

## Midterm I

No books. No notes. No calculators. No electronic devices of any kind.

**Problem 1.** (5 points)

What conditions do  $u, v, x, y, z$  have to satisfy for the matrix

$$\begin{pmatrix} 0 & 1 & 0 & 3 & 0 \\ u & v & x & y & z \\ 0 & 0 & 0 & 0 & 1 \end{pmatrix}$$

to be in

- (i) row echelon form,
- (ii) reduced row echelon form.

(i) the pivot in the second row has to be in column 3 or 4  
(otherwise the pivots don't conform to the echelon pattern)

so  $u=0$  and  $v=0$  and (one of  $x, y$  not  $=0$ ).  
 $z$  arbitrary.

(ii) Now, in addition the pivots have to  $=1$   
and entries above the pivots have to be zero.

So the pivot in the second row has to be in column 3.

So  $u=0, v=0, x=1, z=0$  and  $y$  arbitrary.

Note: If you are allowed row operations, you can put  
any matrix in REF and RREF, so the problem would  
not make sense.

The answer changes if you swap rows, for example.

For  $\begin{pmatrix} u & v & x & y & z \\ 0 & 1 & 0 & 3 & 0 \\ 0 & 0 & 0 & 0 & 1 \end{pmatrix}$  the answers would be

- (i)  $u \neq 0$  all others arbitrary
- (ii)  $u=1, v=0, z=0, x, y$  arbitrary.

**Problem 2.** (6 points)

- (i) Define what it means for a family of vectors  $v_1, \dots, v_n \in V$ , for an  $\mathbb{R}$ -vector space  $V$ , to be linearly independent.
- (ii) What conditions does  $u$  have to satisfy in order for the three vectors

$$v_1 = \begin{pmatrix} 0 \\ 1 \\ -1 \end{pmatrix} \quad v_2 = \begin{pmatrix} 2 \\ 1 \\ -1 \end{pmatrix} \quad v_3 = \begin{pmatrix} -1 \\ u \\ 1 \end{pmatrix}$$

to be linearly independent in  $\mathbb{R}^3$ ?

- (i)  $(v_1, \dots, v_n)$  is linearly independent if the only solution to  $\lambda_1 v_1 + \dots + \lambda_n v_n = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix}$  ( $\lambda_1, \dots, \lambda_n \in \mathbb{R}$ ) is  $\lambda_1 = 0, \dots, \lambda_n = 0$ .

- (ii) To find the linear relations among these vectors solve the homogeneous system

$$x_1 \begin{pmatrix} 0 \\ 1 \\ -1 \end{pmatrix} + x_2 \begin{pmatrix} 2 \\ 1 \\ -1 \end{pmatrix} + x_3 \begin{pmatrix} -1 \\ u \\ 1 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix}.$$

put the coeff. matrix in REF:

$$\begin{pmatrix} 0 & 2 & -1 \\ -1 & -1 & 1 \end{pmatrix} \begin{matrix} \leftarrow \\ \leftarrow \end{matrix} \sim \begin{pmatrix} 1 & 1 & u \\ 0 & 2 & -1 \\ -1 & -1 & 1 \end{pmatrix} + I \sim \begin{pmatrix} 1 & 1 & u \\ 0 & 2 & -1 \\ 0 & 0 & 1+u \end{pmatrix}.$$

To have only the trivial solution, need a pivot in every column, so need  $1+u \neq 0$  or  $u \neq -1$ .

So  $(v_1, v_2, v_3)$  is linearly independent if and only if  $u \neq -1$ .

**Problem 3.** (6 points)

Consider the  $\mathbb{R}$ -vector space  $\text{Fun}([-1, 1], \mathbb{R})$ . Decide if the following are subspaces. If yes, give a proof, if no, explain why not.

- (i)  $A = \{f : [-1, 1] \rightarrow \mathbb{R} \mid f \text{ is even, i.e., } \forall x \in [-1, 1]: f(x) = f(-x)\}$   
 (ii)  $B = \{f : [-1, 1] \rightarrow \mathbb{R} \mid f(0) = 1\}$

(i)  $A$  is a subspace:

(1) The zero vector in  $\text{Fun}([-1, 1], \mathbb{R})$  is the zero function, given by  $0(x) = 0 \quad \forall x \in [-1, 1]$ . This function is even:  
 $0(x) = 0 = 0(-x) \quad \forall x \in [-1, 1]$ . So  $0 \in A$  and  $A$  is nonempty.

(2) If  $f, g \in A$  then, for all  $x \in [-1, 1]$  we have

$$\begin{aligned} (f+g)(x) &= f(x) + g(x) && \text{(defn of "+" in } \text{Fun}([-1, 1], \mathbb{R}) \text{)} \\ &= f(-x) + g(-x) && \text{since } f, g \in A \\ &= (f+g)(-x) && \text{(defn of "+" in } \text{Fun}([-1, 1], \mathbb{R}) \text{)} \end{aligned}$$

So  $f+g \in A$ .

(3) If  $f \in A$  and  $\lambda \in \mathbb{R}$ , then for  $x \in [-1, 1]$  we have

$$\begin{aligned} (\lambda f)(x) &= \lambda f(x) && \text{(defn of scalar mult in } \text{Fun}([-1, 1], \mathbb{R}) \text{)} \\ &= \lambda f(-x) && \text{since } f \text{ is even} \\ &= (\lambda f)(-x) && \text{(defn of scalar mult in } \text{Fun}([-1, 1], \mathbb{R}) \text{)} \end{aligned}$$

So  $\lambda f$  is also even.

(ii)  $B$  is not a subspace since  $B$  does not contain the zero vector in  $\text{Fun}([-1, 1], \mathbb{R})$ . The 0-function is not in  $B$ :  
 $0(0) = 0 \neq 1$ .

**Problem 4.** (5 points)Find among the following vectors in  $\mathbb{R}^3$  a basis of  $\mathbb{R}^3$ 

$$v_1 = \begin{pmatrix} 1 \\ 2 \\ -1 \end{pmatrix} \quad v_2 = \begin{pmatrix} 2 \\ -1 \\ 3 \end{pmatrix} \quad v_3 = \begin{pmatrix} -1 \\ 3 \\ -4 \end{pmatrix} \quad v_4 = \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix}.$$

You may assume that these vectors span  $\mathbb{R}^3$ .

To find among a spanning ~~set a linearly ind~~ family a basis, we need to find a maximally independent subfamily. So we need the linear relations among  $v_1, v_2, v_3, v_4$ . Proceed as in 2(ii) :

$$\begin{pmatrix} 1 & 2 & -1 & 0 \\ 2 & -1 & 3 & 1 \\ -1 & 3 & -4 & 1 \end{pmatrix} \begin{matrix} -2I \\ +I \end{matrix} \sim \begin{pmatrix} 1 & 2 & -1 & 0 \\ 0 & -5 & 5 & 1 \\ 0 & 5 & -5 & 1 \end{pmatrix} \begin{matrix} \\ +II \end{matrix} \sim \begin{pmatrix} 1 & 2 & -1 & 0 \\ 0 & -5 & 5 & 1 \\ 0 & 0 & 0 & 2 \end{pmatrix}$$

We see that  $(v_1, v_2, v_4)$  is linearly independent because the pivots are in columns 1, 2, 4.

Also,  $v_3$  is a linear combination of  $v_1, v_2$ .

So  $(v_1, v_2, v_4)$  is maximally independent and hence a basis of  $\text{span}(v_1, v_2, v_3, v_4)$  (which is  $\mathbb{R}^3$  by information given ~~to~~ ~~is~~ ~~to~~).

(Note that since  $\dim \mathbb{R}^3 = 3$ , any linearly independent family of 3 vectors is a basis of  $\mathbb{R}^3$ . So this proves that  $(v_1, v_2, v_4)$  is a basis of  $\mathbb{R}^3$  without referring to the information given.)

**Problem 5.** (6 points)

- (i) Define the term **basis** of a vector space  $V$  over the field  $\mathbb{F}$ .  
 (ii) Find a basis for the intersection of the two subspaces  $V, W \subset \mathbb{R}^3$ .

$$V = \text{span}\left(\begin{pmatrix} 1 \\ 1 \\ 2 \end{pmatrix}, \begin{pmatrix} 2 \\ 2 \\ 3 \end{pmatrix}\right) \quad W = \text{span}\left(\begin{pmatrix} -1 \\ 0 \\ 2 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \\ 3 \end{pmatrix}\right).$$

(i) A family  $(v_1, \dots, v_n)$  of vectors in  $V$  is a basis of  $V$  if ①  $(v_1, \dots, v_n)$  is linearly independent and ②  $V = \text{span}(v_1, \dots, v_n)$ .

(ii) Every vector in  $V$  is of the form  $a\begin{pmatrix} 1 \\ 1 \\ 2 \end{pmatrix} + b\begin{pmatrix} 2 \\ 2 \\ 3 \end{pmatrix}$  and every vector in  $W$  is of the form  $c\begin{pmatrix} -1 \\ 0 \\ 2 \end{pmatrix} + d\begin{pmatrix} 0 \\ 1 \\ 3 \end{pmatrix}$ .

~~So  $V \cap W = \{$~~  To find  $V \cap W$  set these equal:

$a\begin{pmatrix} 1 \\ 1 \\ 2 \end{pmatrix} + b\begin{pmatrix} 2 \\ 2 \\ 3 \end{pmatrix} = c\begin{pmatrix} -1 \\ 0 \\ 2 \end{pmatrix} + d\begin{pmatrix} 0 \\ 1 \\ 3 \end{pmatrix}$ . This is a homogeneous system of 3 equations with indeterminates  $a, b, c, d$ .

to solve it put the coefficient matrix in RREF:

$$\begin{pmatrix} 1 & 2 & 1 & 0 \\ 1 & 2 & 0 & -1 \\ 2 & 3 & -2 & -3 \end{pmatrix} \xrightarrow{\substack{-I \\ -2I}} \begin{pmatrix} 1 & 2 & 1 & 0 \\ 0 & 0 & -1 & -1 \\ 0 & -1 & -4 & -3 \end{pmatrix} \xleftrightarrow{\substack{\leftrightarrow \\ \leftrightarrow}} \begin{pmatrix} 1 & 2 & 1 & 0 \\ 0 & 1 & 4 & 3 \\ 0 & 0 & 1 & 1 \end{pmatrix} \xrightarrow{\substack{-III \\ -4III}} \begin{pmatrix} 1 & 2 & 0 & -1 \\ 0 & 1 & 0 & -1 \\ 0 & 0 & 1 & 1 \end{pmatrix} \xrightarrow{-2II} \begin{pmatrix} 1 & 0 & 0 & 1 \\ 0 & 1 & 0 & -1 \\ 0 & 0 & 1 & 1 \end{pmatrix}$$

$$\sim \begin{pmatrix} 1 & 0 & 0 & 1 \\ 0 & 1 & 0 & -1 \\ 0 & 0 & 1 & 1 \end{pmatrix} \sim \begin{pmatrix} 1 & 0 & 0 & 1 \\ 0 & 1 & 0 & -1 \\ 0 & 0 & 1 & 1 \end{pmatrix}. \quad \text{General solution is}$$

$$a = -d$$

$$b = d$$

$$c = -d$$

$$d = d$$

$$\text{or } \begin{pmatrix} a \\ b \\ c \\ d \end{pmatrix} = d \begin{pmatrix} -1 \\ 1 \\ -1 \\ 1 \end{pmatrix}.$$

$$\text{So } a\begin{pmatrix} 1 \\ 1 \\ 2 \end{pmatrix} + b\begin{pmatrix} 2 \\ 2 \\ 3 \end{pmatrix} = -d\begin{pmatrix} 1 \\ 1 \\ 2 \end{pmatrix} + d\begin{pmatrix} 2 \\ 2 \\ 3 \end{pmatrix} = d\begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}.$$

So  $V \cap W = \text{span}\left(\begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}\right)$  a basis is given by the family consisting of the single vector  $\begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$ .