  $k$ -linear maps

$$\begin{array}{ccc} \emptyset & \mapsto & k \xrightarrow{\eta} \mathcal{C} \\ \text{figure-eight} & \mapsto & \mathcal{C} \otimes \mathcal{C} \xrightarrow{\mu} \mathcal{C} \end{array}$$



The following morphisms of  $2\text{Cob}$  are equivalent:



thm: [Dijkgraaf, Segal, Abrams, Sawin]

2Cob is the free <sup>strict</sup> symmetric monoidal category generated by a commutative Frobenius algebra object.

⇒ 2d TQFTs are classified by commutative Frobenius algebras:

$$\underbrace{\text{Sym Mon}[2\text{Cob}, \text{Vect}_k]}_{2\text{dTQFTs}} \cong \text{comFrob}_k$$

def: A Frobenius algebra  $(C, \mu, \eta, \Delta, \varepsilon)$  is a vector space  $C$  with linear maps

$$\mu: C \otimes C \rightarrow C, \quad \eta: k \rightarrow C, \quad \Delta: C \rightarrow C \otimes C, \quad \varepsilon: C \rightarrow k$$

such that

- 1)  $(C, \mu, \eta)$  is a unital associative algebra
- 2)  $(C, \Delta, \varepsilon)$  is a comital coassociative coalgebra

i.e.

$$(\text{id} \otimes \varepsilon) \circ \Delta = \text{id} = (\varepsilon \otimes \text{id}) \circ \Delta$$

$$(\Delta \otimes \text{id}) \circ \Delta = (\text{id} \otimes \Delta) \circ \Delta$$

$$3) (\text{id} \otimes \mu) \circ (\Delta \otimes \text{id}) = \Delta \circ \mu = (\mu \otimes \text{id}) \circ (\text{id} \otimes \Delta)$$

It is called commutative if

$$\mu \circ \tau = \mu$$

$$\tau(a \otimes b) = b \otimes a$$

It is called symmetric if

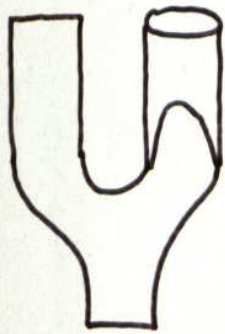
$$\varepsilon \circ \mu \circ \tau = \varepsilon \circ \mu.$$

ex:  $C = k[x]/(x^2)$

$$\Delta(1) = 1 \otimes x + x \otimes 1 \quad \varepsilon(1) = 0$$

$$\Delta(x) = x \otimes x \quad \varepsilon(x) = 1$$

A 2-dimensional  $\langle 2 \rangle$ -manifold:



$M$



$\partial M$



$\partial_0 M$

"black"



$\partial_1 M$

"white"

• •

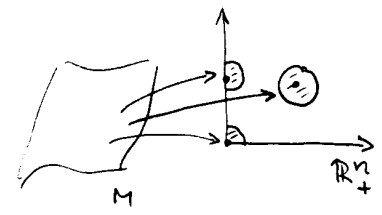
• •

$\partial_0 M \cap \partial_1 M$

"corners"

remark: A smooth manifold with corners  $M$  has coordinate systems  $\{(U_\alpha, \varphi_\alpha)\}_{\alpha \in I}$  such that the charts are homeomorphisms

$$\varphi_\alpha: U_\alpha \rightarrow \varphi_\alpha(U_\alpha) \subseteq \mathbb{R}_+^n := [0, \infty)^n$$



and transition functions

$$\varphi_\beta \circ \varphi_\alpha^{-1}: \varphi_\alpha(U_\alpha \cap U_\beta) \rightarrow \varphi_\beta(U_\alpha \cap U_\beta)$$

are restrictions to  $\mathbb{R}_+^n$  of diffeomorphisms.

def:  $p \in U_\alpha \subseteq M$

$$c(p) = \#\{i \mid (\varphi_\alpha(p))_i = 0\} \quad (\text{number of zero coefficients})$$

● A connected face is the closure of a component of  $\{p \in M \mid c(p) = 1\}$

A face is a union of pairwise disjoint connected faces.

A manifold with faces is a smooth manifold with corners such that each  $p \in M$  is contained in  $c(p)$  different connected faces

A <2>-manifold  $(M, \partial_0 M, \partial_1 M)$  is a manifold with



faces  $M$  with faces  $\partial_0 M, \partial_1 M$  such that

(i)  $\partial M = \partial_0 M \cup \partial_1 M$

(ii)  $\partial_0 M \cap \partial_1 M$  is a face of both  $\partial_0 M$  and  $\partial_1 M$ .



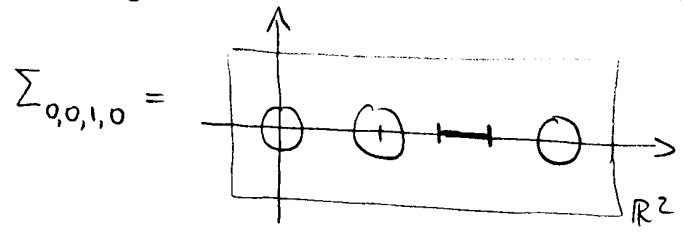
$l \in \mathbb{N}_0$

$\underline{n} = (n_0, \dots, n_{l-1}) \in \{0, 1\}^l$

$\Sigma_{\underline{n}} := \bigcup_{j=0}^{l-1} \Sigma^{(n_j)} \subseteq \mathbb{R}^2$

$\Sigma^{(0)}(x, y) := \partial B_{1/4}(x, y)$

$\Sigma^{(1)}(x, y) := [x - 1/4, x + 1/4] \times \{y\}$



def: The category  $2\text{Cob}^{\text{ext}}$  of 2-dimensional open-closed cobordisms consists of

objects:  $\underline{n} \in \{0, 1\}^l, l \in \mathbb{N}_0$

morphisms:  $[(M, \psi_1, \psi_2)] : \underline{n} \rightarrow \underline{n}'$

$M$  compact oriented 2-dimensional  $\langle 2 \rangle$ -manifold

$\psi_1 : \Sigma_{\underline{n}}^* \rightarrow \psi_1(\Sigma_{\underline{n}}^*) \subseteq \partial_0 M$

$\psi_2 : \Sigma_{\underline{n}'} \rightarrow \psi_2(\Sigma_{\underline{n}'}) \subseteq \partial_0 M$

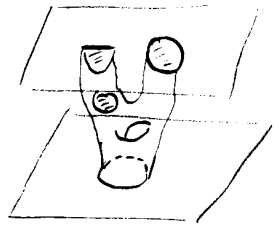
} orientation-preserving diffeo

such that

$\partial_0 M = \psi_1(\Sigma_{\underline{n}}^*) \cup \psi_2(\Sigma_{\underline{n}'})$

" $\sim$ " as above.

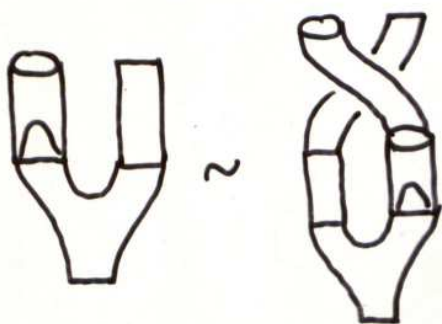
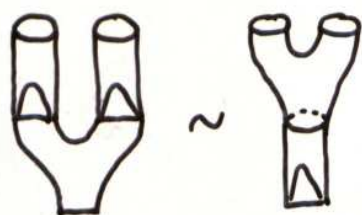
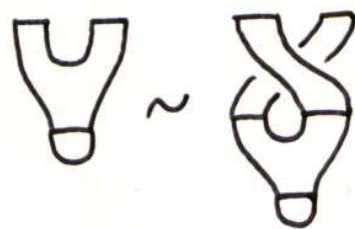
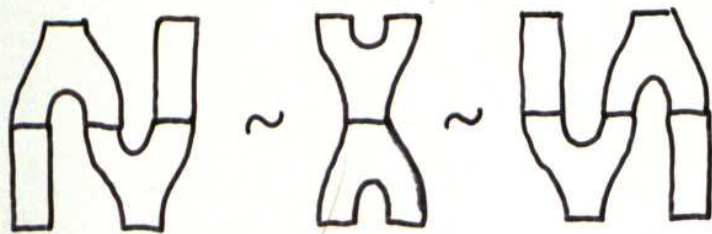
picture:



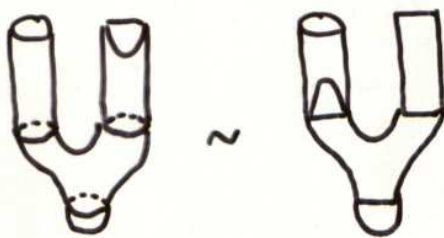
def: A 2-dimensional open-closed TQFT is a symmetric monoidal functor

$Z : 2\text{Cob}^{\text{ext}} \rightarrow \text{Vect}_k$

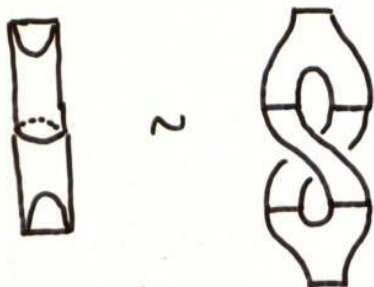




Knowledge



duality



Cardy condition

def: A knowledgeable Frobenius algebra  $(A, C, \iota, \iota^*)$  consists of

- a) a symmetric Frobenius algebra  $(A, \mu_A, \eta_A, \Delta_A, \epsilon_A)$
- b) a commutative Frobenius algebra  $(C, \mu_C, \eta_C, \Delta_C, \epsilon_C)$
- c) linear maps  $\iota: C \rightarrow A, \iota^*: A \rightarrow C$

such that

- 1)  $\iota$  is a homomorphism of algebras
- 2)  $\mu_A \circ (\iota \otimes \text{id}_A) = \mu_A \circ \tau \circ (\iota \otimes \text{id}_A)$
- 3)  $\epsilon_C \circ \mu_C \circ (\text{id}_C \otimes \iota^*) = \epsilon_A \circ \mu_A \circ (\iota \otimes \text{id}_A)$
- 4)  $\iota \circ \iota^* = \mu_A \circ \tau \circ \Delta_A$

thm: The category  $2\text{Cob}^{\text{ext}}$  is <sup>equivalent to,</sup> the free strict symmetric monoidal category generated by a knowledgeable Frobenius algebra.

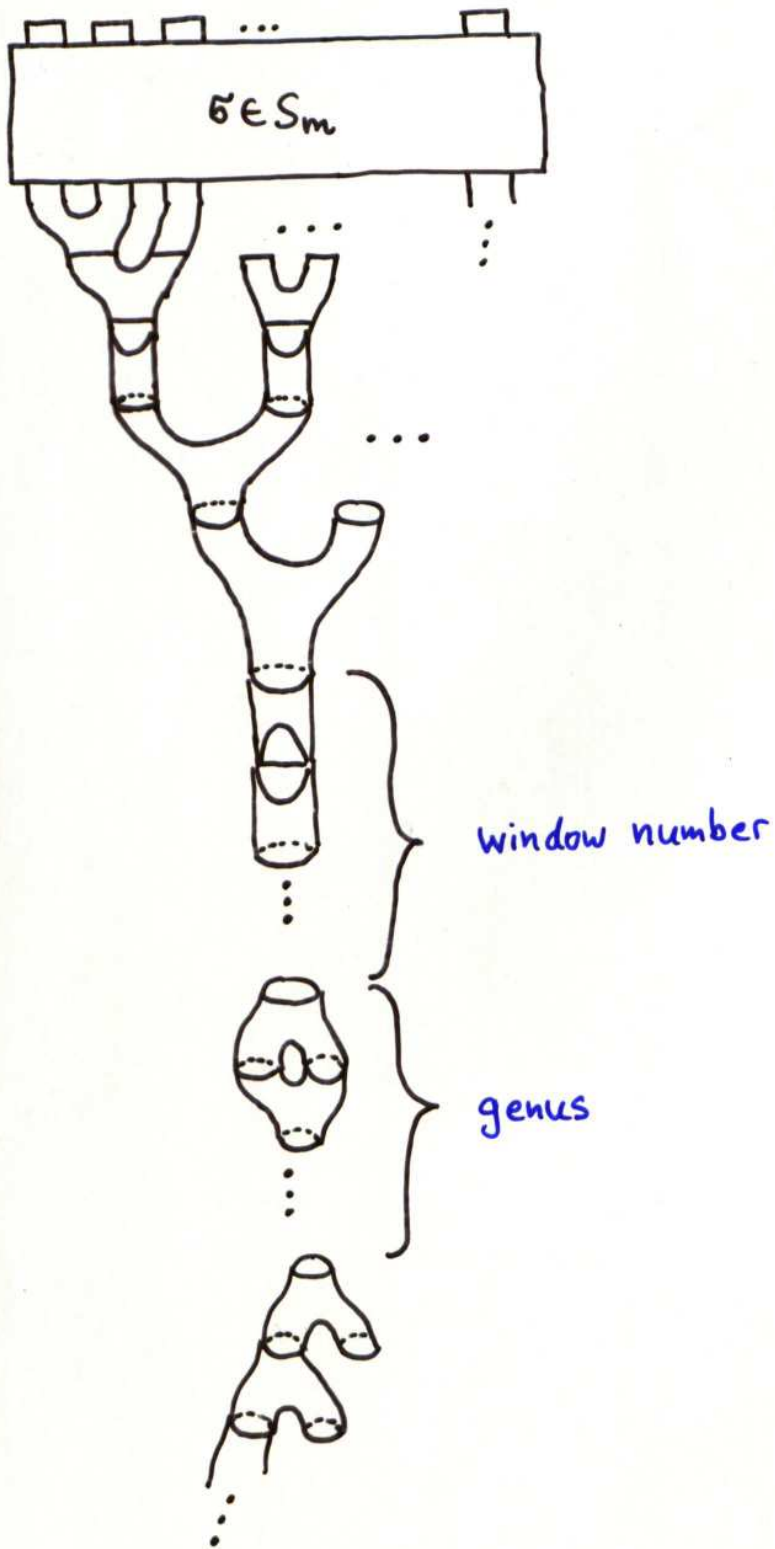
$\Rightarrow \text{SymMon} [2\text{Cob}^{\text{ext}}, \text{Vect}_K] \cong K\text{-Frob}_K$

- by the way:
- operad? No.
  - PROP? No.
  - multicategory? Yes.
  - symmetric monoidal sketch? Yes.

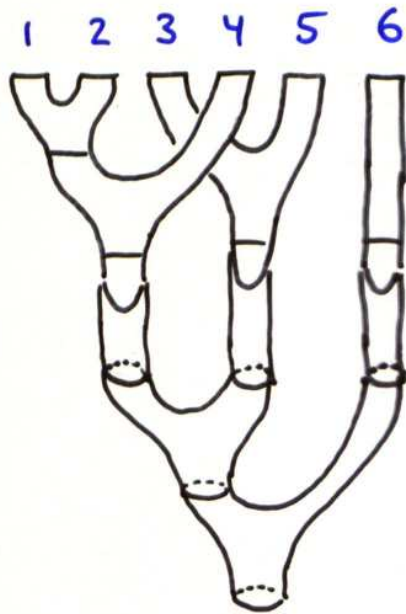
- proof:
- 1) Find generators for the morphisms of  $2\text{Cob}^{\text{ext}}$  (Morse theory)
  - 2) relations are necessary, i.e. induced by ' $\sim$ '
  - 3) relations are sufficient (canonical form)
  - 4) complete set of invariants:
    - genus, window number, boundary permutation,
    - # bdry components

Normal form of an open-closed cobordism

$$M: \underbrace{(1, \dots, 1)}_m \rightarrow \underbrace{(0, \dots, 0)}_l$$



$m=6$

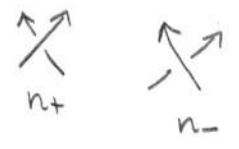


$$\sigma = (124)(35) \in S_6$$

boundary  
permutation

Khovanov homology

L plane projection of oriented link

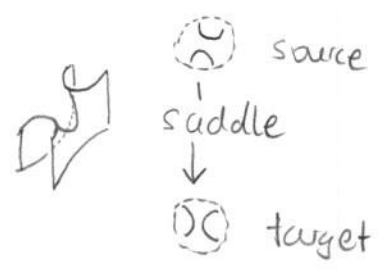


$n = n_+ + n_-$  # crossings

Kauffman bracket:  $\langle L \rangle \in \mathbb{Z}[q, q^{-1}]$

$\langle \bigcirc \rangle = q + q^{-1}$

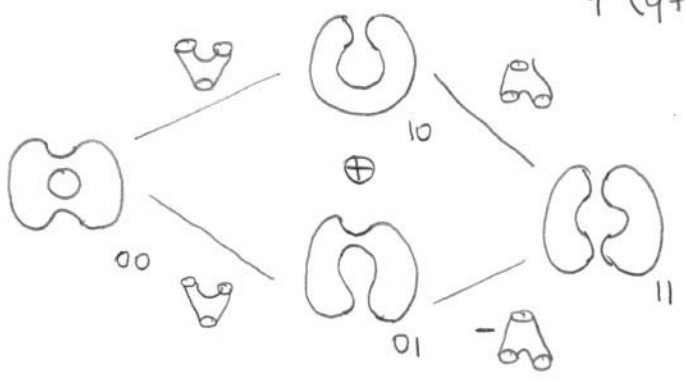
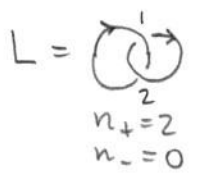
$\langle \text{crossing} \rangle = \langle \underset{0-}{\text{smoothing}} \rangle - q \langle \underset{1-}{\text{smoothing}} \rangle$



unnormalized Jones polynomial

$\hat{J}(L) = (-1)^{n_-} q^{n_+ - 2n_-} \langle L \rangle$

$\hat{J}(L) = \langle L \rangle = (q + q^{-1})^2 - q \cdot 2(q + q^{-1}) + q^2 (q + q^{-1})^2 = q^4 + q^2 + 1 + q^{-2}$

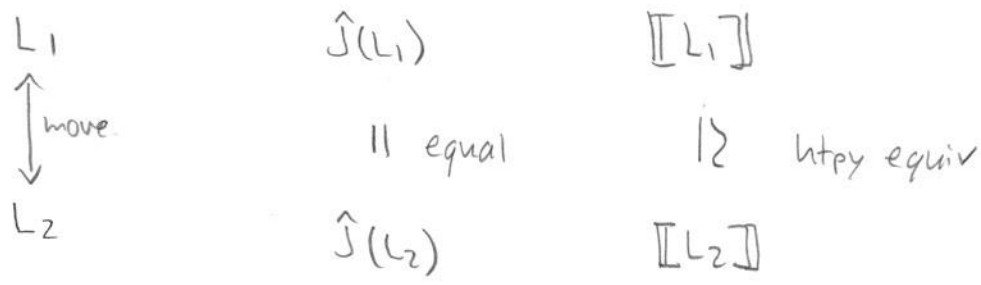


$[[L]] = (0 \rightarrow \mathbb{C} \otimes \mathbb{C} \xrightarrow{(\text{V}, \text{V})} \mathbb{C} \oplus \mathbb{C}_{\{13\}} \xrightarrow{(\text{B}, \text{B})} \mathbb{C} \oplus \mathbb{C}_{\{23\}} \rightarrow 0)$    
 shift in q-degree

$\mathcal{C} = K[x]/(x^2)$

$q\dim \mathcal{C} = \begin{matrix} q & 1 \\ \times & 1 \end{matrix}$ ,  $\chi([[L]]) = \sum_j (-1)^j q^{\dim} [[L]]_j$

Reidemeister moves



thm: a) Given a  $K$ -Frob  $(A, C, \gamma, \gamma^*)$  such that  $C$  satisfies S, T, 4Tu, one gets for each plane diagram of an oriented  $(p, q)$ -tangle  $T$  a complex  $[[T]]$  of  $(A^{\otimes p}, A^{\otimes q})$ -bimodules whose htpy type is an invariant.

b) If  $A$  is strongly separable, tangle composition is  $\otimes_{A^{\otimes p}}$  of complexes of bimodules, and there is a semistrict braided monoidal 2-category with weak duals whose decategorification gives the invariant of (a).

$$z(\text{circle}) = 0$$

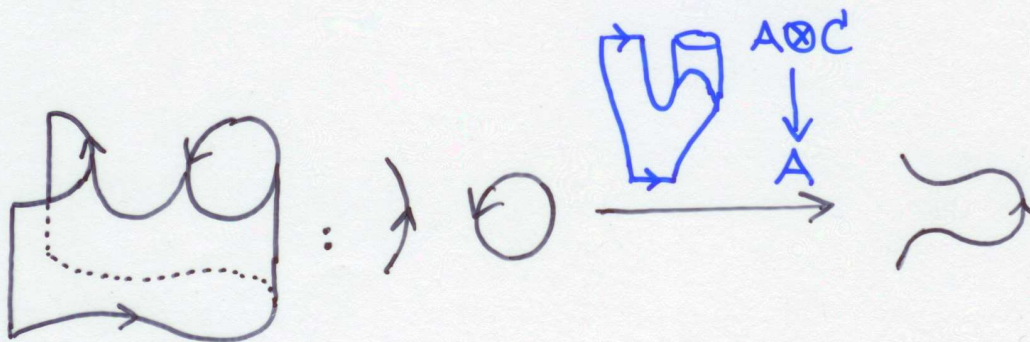
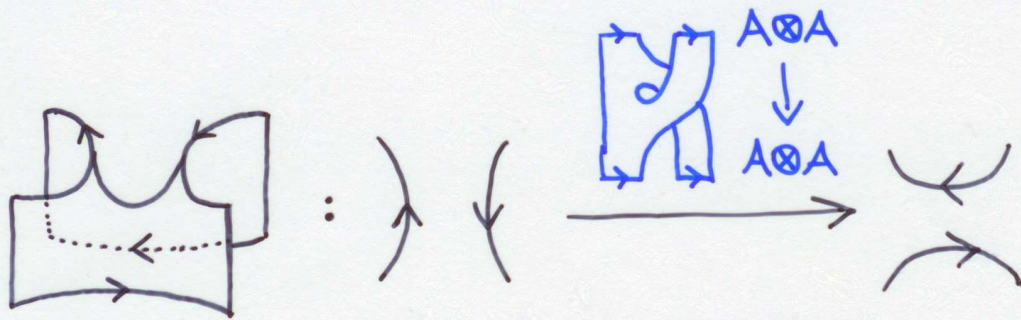
[S]

$$z(\text{figure-eight}) = 2$$

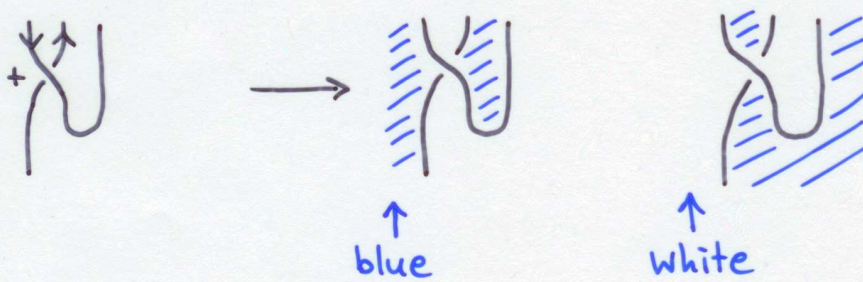
[T]

$$z(\text{cup}) + z(\text{triskelion}) - z(\text{cylinder}) - z(\text{cylinder}) = 0 \quad [4Tu]$$

→ turn Bar-Natan's cobordisms into algebra



Two checkerboard colourings



Orient the smoothings:

