

# Math 538, Lecture 16, 8/3/2024

Last time:  $L/k$  <sup>finite</sup> extension of (i) #fields,  
(ii) fields complete wrt discrete valuation

For  $\Lambda \subset L$ ,  $\Lambda^* = \{x \in L \mid \forall y \in \Lambda: \text{Tr}_k^L(xy) \in \mathcal{O}_k\}$

① If  $\mathfrak{a} \subset L$  is a fractional ideal, so is  $\mathfrak{a}^*$ .

② Def: **complementary module**:  $\mathcal{O}_{L/k} = \mathcal{O}_L^* \cdot \mathcal{O}_L$

③ Def: **relative different**  $D_{L/k} = \mathcal{O}_{L/k}^{-1} \triangleleft \mathcal{O}_L$ .

(Saw: if  $\mathfrak{a}$  is a fractional ideal,  $\mathfrak{a}^* = \mathcal{O}_{L/k} \cdot \mathfrak{a}^{-1}$ )

Lemma: In tower  $M/L/k$ ,  $D_{M/k} = D_{L/k} \cdot D_{M/L}$ .

Today: Explicit calculation of  $D_{L/k}$   
 $\Rightarrow$  ramification

Prop: Suppose  $L/k$  separable,  $L = k(\alpha)$ ,  
min poly of  $\alpha$  is  $f \in k[X]$ . Define  $b_i \in L$   
by  $\frac{f(x)}{x-\alpha} = \sum_{i=0}^{n-1} b_i x^i$ . Then  $b_i$ 's of  $L$  dual  
to  $\{\alpha^i\}_{i=0}^{n-1}$  is  $\{\frac{b_i}{f'(\alpha)}\}_{i=0}^{n-1}$ . Furthermore,

if  $\alpha \in U_L$  then  $U_k[\alpha]^* = \frac{1}{f'(\alpha)} U_k[\alpha]$

Cor: Since  $U_k[\alpha] \subset U_L$  if  $\alpha \in U_L$ ,  
set  $U_L^* \subset \frac{1}{f'(\alpha)} U_k[\alpha] \subset \frac{1}{f'(\alpha)} U_L$

so  $D_{L/K} \supset f'(\alpha) U_L$

pf of Prop: Let  $g(x) = \frac{f(x)}{x-\alpha}$ , let  $\beta$  be a root of  $f$ .

Then  $g(\beta) = \begin{cases} f'(\beta) & \beta = \alpha \\ 0 & \beta \neq \alpha \end{cases}$

(write  $f(x) = \prod_{\beta} (x-\beta)$  so  $f'(x) = \sum_{f(\beta)=0} \prod_{\beta \neq \gamma} (x-\beta)$ )

Enumerate roots of  $f$  as  $\{\alpha_i\}_{i=1}^n$ ,  $\alpha_1 = \alpha$

Set

$$h(x) = \sum_{i=1}^n \frac{f(x)}{x-\alpha_i} \cdot \frac{\alpha_i^r}{f'(\alpha_i)}$$

Since  $\frac{f(\alpha_j)}{\alpha_j - \alpha_i} = 0$  if  $\alpha \neq \alpha_i$ ,  $\frac{f(\alpha_j)}{\alpha_j - \alpha_j} = f'(\alpha_j)$

$$h(\alpha_j) = \alpha_j^r$$

so  $h - x^r$  has  $n$  zeroes, degree  $\leq n-1$  if  $r \leq n-1$ .

so  $h = \mathbb{R}^r$  if  $r \leq n-1$

$$\text{But } h(\mathbb{R}) = \text{Tr}_K \sum_{r=0}^{n-1} \frac{f(x)}{x-\alpha} \cdot \frac{\alpha^r}{f'(\alpha)} = \text{Tr}_K \sum_{r=0}^{n-1} \frac{g(x) \cdot \alpha^r}{f'(\alpha)}$$

if take  $i$ th coeff get

$$\delta_{ir} = \text{Tr}_K \sum_{r=0}^{n-1} \left( \frac{b_i}{f'(\alpha)} \alpha^r \right)$$

$\Rightarrow \left\{ \frac{b_i}{f'(\alpha)} \right\}_{i=0}^{n-1}$  is the dual basis.  $\checkmark$

Now suppose  $\alpha \in \mathcal{O}_L$ , i.e.  $f \in \mathcal{O}_K[x]$ ,  $f = \sum_{i=0}^n a_i x^i$   
with  $a_i \in \mathcal{O}_L$ ,  $a_n = 1$

Then

$$(x-\alpha) \sum_{i=0}^{n-1} b_i x^i = \sum_{j=0}^n a_j x^j$$

$$\Leftrightarrow b_i - \alpha b_{i+1} = a_{i+1} \quad (\text{set } b_{-1} = b_n = 0)$$

i.e.  $b_{n-1} = 1$ ,  $b_i \in \mathcal{O}_K[\alpha]$ .

[if  $\{b_j\}_{j>1} \in \mathcal{O}_K[\alpha]$ ,  $b_i = a_{i+1} + \alpha b_{i+1} \in \mathcal{O}_K[\alpha]$ ]

$$\Rightarrow \mathcal{O}_K[\alpha]^* = \bigoplus_i \mathcal{O}_K \frac{b_i}{f'(\alpha)} \subset \frac{1}{f'(\alpha)} \mathcal{O}_K[\alpha]$$

Conversely, suppose  $\alpha^i \in \text{Span}_{\mathcal{O}_k} \{b_j \mid j \geq n-i-1\}$

(e.g.  $b_{n-1} = 1$  so  $\alpha^0 \in \text{Span}_{\mathcal{O}_k} \{b_{n-1}\}$ )

Then  $\alpha b_j = b_{j-1} - a_j b_{n-1}$

$\alpha^i \in \text{Span} \{b_j \mid j \geq n-1-i\}$

so  $\alpha^{i+1} \in \text{Span}_{\mathcal{O}_k} \{\alpha b_j \mid j \geq n-1-i\}$

$\subseteq \text{Span}_{\mathcal{O}_k} \{b_{j-1} \mid j \geq n-1-i\} \cup \{b_{n-1}\}$  ✓

$\mathcal{O}_k[\alpha] \subseteq \bigoplus_{i=0}^{n-1} \mathcal{O}_k \cdot b_i$ , dualize.

□

Cor:  $L = k(\alpha)$ ,  $\alpha \in \mathcal{O}_L$ ,  $f$  min poly, then

$$D_{L/k} \mid f'(\alpha) \cdot \mathcal{O}_L$$

Ex:  $D_{L/k} = \gcd \{ f'(x) : \begin{matrix} L = k(\alpha) \\ f \text{ min poly} \end{matrix} \}$

Example: if  $L/k$  unramified of complete fields with discrete valuation, then  $D_{L/k} = (1)$

Pf: let  $\alpha \in \mathcal{O}_L$  s.t.  $\lambda = K(\bar{\alpha})$

( $\lambda = \mathcal{O}_{K/P}$ ,  $K = \mathcal{O}_{K/P}$ ,  $\bar{\alpha} = \text{Image of } \alpha \text{ in } \lambda$ )

$f = \text{min poly}$ . Then  $\bar{f} \in K[x]$  is min poly of  $\bar{\alpha}$ :  $\bar{f}(\bar{\alpha}) = 0$ ,  $\deg f = \deg \bar{f} \geq [L:K] = [L:K]$ .  
so  $\text{det } f = [L:K]$  and  $\alpha$  generates  $L$ .

By def'n of "unramified"  $f'(\bar{\alpha}) \neq 0$   
"  $f'(\alpha)$ .

so  $f'(\alpha) \in \mathcal{O}_L^\times$  so  $f'(\alpha) \mathcal{O}_L = (1)$   $\square$

Next: show  $D_{L/K} = \prod_{w \in |L|_f} D_{L_w/K_v}$   $v = w \wedge_k$ .

Def: For  $S \subset |L|_f$  finite, the  $S$ -integer are

$$\mathcal{O}_L^S = \{x \in L \mid \forall w \in |L|_f \setminus S : |x|_w \leq 1\}$$

Examples  $\mathbb{Z}^{\{2\}} = \left\{ \frac{m}{2^k} : \begin{array}{l} m \in \mathbb{Z} \\ k \in \mathbb{Z} \end{array} \right\}$

$$\mathbb{Z}^{\{2,3\}} = \left\{ \frac{m}{2^k 3^l} : \begin{array}{l} m \in \mathbb{Z} \\ k, l \in \mathbb{Z} \end{array} \right\}$$

lemma:  $\mathcal{O}_L^S$  is dense in  $L_S = \prod_{w \in S} L_w$   
 (strong approximation)

[cf.  $L$  dense in  $L_S$  ("weak approx")]

$$\Leftrightarrow \forall \{x_w\}_{w \in S} \in \prod_w L_w \quad \forall \varepsilon \exists x \in L:$$

$$(1) \forall w \in S: |x - x_w|_w < \varepsilon$$

$$(2) \forall w \in S \text{ finite } |x|_w \leq 1.$$

pb in  $\mathbb{Q}$ : given  $\{x_p\}_{p \in S}$  let  $L_p$  be st.  $p^{k_p} x_p \in \mathbb{Z}_p$

define  $\tilde{x}_p = \left( \prod_{p \in S} p^{k_p} \right) \cdot x_p$ ,  $\tilde{x}_p \in \mathbb{Z}_p$  if  $p \in S$

By CRT have  $\tilde{x} \in \mathbb{Z}$  s.t.  $\tilde{x} \equiv \tilde{x}_p \pmod{p^N}$  for

Then  $\forall p \in S$   $|Q^{-1} \tilde{x} - x_p|_p = |Q^{-1}|_p \cdot |\tilde{x} - \tilde{x}_p|_p$  each  $p \in S$

$$\leq p^{k_p} \cdot p^{-N} \quad ;$$

$$\forall p \in S \quad |Q^{-1} \tilde{x}|_p \leq 1 \quad : \quad \tilde{x} \in \mathbb{Z} \cap \mathbb{Q}$$

Prop:  $L/K$  # fields, wellf,  $v \in |K|_p$ , wlv.  
 Corresp ideal of  $L$  is  $P$ . Then exponent of  $P$   
 in  $D_{L/K}$  is the exponent of  $P_w$  in  $D_{L_w/K_v}$ .

Pf:  $\Leftrightarrow$  look at exponents for  $e_{L/K}, e_{L_w/K_v}$ .

Saw: exponents are same iff  $\overline{e_{L/K}} = e_{L_w/K_v}$   
 $\mathcal{S} = \{w' \in L \mid wlv\}$  top closure in  $L_w$

If  $x \in e_{L/K}, y \in \mathcal{O}_{L_w}$ . By strong approx  
 have  $z \in \mathcal{O}_L^S$  s.t.  $z$  is  $w$ -close to  $y$ ,  
 $z$  is  $w'$ -close to 0 if  $w' \nmid v, w' \neq w$ .

Want to understand  $\text{Tr}_{K_v}^{L_w} xy$

$$\text{know if } x \in L, \text{Tr}_{K_v}^{L_w}(xz) = \text{Tr}_{K_v}^{L_w}(xz) \\ \rightarrow \sum_{\substack{w' \mid v \\ w' \neq w}} \text{Tr}_{K_v}^{L_{w'}}(xz)$$

By choice of  $z, z \in \mathcal{O}_L, \text{Tr}_{K_v}^{L_w}(xz) \in \mathcal{O}_{K_v} \in \mathcal{O}_{K_v}$   
 $(x \in e_{L/K})$ . Get:

$$\text{Tr}_{k_v}^L(xz) = \text{Tr}_k^L(xz) - \sum_{\substack{w'/v \\ w' \neq w}} \text{Tr}_{k_v}^{Lw'}(xz)$$

$\stackrel{\text{D}}{\cup} \cup_{k_v}$ 
 $\stackrel{\text{D}}{\cup} \cup_{k_v}$

$\sin \theta$   $|z|_w$ , small  
 $\text{if } |xz|_{w'} \leq 1$

$$\Rightarrow \text{Tr}_{k_v}^{Lw}(xy) = \text{Tr}_{k_v}^{Lw}(xz) + \text{Tr}_{k_v}^{Lw}(x(y-z))$$

can choose  $z$  st  $x(y-z) \in \cup_{k_v}^{Lw}$ .

$$\Rightarrow \text{Tr}_{k_v}^{Lw}(xy) \in \cup_{k_v}^{Lw}$$

Conclude:  $\mathcal{E}_L(k) \subset \mathcal{E}_{Lw/k_v} \Rightarrow \overline{\mathcal{E}_L(k)} \subset \mathcal{E}_{Lw/k_v}$

Conversely: let  $x \in \mathcal{E}_{Lw/k_v}$  let  $z \in \cup_2^S$  be st.

$|z-x|_w, |z|_{w'}$  small ( $w' \neq w$ )

wants  $z \in \mathcal{E}_L(k)$ . let  $y \in \cup_2$ , study  $\text{Tr}_k^L(zy)$

if  $v' \neq v$  for all  $w'/v'$ , both  $z, y$  are  $w'$ -integral. so  $\text{Tr}_k^L(zy) = \sum_{w'/v'} \text{Tr}_{k_v'}^{Lw'}(zy) \in \cup_{k_v'}$



$\Rightarrow \text{Tr}_K^L(z\gamma)$  is  $v'$ -integral if  $v' \neq v$ .

$$\text{At } v, \quad \text{Tr}_K^L(z\gamma) = \text{Tr}_{K_v}^{L_w}(z\gamma) + \sum_{\substack{w'|v \\ w' \neq w}} \text{Tr}_{K_v}^{L_{w'}}(z\gamma)$$

$$= \underbrace{\text{Tr}_{K_v}^{L_w}(x\gamma)}_{\substack{\cap \\ \mathcal{O}_{K_v}}} + \underbrace{\text{Tr}_{K_v}^{L_w}((z-x)\gamma)}_{\substack{\cap \\ \mathcal{O}_{K_v} \\ \text{if } |z-x|_w \leq 1}} + \sum_{\substack{w'|v \\ w' \neq w}} \underbrace{\text{Tr}_{K_v}^{L_{w'}}(z\gamma)}_{\substack{\cap \\ \mathcal{O}_{K_v} \\ \text{if } |z|_{w'} \leq 1}}$$

$x \in \mathcal{O}_{L_w/K_v}, \gamma \in \mathcal{O}_L \subset \mathcal{O}_{L_w}$

$\text{if } |z|_{w'} \leq 1$

$$\Rightarrow \text{Tr}_K^L(z\gamma) \in \mathcal{O}_{K_v} \quad \Rightarrow \text{Tr}_K^L(z\gamma) \in \mathcal{O}_K$$

$\Rightarrow z \in \mathcal{O}_{L/K}$  so  $\mathcal{O}_{L/K}$  is dense in  $\mathcal{O}_{L_w/K_v}$ .  $\square$

Summary: Know  $D_{L/K} = \prod_{w \in (K)_f} \prod_{w|v} D_{L_w/K_v}$

if  $L/K$  unram at  $w$ ,  $D_{L_w/K_v} = (1)$

need converse: if  $e(P_w : p_v) > 1$ ,  $P_w \mid D_{L_w/K_v}$ .

Prop: Let  $L_w/K_v$  be a finite extension of fields complete w.r.t discrete valuation. Let  $e = e(L_w/K_v)$ . Then  $P_w^{e'} \mid D_{L_w/K_v}$ .

- (1)  $e=1$  or ramification is tame  $\Rightarrow$  equality
- (2) ramification is wild:  $P_w^e \mid D_{L_w/K_v}$

$\Downarrow$

Thm:  $L/K$  finite extension of  $\mathbb{Q}$  fields  
 $P \triangleleft \mathcal{O}_L$  above  $p \triangleleft \mathcal{O}_K$ . Then

$$v_P(D_{L/K}) \geq e(P:p) - 1,$$

equality iff  $p \nmid e(P:p)$  ( $p =$  rational prime below  $P, \mathcal{O}$ )

Cor: ①  $P \mid D_{L/K}$  iff  $P$  ramified

② at most finitely many ramified primes