

Sample Midterm I

[6] 1. Let  $Q$  be the plane described by the equation  $x + y + 2z = 2$ .

(a) [3] Find the point at which  $Q$  intersects the  $y$ -axis.

(b) [3] Find the value of a constant  $c$  such that  $Q$  is orthogonal to the plane  $R: 2x + cy - 3z = 0$ .

[7] 2. Let  $z = f(x, y) = 5y - x^2$ .

(a) [4] Explain briefly what the shape of the level curves of the function  $z = f(x, y)$  is, such as lines, circles, and parabolas. Sketch the level curves  $f(x, y) = z_0$  with  $z_0 = 0$  and  $z_0 = -5$ .

(b) [3] Is it possible that both the point  $(1, 2)$  and the point  $(-1, 3)$  are on the same level curve of the function  $z = f(x, y)$ ? Please justify your answer.

[6] 3. The function  $f(x, y)$  obeys

$$f(x, y) + \sin(f(x, y)) = 2x + 4xy \quad \text{and} \quad f(0, 0) = 0$$

(a) [3] Find  $\frac{\partial f}{\partial x}(0, 0)$ .

(b) [3] Find  $\frac{\partial^2 f}{\partial y \partial x}(0, 0)$ .

[5] 4. Find an equation of the plane that passes through the point  $P(a, b, c)$  and is perpendicular to the line connecting the point  $P$  and the origin. Your answer should be in terms of the constants  $a$ ,  $b$ , and  $c$ .

[10] 5. Let  $f(x,y) = 2x^3 - x^2y + y^2 - 5y$ .

(a) [5] Find all critical points of  $f(x,y)$ .

(b) [5] Determine whether each critical point corresponds to a local maximum, local minimum, or saddle point.

[10] 6. Let  $R$  be the set  $\{(x, y) \mid x^2 + y^2 \leq 1\}$ .

(a) [5] Use Lagrange multipliers to find the maximum and minimum values of  $2x^2 + y^2 - y$  on the boundary of the set  $R$ . A solution that does not use the method of Lagrange multipliers will receive no credit, even if it is correct.

(b) [5] Find the maximum and minimum values of  $2x^2 + y^2 - y$  on the set  $R$ .

# Solutions to Sample Midterm 1

1. (a) Let  $X=0$  and  $Z=0$ . We get  $0+Y+2\cdot 0=2$  or  $Y=2$ .  
Hence, the point is  $(0, 2, 0)$ .

(b) Since Q and R are orthogonal, their respective normal vectors are orthogonal. Thus, we get

$$0 = \langle 1, 1, 2 \rangle \cdot \langle 2, c, -3 \rangle = 2 + c - 6$$

$$\text{or } c = 4.$$

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2. (a) Any level curve of  $Z=f(X, Y)$  has an equation  $5Y-X^2=Z_0$  or  $Y=\frac{1}{5}X^2+\frac{Z_0}{5}$  for some constant  $Z_0$ .  
Hence, all level curves of  $Z=f(X, Y)$  are parabolas.

The level curves  $f(X, Y)=Z_0$   
with  $Z_0=0$  and  $Z_0=-5$  are  
given in Fig. 1.

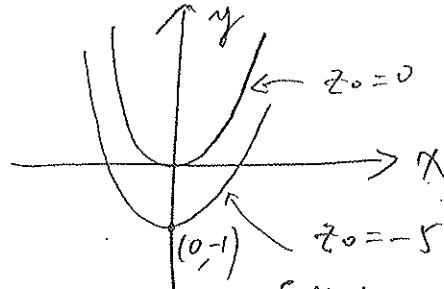


Fig. 1

(b) No. In fact, if  $(1, 2)$  is on a level curve,  
then the equation of the level curve must be  $5Y-X^2=5\cdot 2-1^2$   
or  $5Y-X^2=9$ . Since  $5\cdot 3 - (-1)^2 = 14 \neq 9$ , it is impossible  
that  $(-1, 3)$  is also on the level curve:  $5Y-X^2=9$ .



3.

Solution. (a) Applying  $\frac{\partial}{\partial x}$  to  $f(x, y) + \sin(f(x, y)) = 2x + 4xy$  gives

$$f_x(x, y) + f_x(x, y) \cos(f(x, y)) = 2 + 4y$$

Then setting  $x = y = 0$ , and using that  $\cos(f(0, 0)) = \cos 0 = 1$ , gives

$$f_x(0, 0) + f_x(0, 0) \cos(f(0, 0)) = 2 \implies 2f_x(0, 0) = 2 \implies \boxed{f_x(0, 0) = 1}$$

(b) Applying  $\frac{\partial}{\partial y}$  to  $f_x(x, y) + f_x(x, y) \cos(f(x, y)) = 2 + 4y$  gives

$$f_{xy}(x, y) + f_{xy}(x, y) \cos(f(x, y)) - f_x(x, y) f_y(x, y) \sin(f(x, y)) = 4$$

Then setting  $x = y = 0$ , and using that  $\cos(f(0, 0)) = \cos 0 = 1$  and  $\sin(f(0, 0)) = \sin 0 = 0$ , gives

$$\begin{aligned} f_{xy}(0, 0) + f_{xy}(0, 0) \cos(f(0, 0)) - f_x(0, 0) f_y(0, 0) \sin(f(0, 0)) &= 4 \\ \implies 2f_{xy}(0, 0) &= 4 \\ \implies \boxed{f_{xy}(0, 0) = 2} \end{aligned}$$

4.  $\vec{OP} = \langle a, b, c \rangle$  is a normal vector to the plane. Hence, an equation of the plane is

$$a(x - a) + b(y - b) + c(z - c) = 0$$

$$\text{or } ax + by + cz = a^2 + b^2 + c^2.$$





5. (a) Compute  $f_x$  and  $f_y$ :

$$f_x = 6x^2 - 2xy = 2x(3x - y), \quad f_y = -x^2 + 2y - 5.$$

We see that both are defined for all  $x$  and  $y$ . Therefore the only critical points are where  $f_x = f_y = 0$ . By letting  $f_x = 0$ , we get  $x=0$  or  $y=3x$ . We consider these conditions one at a time

Case 1 If  $x=0$ , then setting  $f_y=0$  gives us  $-x^2 + 2y - 5 = 0$ . Therefore the point  $(0, \frac{5}{2})$  is a critical point of  $f$ .

Case 2 If  $y=3x$ , then  $f_y=0$  gives  $-x^2 + 6x - 5 = 0$ . We can factor this as  $-(x-1)(x-5) = 0$ . So the points  $(1, 3)$  and  $(5, 15)$  are also critical points.

$$(b) \quad f_{xx} = 12x - 2y, \quad f_{xy} = -2x, \quad f_{yy} = 2,$$

$$D(x, y) = f_{xx} f_{yy} - f_{xy}^2$$

Critical points	$f_{xx}$	$f_{yy}$	$f_{xy}$	$D(x, y)$	Conclusion
$(0, \frac{5}{2})$	-5	2	0	-	saddle point
$(1, 3)$	6	2	-2	+	local min.
$(5, 15)$	30	2	-10	-	saddle point



6. (a) To find the maximum and minimum values of  $f$  on the boundary, we must find the max and min of  $f(x, y) = 2x^2 + y^2 - y$  subject to the constraint that  $g(x, y) = x^2 + y^2 - 1 = 0$ . We use the method of Lagrange multipliers. The extremals obey

$$0 = \frac{\partial f}{\partial x} - \lambda \frac{\partial g}{\partial x} = 4x - 2\lambda x = 2x(2 - \lambda) \quad (1)$$

$$0 = \frac{\partial f}{\partial y} - \lambda \frac{\partial g}{\partial y} = 2y - 1 - 2\lambda y \quad (2)$$

$$0 = x^2 + y^2 - 1 \quad (3)$$

Equation (1) implies that either  $x = 0$  or  $\lambda = 2$ . If  $x = 0$ , equation (3) gives  $y = \pm 1$ . If  $\lambda = 2$ , equation (2) gives  $2y - 1 - 4y = 0$  or  $y = -\frac{1}{2}$ . Then equation (3) gives  $x = \pm \sqrt{\frac{3}{4}}$ . Hence, all candidates for max. and min are given in the following table:

Candidate	$f$
$(\frac{\sqrt{3}}{2}, -\frac{1}{2})$	$\frac{9}{4}$
$(-\frac{\sqrt{3}}{2}, -\frac{1}{2})$	$\frac{9}{4}$
$(0, 1)$	0
$(0, -1)$	2

Thus, the max. and min. of  $2x^2 + y^2 - y$  on the boundary of  $R$  are  $\frac{9}{4}$  and 0, respectively.

(b) For  $f(x, y) = 2x^2 + y^2 - y$ , we have  $f_x = 4x$  and  $f_y = 2y - 1$ . Hence, the only critical point is  $(0, \frac{1}{2})$ . Using  $f(0, \frac{1}{2}) = -\frac{1}{4}$  and the table in (a), we know that the max. and min. of  $2x^2 + y^2 - y$  on the set  $R$  are  $\frac{9}{4}$  and  $-\frac{1}{4}$ , respectively.

