

Lecture 27:

Recall:

Defn: Let X and Y be topological spaces. A mapping $f : X \rightarrow Y$ is *closed* if its graph $G := \{(x, T(x)) : x \in X\}$ is closed in $X \times Y$.

Closed graph theorem: Let T be a linear map from a Banach space X into a Banach space Y . Let G be the graph of T . Then T is continuous iff T is closed.

Recall: “only if:” \Rightarrow (continuous implies closed) was the easy part and does not require X or Y to be Banach.

“if” \Leftarrow (closed implies continuous): requires X and Y to be Banach.

*Continuous is the a priori stronger property
 \therefore the if direction is more useful*

Uniform Bddness Principle (Banach-Steinhaus Theorem): Let X be a Banach space and Y a NVS and A a collection of BLTs from X into Y . If for all $x \in X$, $\sup_{T \in A} \|Tx\| < \infty$, then $\sup_{T \in A} \|T\| < \infty$.

Can be proven by Open Mapping or Closed Graph Theorem.

Proof: Proof directly by BCT.

Let

$$E_n = \{x \in X : \|Tx\| \leq n, \forall T \in A\}$$

Then

$$X = \bigcup_n E_n.$$

Also, since each $T \in A$ is continuous, $\{x \in X : \|Tx\| \leq n\}$ is closed and thus E_n is the intersection of closed sets and thus is closed.

Since X is complete and each E_n is closed, for some n , $\text{int}(E_n) \neq \emptyset$. So for some $x_0 \in E_n$ and $r > 0$, $\overline{B_r(x_0)} \subset E_n$.

Thus, if $x \in \overline{B_r(0)}$, then $x + x_0 \in E_n$ and so for all $T \in A$,

$$\|Tx\| \leq \|Tx_0\| + \|T(x_0 + x)\| \leq 2n$$

Thus, $\|T\| \leq 2n/r$. \square

Application: Let X be a Banach space and Y a NVS. Let T_n be a sequence of BLTs from X into Y s.t. for each $x \in X$ $\lim_{n \rightarrow \infty} T_n x$ exists. Define

$$Tx := \lim_{n \rightarrow \infty} T_n x$$

$A = \{T_n\}$

Then T is a BLT.

Proof: Since each T_n is linear, it is easy to see that T is linear.

In a NVS for any convergent sequence y_n , $\sup_n \|y_n\| < \infty$. Thus, for each x , $\sup_n \|T_n x\| < \infty$. Thus, by uniform boundedness $\sup_n \|T_n\| < \infty$, say $\sup_n \|T_n\| \leq M$. Thus, for each $x \in X$,

$$\|Tx\| = \lim_{n \rightarrow \infty} \|T_n x\| \leq \limsup_{n \rightarrow \infty} \|T_n\| \|x\| \leq M \|x\|.$$

So, T is a BLT. \square

Another application: A subset S of a NVS X is bounded if for all $f \in X^*$, $\sup_{x \in S} |f(x)| < \infty$.

Proof: Recall that X^* is a Banach space. Let \hat{S} be the image of S via the canonical embedding $X \rightarrow X^{**}$, $x \mapsto \hat{x}$. Apply the UBP to $\mathcal{A} = \hat{S}$. The assumption becomes for all $f \in X^*$,

$$\sup_{\hat{x} \in \hat{S}} |\hat{x}(f)| < \infty$$

The conclusion of UBP is that

$$\sup_{\hat{x} \in \hat{S}} \|\hat{x}\| < \infty$$

But since $\|\hat{x}\| = \|x\|$, S is bounded. \square

Apply UBP to
 $\mathcal{A} = \hat{S}$
Banach X^{**}
 $Y = K$

Defn: A *topological vector space (TVS)* is a vector space, together with a topology, such that vector addition and scalar multiplication are continuous, i.e., the maps

$$X \times X \rightarrow X, (x, y) \mapsto x + y \text{ and } K \times X \rightarrow X, (\lambda, x) \mapsto \lambda x$$

are continuous w.r.t. the product topology on the domains.

You can consider other combinations of geometric/algebraic structures. For instance,

Defn: A *topological group* is a group, together with a topology, such that multiplication and inverses are continuous, i.e., the maps

$$X \times X \rightarrow X, (x, y) \mapsto xy \text{ and } X \rightarrow X, x \mapsto x^{-1}$$

are continuous.

A Lie group is a topological group where X is a differentiable manifold and multiplication and inverses are differentiable.

Prop: Any NVS is a TVS.

Proof:

As mentioned earlier, for NVS's X and Y , the topology of the product norm, $\|(x, y)\| = \|x\| + \|y\|$, is the same as the product topology of $X \times Y$, and convergence $(x_n, y_n) \rightarrow (x, y)$ is the same as $x_n \rightarrow x$ and $y_n \rightarrow y$.

Continuity of vector addition:

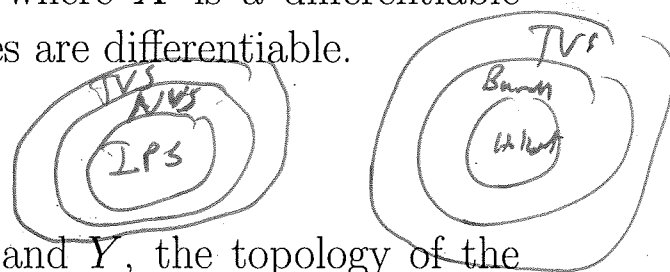
$$\|(x, y)\| := \|x\| + \|y\|$$

$$\|x_n + y_n - (x + y)\| \leq \|x_n - x\| + \|y_n - y\|$$

So, as $x_n \rightarrow x$ and $y_n \rightarrow y$, $x_n + y_n \rightarrow x + y$.

Continuity of scalar multiplication:

$$\|(\lambda, x)\| := |\lambda| + \|x\|$$



$$x_n \rightarrow x \text{ and } y_n \rightarrow y \Rightarrow x_n + y_n \rightarrow x + y$$

$$x_n \rightarrow x \text{ and } \lambda_n \rightarrow \lambda \Rightarrow \lambda_n x_n \rightarrow \lambda x$$

$$\begin{aligned} \|\lambda_n x_n - \lambda x\| &= \|(\lambda_n x_n - \lambda_n x) + (\lambda_n x - \lambda x)\| \leq |\lambda_n| \|x_n - x\| + |\lambda_n - \lambda| \|x\| \\ &\leq 2|\lambda| \|x_n - x\| + |\lambda_n - \lambda| \|x\| \end{aligned}$$

the latter for sufficiently large n .

So, as $x_n \rightarrow x$ and $\lambda_n \rightarrow \lambda$, $\lambda_n x_n \rightarrow \lambda x$.