An experimental investigation of internal tide
generation by two-dimensional topography

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Abstract

We present experimental results of internal wave beam generation by two-dimensional topography. The Synthetic Schlieren technique is used to study the wave fields generated by a Gaussian bump and a knife-edge. The data compares well with the theoretical predictions, supporting the use of these models to predict tidal conversion rates. In the experiments, viscosity plays an important role in smoothing the wave fields, which heals the singularities that can appear in inviscid theory and suppresses secondary instabilities of the experimental wave field.
1 Introduction

Two-dimensional ridges are considered to be the strongest generators of baro-
clinic (internal) tides, because barotropic tidal currents cannot easily flow
around, and must therefore flow over them (Holloway & Merrifield 1999).
The internal tides radiated by such ridges are characterized by well-defined
wave beams at the tidal frequency (Nash et al 2005), and their eventual
degradation is believed to play an important role in ocean mixing (Garrett
& Kunze 2007). A detailed understanding of the nature of the internal wave
fields generated by ridge-like topography is therefore an important aspect of
tidal conversion.

In a deep ocean there are four non-dimensional parameters that control
two-dimensional internal tide generation (Garrett & Kunze 2007). The first
two parameters relate the characteristic frequencies of the system: $\omega/f$ and
$\omega/N$, where $\omega$ is the tidal frequency, $f$ is the Coriolis frequency and $N$ is
the Brunt-Väisälä frequency. These two parameters govern the angle, $\theta$, of
propagation of internal gravity waves with respect to the horizontal via the
dispersion relation,

$$\tan \theta = \sqrt{\frac{\omega^2 - f^2}{N^2 - \omega^2}},$$  \hspace{1cm} (1)
such that waves only propagate if $f < \omega < N$. The other two parameters relate spatial scales. The “criticality parameter”, $\varepsilon = h'_{\text{max}}/\alpha$, is the ratio of the maximum slope, $h'_{\text{max}}$, of the ocean topography, $h(x)$, to the slope of the radiated wave beam, $\alpha = \tan \theta$. The “excursion parameter”, $u_0/\omega l$, is the ratio of the tidal displacement, $a = u_0/\omega$, $u_0$ being the maximum tidal velocity, to the horizontal scale of the topography, $l$.

When the excursion parameter is small, the governing fluid equations become linearized and the conversion problem is dramatically simplified. As this regime is relevant to most ocean ridges, many theoretical results focus on this particular limit of the problem, and consider either “subcritical” ($\varepsilon < 1$) or “supercritical” ($\varepsilon > 1$) topography. Subcritical topography has slopes that are everywhere less than the slope of the internal wave beams, whereas the slope of supercritical topography somewhere exceeds that inclination. Subcritical topography generates smooth wave profiles (Balmforth, Ierley & Young 2002). Tidal conversion by supercritical topography, on the other hand, is characterized by wave beams that become singular along rays emanating from the positions on the topography where the angle of the slope equals $\theta$ (Baines 1982; St. Laurent et al. 2003; Llewellyn Smith & Young 2003; Petrelis, Llewellyn-Smith & Young 2006).
Our goal in the current work is to present a laboratory exploration that complements these theoretical studies. We consider two topographic configurations, designed to test separately the sub- and supercritical theories. For subcritical topography, we use a Gaussian-shaped bump; for supercritical topography, we use a knife-edge\(^1\). The experiments utilize the Synthetic Schlieren technique (Sutherland et al. 1999); further details are presented in section 2. Section 3 summarizes the theoretical formulation of the problem. Sections 4 and 5 compare theory and experiment for the Gaussian and knife-edge topographies, and in section 6 we draw our conclusions.

### 2 Experimental Arrangement

The experiments were performed in a 1.32 m-wide, 0.2 m-thick and 0.66 m-high Plexiglas tank, as illustrated in Figure 1. A UHMW polyethylene sliding stage was placed on the base of the tank, and connected via a spring-and-pulley system to a motion-controlled traverse. The sliding stage and the traverse were levelled to within 0.1 ± 0.02° of the horizontal using a spirit level.

\(^1\)After submitting this article for publication, we became aware of two related, more recent studies: Gostiaux & Dauxois (2007) perform an experimental exploration of wave generation at a continental shelf. Zhang, King & Swinney report experiments with an oscillating cylinder (in the fashion of Sutherland et al. (1999)); by oscillating this object horizontally, they offer a visualization of wave generation by a supercritical ocean ridge.
level. The topographic features were mounted in the central section of the sliding stage, which was subsequently oscillated from side-to-side. The topographic features used were: a Gaussian bump of height $h = 14.7 \pm 0.2 \text{ mm}$ and standard deviation $\sigma = 20 \pm 0.2 \text{ mm}$ cut out of open-cell foam; and a knife-edge of height $h = 16.5 \pm 0.2 \text{ mm}$ and width $l = 1.28 \pm 0.02 \text{ mm}$, machined out of stainless steel. For each experiment, the millimeter amplitude oscillation of the topography was tracked using a video camera. Blocksom-filter matting was placed at the end walls of the tank and along the surface to provide highly-effective damping of internal gravity waves.

A linear stratification was established using the Oster double-bucket technique (Oster 1965), and was not noticeably affected by the presence of the topography, which was much smaller than the size of the wave tank. The Brunt-Väisälä frequency $N$ was determined using both a PME conductivity probe and by measuring the wave-beam angles, $\theta$, for a given forcing frequency. Typical values of $N$ and $\omega$ used were $1.25 \text{ rad s}^{-1}$ and between $0.60$ and $1.0 \pm 0.01 \text{ rad s}^{-1}$, respectively. Two independent techniques, a cone-and-plate rheometer and a glass capillary kinematic viscometer, were used to measure the kinematic viscosity of the salt water under operating conditions, which was found to be $\nu = 1.10 \pm 0.02 \times 10^{-6} \text{ m}^2 \text{ s}^{-1}$. The
Reynolds number for these experiments, based on the topographic height, was $\text{Re} = a \omega h / \nu \sim O(10^1)$.

Quantitative data was obtained using the Synthetic Schlieren technique (Sutherland et al. 1999). A random pattern of 0.5 mm diameter dots was printed on a transparency backlit by a 0.30 m by 0.25 m Perfalone electroluminescent sheet, and positioned a distance $B = 0.955$ m behind the tank. Apparent distortions of the pattern, caused by the wave field, were captured using a JAI CV-M4+CL CCD camera, with a resolution of 1268 by 1024 pixels. The camera was placed a distance $L = 3.50$ m in front of the tank so that area of the pattern imaged subtended a half-angle of less than $2^\circ$. Movies were processed using Digiflow (2006) software to determine the perturbations to the stratification $\Delta N^2 = -(g/\rho)d\rho/dz$.

Noise levels were reduced to less than 2% of the characteristic experimental values of $\Delta N^2$ by taking the following precautions: ambient thermal fluctuations were minimized by turning off the air conditioning in the lab during an experiment, and placing a 1.5 m long thermal isolation tunnel in front of the camera; intensity fluctuations of rms-amplitude 3/256 in the light sheet were countered by achieving a high contrast level in excess of 110/256 between light and dark regions in the random pattern; and $\Delta N^2$ was aver-
aged over the data from the same phase in four consecutive periods (this final
procedure also confirmed that the wave field had reached steady-state). To
check the performance of our Synthetic Schlieren arrangement, prior to these
experiments we successfully repeated the oscillating cylinder experiments of
Sutherland et al. (1999) and Dalziel et al. (2000).

3 Governing equations

In the limit of a small excursion parameter, and assuming the Boussinesq
approximation, the governing linearized equations for wave motion in a two-
dimensional, stratified fluid can be written in the form,

\[ u_t + p_x = \nu \nabla^2 u, \quad (2) \]

\[ w_t - b + p_z = \nu \nabla^2 w, \quad (3) \]

\[ b_t + N^2 w = 0, \quad (4) \]

\[ u_x + w_z = 0, \quad (5) \]

where \((u, w)\) is the velocity field, \(p\) and \(b\) are the associated variations in pres-
sure and buoyancy, \(\nu\) is the viscosity, and \(x\) and \(z\) are the horizontal and ver-
tical coordinates, respectively. Here we have neglected background rotation,
which was not present in the experiments, and retained viscosity. By defining a streamfunction $\psi(x, z)$, such that $u(x, z, t) = \text{Re}[-\psi_z(x, z), \psi_x(x, z)]e^{-i\omega t}$, equations (2) through (5) can be reduced to the internal-wave equation,

$$\omega^2\nabla^2 \psi(x, z) - N^2 \psi_{xx}(x, z) = i\omega \nu \nabla^4 \psi(x, z).$$  \hspace{1cm} (6)

Since the amplitude of the topography ($\sim 15$ mm) was small compared to the depth of the tank ($\sim 0.6$ m) in the experiments, we assume a semi-infinite domain, $h(x) < z < \infty$. This demands the imposition of a radiation condition as $z \to \infty$ for the inviscid problem ($\nu = 0$), or that amplitudes decay if $\nu \neq 0$. We must also impose boundary conditions on the topography at $z = h(x)$. Inviscid theory requires that flow follow the topographic slope:

$$\psi(x, h(x)) = u_0 h(x).$$  \hspace{1cm} (7)

In a full viscous model, the no-slip condition is more appropriate.
4 The Gaussian bump

4.1 Analytical solution

Balmforth, Ierley & Young (2002) solve the inviscid version of (6) by adopting periodic boundary conditions in $x$ and introducing the Fourier series solution

$$
\psi(x, z) = \sum_{n=1}^{\infty} a_n e^{mz} \cos(n \kappa x),
$$

where $m = -in \mu \kappa$, $\kappa = 2\pi / L$, $\mu = \sqrt{(N^2 / \omega^2)} - 1$ and $L$ is the length of the periodic domain. The coefficients $a_n$ can be found by substituting the series into (6) and solving the resulting integral equation by Fourier projection and matrix methods. The solution assumes a priori that there are only up-going waves, and cannot, therefore, deal with supercritical topography. For the topography, one can take

$$
h(x) = h_0 e^{-\gamma(1 - \cos \kappa x)},
$$

which, for large values of the dimensionless parameter, $\gamma$, turns into a periodic array of nearly Gaussian bumps with height $h_0$ and standard deviation $\sigma = \kappa / \sqrt{\gamma}$. 

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To incorporate the effects of weak viscosity, we follow the lead of Hurley & Keady (1997) and assume that there is passive Stokes boundary layer of thickness $O(\sqrt{\nu/\omega})$ above the topography in which the solution varies sharply to satisfy the no-slip condition; but which otherwise does not affect the solution. The far-field inviscid solution is then obtained by replacing the original exponent, $m$, in (8) with

$$m \approx -i\nu \kappa \mu \left(1 - \frac{i\nu \kappa^2 \eta^4}{2\mu^2 \omega^5}\right).$$

This exponent is the relevant approximate root to the dispersion relation that follows from (6).

Equation (8) incorporating the viscous correction (10) applies to periodic topography. However, when the Gaussians are widely separated it is more appropriate to split (8) into the two components that represent the left and right-going wave beams (achieved by writing the cosine in terms of its constituent exponentials), and to apply a viscous correction to each separately. The right-going wave beam, for example, must then be corrected according to the distance travelled along the beam, rather than in $z$ alone. We thus

\[\text{Of the four roots to this dispersion relation, one grows as } z \to \infty, \text{ two are associated with the Stokes layer, and the remaining root is the perturbed inviscid solution, } m = -i\nu \kappa \mu.\]
write
\[ \psi(x, z) = \sum_{n=1}^{\infty} a_n e^{i n \pi (x - \mu z) - \frac{\pi n^3 \sigma^3 N^4 (x + \mu z)}{2 \mu^2 \sigma^2}} \] (11)

and from this compute \( \Delta N^2 = \frac{\partial b}{\partial z} \) for comparison with experiment.

4.2 Experimental results

The Gaussian topography described in section 2 was cut to have a maximum slope angle of 24°. Here, we present results for two experiments performed using this topography, for subcritical and near-critical values of \( \varepsilon \).

In the first experiment, we set \( \varepsilon = 0.30 \pm 0.01 \) by virtue of \( N = 1.23 \pm 0.01 \text{ rad s}^{-1} \) and \( \omega = 0.98 \text{ rad s}^{-1} \), resulting in a wave beam angle of \( \theta = 53.3 \pm 0.2^\circ \). The horizontal scale of the topography was \( l = 4\sigma = 80.0 \text{ mm} \) and the forcing amplitude was \( a = 2.79 \pm 0.02 \text{ mm} \), giving a small excursion parameter \( a/l \approx 0.03 \). Figure 2 presents a contour plot of \( \Delta N^2 \) for a radiated wave beam at an instant when the topography was at the left-most point of its oscillation. Henceforth, we define the phase of the oscillation \( \phi \) to be zero at the instant when the topography is at the midpoint of its oscillation moving right-to-left, which corresponds to maximum tidal velocity in the positive \( x \) direction in the frame of reference moving with the topography (this being
the usual frame of reference for analytical models). The corresponding phase of oscillation for Figure 2 is therefore $\phi = \pi/2$.

As a quantitative comparison between experiment and theory, we take cross-sectional profiles at the locations indicated by lines 1 and 2 in Figure 2, whose centers are a distance $4\sigma$ and $12\sigma$ from the origin of the Gaussian topography, respectively. The results are presented in Figure 3, in which we identify the cross-beam coordinate $\eta$. Profiles are presented for two different phases, $\phi = 0$ and $\pi/2$, after transient waves from the first few oscillations have disappeared and the wave field is periodic. In agreement with theory, the profiles at $\phi = \pi$ and $3\pi/2$ were the inverse of those taken at $\phi = 0$ and $\pi/2$, respectively.

In general, the viscous theory compares very well with the experimental results, and is superior to the inviscid theory, which is also shown in the figure. The viscous theory does still overpredict the perturbation amplitude in the sharp peaks and troughs; a trend that has also been reported for related studies of the wave fields generated by oscillating circular and elliptical cylinders (Dalziel, Hughes & Sutherland 2000; Sutherland & Linden 2002).

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3 The "inviscid theory" here is actually a weakly viscous solution with $\nu = 10^{-8} m^2 s^{-1}$, which we chose to ensure nonsingular beams in the knife edge solution. For consistency, we have also used this value for the Gaussian bump, and henceforth refer to this as the inviscid solution.
For the second, near-critical experiment the stratification was $N = 1.24 \pm 0.01 \text{rad s}^{-1}$, and the forcing frequency was $\omega = 0.59 \text{rad s}^{-1}$. The resulting wave beams had an angle of $\theta = 28.3 \pm 0.2^\circ$, giving $\varepsilon = 0.83 \pm 0.01$, and the amplitude of oscillation and tidal excursion parameter were the same as in the first experiment. Significantly, this value of $\varepsilon$ is the lowest for which inviscid theory predicts gravitationally unstable wave beams. Figure 4 presents a contour plot of the $\Delta N^2$ field and the locations of cross-sections 1 and 2, along which theory and experiment are compared for $\phi = 0$ and $\pi/2$ in Figure 5. Again we see that there is agreement between experiment and theory provided viscous effects are included. As one might expect, the wave field is noticeably stronger than that for the more subcritical arrangement, although there is no gravitational instability.

5 The knife-edge

5.1 Analytical solution

Predictions of the wave beams generated by knife-edge topography can be extracted from the solution for an oscillating ellipse by Hurley & Keady (1997). By suitably orientating the ellipse and collapsing one of the semi-
axes to zero, we arrive at the streamfunction for the knife edge,

\[ \psi(x, z) = -\frac{i u_0 h_0}{2} \times \]

\[ \int_0^\infty \frac{J_1(k)}{k} \exp \left[ - \left( \frac{k\alpha}{h_0} \right)^3 \nu (x + \alpha z) \left( 1 + \frac{1}{\alpha^2} \right) \frac{2}{2\omega} + i \frac{k\alpha}{h_0} (x - \frac{z}{\alpha}) \right] dk, \quad (12) \]

which holds in the upper right quadrant \((x, z > 0)\), in regions where there are only upwardly propagating waves. To find \(\Delta N^2\), the integrand of (12) is multiplied by the prefactor

\[ N^2 \left( \frac{k\alpha}{h_0} \right)^2 \left[ -\nu \left( \frac{k\alpha}{h_0} \right)^2 \frac{1 + \frac{1}{\alpha^2}}{2\omega} + i \right] \left[ -\nu \alpha \left( \frac{k\alpha}{h_0} \right)^2 \frac{1 + \frac{1}{\alpha^2}}{2\omega} - i \alpha \right] \quad (13) \]

and the integral evaluated numerically.

5.2 Experimental results

The knife-edge was oscillated side-to-side with amplitude \(a = 0.88 \pm 0.02 \text{ mm}\) and frequency \(\omega = 0.836 \text{ rad s}^{-1}\) in a stratification of \(N = 1.18 \pm 0.01 \text{ rad s}^{-1}\), generating wave beams angled at \(\theta = 44.9 \pm 0.3^\circ\) to the horizontal. Figure 6 shows the experimental \(\Delta N^2\) field for the knife edge at \(\phi = 0\), for which there is a more noticeable spreading of the beam than for the Gaussian bumps.
previously studied. Figure 7 presents a comparison between experiment and theory along cross-sections through the beams at locations 1 and 2, whose centers are $3h_0$ and $10h_0$ from the center of the knife-edge, for phases $\phi = 0$ and $\pi/2$.

Overall, the results are qualitatively similar to those for the near-critical Gaussian experiments. There is, however, some notable disagreement between experiment and theory along the length of the lower half of the beam ($\eta > 0$); experimental values of $\Delta N^2$ are lower than theoretical predictions and the experimental beam is somewhat broader. We recognize that this part of the beam is composed of both waves that travel directly upwards from the knife-edge and waves that propagate down from the knife-edge and reflect back up from topographic floor. The viscous correction we have applied does not take account of the different path lengths, nor the dissipation encountered in the Stokes layer at the boundary where the wave beam reflects, and we attribute the discrepancy to this shortcoming of the theoretical method. Our hypothesis is supported by the agreement between experiment and theory in the upper half of the beam, which comprises only waves that propagate directly upwards from the knife-edge.
6 Conclusions

We have presented the first set of quantitative experimental results for the generation of internal wave beams by two-dimensional Gaussian and knife-edge topographic features. The Synthetic Schlieren technique was used to obtain cross-sectional profiles of perturbations to the stratification $\Delta N^2$ in the wave beams generated by Gaussian and knife-edge topography, and the data was used to test two recent, linear models of tidal conversion. By accounting for the effect of viscosity, the experimental results were found to be in agreement with theory, which therefore provides support for the use of linearized analytical models to estimate tidal conversion rates by bathymetry.

Without any viscous smoothing, supercritical obstacles like the knife edge scatter wave beams that create singular buoyancy gradients. In the current experiments, viscous smoothing heals those singularities. At the same time, it also appears to remove the secondary instabilities to which inviscid wave beams are susceptible (Balmforth, Ierley & Young 2002; Petrelis, Llewellyn Smith & Young 2006), and which may play an important role in driving oceanic mixing (Lueck & Mudge 1997; Lien & Gregg 2001; Rudnick et al. 2003). We are currently working on extensions of the experiments in which we aim to generate and study these instabilities.
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