# Avi Kulkarni Étale Seminar Week 2

# 1 A brief summary of sheaf cohomology

### 1.1 How does one make a (sheaf) cohomology

- We like functors applied to exact sequences.
- Functors not always exact, cohomology tries to fix this.

#### One defines cohomology groups as

- Derived functors in a category with enough injectives.
- Cocycles modulo coboundaries in cochain complexes.

In Sheaf cohomology:

- The functor of interest is  $\Gamma$ , the global section functor.
- There are enough injectives.
- The cochain complex given by the Cech complex computes the cohomology groups for smooth schemes.

### 1.2 What can a sheaf cohomology (not) do?

It can:

- compute Euler characteristics
- Study vector bundles of  $X(\mathbb{C})$ .

But it cannot:

- compute Betti numbers as  $\dim_{\mathbb{Q}} H^k(X, \mathbb{Q})$ .
- Study fibre bundles

**Example 1.1.** The  $(\mathbb{Z}/5)$ -bundle defined by

$$0 \longrightarrow \frac{\mathbb{Z}}{5} \longrightarrow E \longrightarrow F \longrightarrow 0$$

# 2 Étale morphisms

# 2.1 Étale morphisms of varieties

Moral: maps of schemes satisfying the inverse mapping property. **Example 2.1.** 

 $f^{\#} \colon \mathbb{A}^{1}_{\mathbb{C}} \to \mathbb{A}^{1}_{\mathbb{C}}$  $\lambda \quad \mapsto \lambda^{2}$ 

for any  $\lambda \neq 0$ .

**Definition 2.2** (Tangent cone). Let  $X := \operatorname{Spec} k[x_1, \ldots, x_n]/I$  be an affine variety over an algebraically closed field. The *tangent cone* at  $p \in X(k)$  is defined as

Spec 
$$k[x_1, \ldots, x_n]/I_*, \quad I_* = \langle f_* : f \in I \rangle$$

where  $f_*$  denotes the homogeneous part of f (with respect to  $\mathfrak{m}_p$ ) of lowest degree.

**Example 2.3.** Let  $f(x, y) = xy + x^3 + y^3$  and let

 $E\colon Z(f)\subseteq \mathbb{A}^2_k.$ 

The tangent cone of E at P := (0,0) is

 $C_P(E): Z(xy) \subseteq \mathbb{A}^2_k$ 

**Proposition 2.4.** Let X be a variety over an algebraically closed field. Then the tangent cone to  $p \in X(k)$  is given by

$$C_P(X) = \operatorname{Spec} \operatorname{gr}(\mathcal{O}_{X,p}) := \operatorname{Spec} \bigoplus_n \mathfrak{m}_p^n / \mathfrak{m}_p^{n+1}$$

with  $\mathfrak{m}_p$  the maximal ideal of  $\mathcal{O}_{X,p}$ .

1

**Example 2.5.** Let  $f(x, y) = xy + x^3 + y^3$  and let p and E be as before. Then

$$\begin{split} \mathfrak{m}_p &= \langle x, y \rangle \\ \mathfrak{m}_p^2 &= \langle x^2, xy, y^2 \rangle \\ \mathfrak{m}_p^3 &= \langle x^3, x^2y, xy^2, y^3 \rangle = \langle x^3, x^2y, xy^2, y^3, xy \rangle \\ \mathfrak{m}_p^4 &= \langle x^4, \dots, y^4, xy \cdot x, xy \cdot y \rangle \end{split}$$

We see that

$$\operatorname{gr} \mathcal{O}_{E,p} = k[x, y] / \langle xy \rangle$$

**Corollary 2.6.** Let  $f: Y \to X$  be a morphism. Then there is an induced covariant morphism of tangent cones.

**Definition 2.7** (étale). A morphism of k-varieties  $f: Y \to X$  is *étale* if the induced morphism of tangent cones at every point is an isomorphism.

#### Example 2.8.

$$f \colon k[x] \xrightarrow{x \mapsto t^2} k[t]$$
$$f(\mathfrak{m}_{\lambda^2}) = \begin{cases} \mathfrak{m}_{\lambda} & \text{if } \lambda \neq 0\\ \mathfrak{m}_{\lambda}^2 & \text{otherwise} \end{cases}$$

It turns out f is étale everywhere except 0.

#### 2.2 A review of some commutative algebra

**Definition 2.9** (unramified). A morphism of local rings  $f: A \rightarrow B$  is *unramified* if

(a)  $f(\mathfrak{m}_A)B = \mathfrak{m}_B$  and

(b) the field  $B/\mathfrak{m}_B$  is a finite and separable extension of  $A/\mathfrak{m}_A$ .

A morphism of schemes  $f: Y \to X$  is unramified if it is of finite type and if each morphism of local rings  $f^*: \mathcal{O}_{X,f(y)} \to \mathcal{O}_{Y,y}$  is unramified.

**Definition 2.10** (flat). A morphism of rings  $f: A \to B$  is *flat* if the functor

$$M \mapsto M \otimes_A B$$

is (left) exact.

If this functor is also faithful (injective on morphisms) we say that f is faithfully flat.

A morphism of schemes  $f: Y \to X$  is *flat* if each morphism of local rings  $f^*: \mathcal{O}_{X,f(y)} \to \mathcal{O}_{Y,y}$  is flat. The morphism f is *faithfully flat* if it is surjective.

Remark 2.11. For modules, faithfully flat is equivalent to saying

$$0 \longrightarrow L \longrightarrow M \longrightarrow N \longrightarrow 0$$

is exact if and only if

$$0 \longrightarrow L \otimes_A B \longrightarrow M \otimes_A B \longrightarrow N \otimes_A B \longrightarrow 0$$

is exact.

## 2.3 Étale morphisms of schemes

**Definition 2.12** (étale). A morphism of schemes  $f: Y \to X$  is *étale* if it is flat and unramified.

**Example 2.13.** Let k be a number field and L/k a finite extension. Then

 $f\colon\operatorname{Spec} L o\operatorname{Spec} k$ 

is étale, even if L/k is ramified as a field extension. However,

$$f: \operatorname{Spec} \mathcal{O}_L \to \operatorname{Spec} \mathcal{O}_k$$

is not necessarily étale, but still fppf.

**Proposition 2.14** (Hartshorne, ex III.10.3). Let  $f: Y \to X$  be a morphism of non-singular schemes. Then the following are equivalent:

(a) f is étale.

(b) f is smooth of relative dimension 0.

(c) f is flat and  $\Omega_{Y/X} = 0$ .

*Proof.* (b)  $\Leftrightarrow$  (c) is immediate from definitions and the fact that  $\Omega_{Y/X}$  is locally free of rank 0 on Y if it is zero. For (b/c)  $\Rightarrow$  (a), note that

$$f^*\Omega_X \longrightarrow \Omega_Y \longrightarrow \Omega_{Y/X} \longrightarrow 0$$

By the hypothesis on  $\Omega_{Y/X}$ , We have  $\mathfrak{m}_p/\mathfrak{m}_p^2 \cong \mathfrak{m}_{f(p)}/\mathfrak{m}_{f(p)}^2$ . In particular,  $f^*(\mathfrak{m}_{f(p)})$  contains the generators for  $\mathfrak{m}_p$ . That f is finite follows from the hypothesis of (b).

For  $(a) \Rightarrow (b/c)$ , we have an isomorphism of tangent spaces at each point, so looking at

$$f^*\Omega_X \longrightarrow \Omega_Y \longrightarrow \Omega_{Y/X} \longrightarrow 0$$

we see that the cokernel must be trivial. Furthermore, flatness of f is automatic so we have verified (c).

Example 2.15 (A non-etale cover of curves). Let

$$E: y^2 = x(x-1)(x-\lambda)$$

and define

$$\pi \colon E \longrightarrow \mathbb{P}^1$$
$$(x, y) \mapsto x.$$

We see that



Note that the points where  $\pi$  is not étale are recorded by  $\Omega_{E/\mathbb{P}^1}$ .

**Corollary 2.16.** Let  $f: Y \to X$  be an étale morphism of varieties. Then it is also an étale morphism of schemes.

### 2.4 Properties of an étale morphism

**Proposition 2.17.** (a) Every open immersion is étale.

- (b) The composition of two étale morphisms is étale.
- (c) Every base change of an étale morphism is étale.
- (d) If  $\varphi \circ \psi$  and  $\varphi$  are étale, then so is  $\psi$ .

**Proposition 2.18.** Let  $\varphi \colon Y \to X$  be étale. Then

- (a) For all  $y \in Y, x = \varphi(y)$ , we have  $\mathcal{O}_{Y,y}, \mathcal{O}_{X,x}$  have the same *Krull dimension*.
- (b)  $\varphi$  is quasi-finite.
- (c)  $\varphi$  is open.
- (d) If X is reduced, so is Y.
- (e) If X is normal, so is Y.
- (f) If X is regular, so is Y.

**Example 2.19** (Standard étale morphism). Let A be a ring, let  $f(T) \in A[T]$  be a monic polynomial, and let  $b \in A[T]/f$  be an element such that

$$f'(T) \in \left( (A[T]/f)_b \right)^{\times}$$

As f is monic, A[T]/f is a free, and hence flat, A module. By the choice of b, the morphism

$$\operatorname{Spec}(A[T]/f)_b \to \operatorname{Spec} A$$

is unramified and thus étale. Any morphism of this type is a *standard étale morphism*. Locally, every étale morphism is a standard étale morphism.

## 2.5 Things for next time

**Proposition 2.20** (2.15). Let  $\varphi: Y \to X$  be an étale morphism of varieties. If X is connected, then every section to  $\varphi$  is an isomorphism of X onto a connected component of Y.

**Definition 2.21** (étale neighbourhood). An *étale neighbourhood* of  $x \in X$  is an étale morphism  $i: U \to X$  together with a point  $u \in U$  such that i(u) = x. A morphism of étale neighbourhoods is a morphism of schemes over X respecting the marked point.

**Corollary 2.22** (2.16). Let  $\varphi : (V, v) \to (U, u)$  be a morphism of étale neighbourhoods of  $x \in X$ . Then  $\varphi$  is unique.