

# RIGID DUALIZING COMPLEXES OF AFFINE HECKE ALGEBRAS

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ABSTRACT. We identify the rigid dualizing complex of the (generic) affine Hecke algebra  $H_{\mathbf{q}}$  attached to a reduced root system and deduce some structural properties as a consequence. For example, we show that the classical Hecke algebra  $H_{\mathbf{q}^{\pm}}$  as well as  $H_{\mathbf{q}/\mathbf{q}}$  are, under a certain condition on the root system, Frobenius over their centers with Nakayama automorphism given by an explicit involution  $\iota$ .

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## 1. INTRODUCTION

In the introduction we work over a fixed field  $k$  (in the rest of the paper we work over a more general ring  $R$ ). Attached to a reduced based root system  $(X, \Phi, \check{X}, \check{\Phi}, \Pi)$  one has an affine Coxeter system  $(W_{\text{aff}}, S_{\text{aff}})$  and an extended affine Weyl group  $W$ . The affine Hecke algebra  $H_{\mathbf{q}}$  associated to this system is the  $k[\mathbf{q}]$ -algebra with basis  $\{\tau_w\}_{w \in W}$  and relations

$$\begin{aligned} \tau_w \tau_{w'} &= \tau_{ww'} && \text{if } \ell(ww') = \ell(w) + \ell(w') \\ \tau_s^2 &= (\mathbf{q} - 1)\tau_s + \mathbf{q} && \text{for } s \in S_{\text{aff}} \end{aligned}$$

where  $\ell$  is the length function on  $W$  arising from  $(W_{\text{aff}}, S_{\text{aff}})$ .

If we invert  $\mathbf{q}$  we get the more common affine Hecke algebra  $H_{\mathbf{q}^{\pm}}$  studied in the complex representation theory of  $p$ -adic reductive groups and in geometric representation theory ([Lu], [KL] for example). These algebras can be recovered geometrically from categories of constructible sheaves on affine flag varieties or from categories of coherent

sheaves on Steinberg varieties. If we set  $\mathbf{q} = 0$  we get Hecke algebras  $H_0$  that appear naturally in the mod- $p$  representation theory of  $p$ -adic reductive groups and geometrically as coherent sheaves on affine flag varieties.

We study a natural graded version  $H_{\mathbf{a},\mathbf{b}}$  of  $H_{\mathbf{q}}$  (§2.2) which is defined over  $k[\mathbf{a},\mathbf{b}]$  with quadratic relations

$$(T_s - \mathbf{a})(T_s - \mathbf{b}) = 0 \quad \text{for } s \in S.$$

This algebra can be interpreted as the Rees algebra of  $H_{\mathbf{q}}$  with respect to a natural filtration by length. One recovers  $H_{\mathbf{q}}$  by setting  $T_s = -\tau_s$ ,  $\mathbf{a} = -\mathbf{q}$ ,  $\mathbf{b} = 1$ .

The algebra  $H_{\mathbf{a},\mathbf{b}}$  is equipped with an involution  $\iota$  which fixes  $k[\mathbf{a},\mathbf{b}]$  and satisfies  $\iota(T_s - \mathbf{a}) = -(T_s - \mathbf{b})$  (see (11)). The main result of this paper is the following explicit identification of the rigid dualizing complex  $\mathbf{R}_{H_{\mathbf{a},\mathbf{b}}}$  of  $H_{\mathbf{a},\mathbf{b}}$ .

**Theorem 1.1.** *As  $H_{\mathbf{a},\mathbf{b}}$ -bimodules we have  $\mathbf{R}_{H_{\mathbf{a},\mathbf{b}}} \cong (\iota)H_{\mathbf{a},\mathbf{b}}[\mathrm{rk}(X) + 2]$  where  $(\iota)$  denotes the left action twisted by  $\iota$ .*

Rigid dualizing complexes [Ber] (along with earlier work on balanced dualizing complexes [Ye1]) are an attempt to extend Grothendieck duality to non-commutative algebras. In particular, if  $A$  is a commutative algebra of finite type over  $k$ , its rigid dualizing complex corresponds to  $\pi^!(k)$  where  $\pi : \mathrm{Spec} A \rightarrow \mathrm{Spec} k$ . We review dualizing complexes in Section 3.1.

Theorem 1.1 above follows from Corollary 4.5 where the  $+2$  in the shift is a reflection of working over  $k[\mathbf{a},\mathbf{b}]$ . By base change (Corollary 3.14), Theorem 1.1 also identifies the rigid dualizing complexes of related algebras such as  $H_{\mathbf{q}^\pm}$  or  $H_0$ . For example,  $\mathbf{R}_{H_0} \cong (\iota)H_0[\mathrm{rk}(X)]$ .

One of the main implications of Theorem 1.1 is that, under a certain condition on the root lattice  $Q = \mathbb{Z}[\Phi]$ , the algebras  $H_0$  and  $H_{\mathbf{q}^\pm}$  are (free) Frobenius algebras over their centers (Corollary 5.10).

**Corollary 1.2.** *If  $X/Q$  is a free abelian group then  $H_{\mathbf{q}^\pm}$  and  $H_0$  are Frobenius algebras over their centers with Nakayama automorphism  $\iota$ .*

The centers of  $H_{\mathbf{q}^\pm}$  and  $H_0$  are known to be isomorphic to  $k[\check{X}]^{W_0}[\mathbf{q}^\pm]$  ([Lu]) and  $k[\check{X}^+]$  ([OI2]) respectively, where  $W_0$  is the finite Weyl group and  $\check{X}^+$  the semigroup of dominant coweights. This suggests that the center of  $H_{\mathbf{a},\mathbf{b}}$  should be isomorphic to  $k[\mathbf{a},\mathbf{b}][\check{X}]^{W_0}$  (Conjecture 5.2). Assuming this conjecture, Theorem 1.1 likewise implies that  $H_{\mathbf{a},\mathbf{b}}$  is Frobenius over its center with Nakayama automorphism  $\iota$  (again if  $X/Q$  is free).

The Frobenius structure from Corollary 1.2 is difficult to see directly. When the root system is associated to  $\mathrm{SL}_2$ , the trace morphism from  $H_0$  to its center was worked out explicitly in [OS3, Prop. 2.13]. Generally we do not have an explicit description of the trace maps from  $H_{\mathbf{q}^\pm}$  or  $H_0$  to their centers. This is because the general structure of  $H_{\mathbf{a},\mathbf{b}}$  over its center is difficult to study. For example, it is easy to see that in general  $H_{\mathbf{a},\mathbf{b}}$  cannot be a matrix algebra over its center because one can find one dimensional characters such as  $T_s \mapsto a$ ,  $\mathbf{a} \mapsto a$  for any  $a \in k$ .

Even deciding if  $H_{\mathbf{a},\mathbf{b}}$  is projective over its center is non-trivial. Classical results ([Lu]) tell us that  $H_{\mathbf{q}^\pm}$  contains a commutative subalgebra  $A_{\mathbf{q}^\pm}$  over which it is finite and projective. If  $X/Q$  is free then  $A_{\mathbf{q}^\pm}$  can be shown to be projective over the center which explains why  $H_{\mathbf{q}^\pm}$  is projective over its center. Likewise,  $H_0$  also contains a natural commutative subalgebra  $A_0$  ([Vig1]) but  $H_0$  is no longer free (or even flat) over  $A_0$  ([OI1]). Nevertheless, assuming  $X/Q$  is free,  $H_0$  remains projective over its center (Proposition 5.3). The argument for this is indirect, using Theorem 1.1 together with the non-commutative version of Hironaka's criterion (or miracle flatness) from Proposition 3.15.

The representation theory of  $H_{\mathbf{a},\mathbf{b}}$  is sensitive to the parameters  $\mathbf{a}, \mathbf{b}$ . The fact that  $(\iota)H_{\mathbf{a},\mathbf{b}}[\mathrm{rk}(X) + 2]$  is a rigid dualizing complex captures many features of  $H_{\mathbf{a},\mathbf{b}}$  in a uniform way. For example, by Proposition 3.17, if  $M$  is a finite dimensional  $H_{\mathbf{a},\mathbf{b}}$ -module then

$$\mathrm{Ext}_{H_{\mathbf{a},\mathbf{b}}}^i(M, H_{\mathbf{a},\mathbf{b}}) \cong \begin{cases} (\iota)M^\vee & \text{if } i = \mathrm{rk}(X) + 2 \\ 0 & \text{otherwise} \end{cases}$$

Similar results hold by base change for  $H_{\mathbf{q}^\pm}$  and  $H_0$ . This result was obtained previously in [OS1, Cor. 6.12, Cor. 6.17] by more detailed analysis for the Iwahori-Hecke  $k$ -algebra of a connected split semisimple group  $G$  over a  $p$ -adic field. The argument above explains how it is a formal consequence of Theorem 1.1.

The key tool used to prove Theorem 1.1 is a certain resolution of  $H_{\mathbf{a},\mathbf{b}}$  constructed in Section 2.6 as follows. Consider the Coxeter complex  $\mathcal{A}$  associated to  $(W_{\mathrm{aff}}, S_{\mathrm{aff}})$ . It is a polysimplicial complex of dimension  $d = \mathrm{rk}(Q)$ . We define a right  $H_{\mathbf{a},\mathbf{b}}$ -equivariant coefficient system  $\underline{H_{\mathbf{a},\mathbf{b}}}$  on  $\mathcal{A}$  which is locally constant in the sense of Remark 2.15. It yields an augmented complex

$$(1) \quad 0 \longrightarrow C_c^{\mathrm{or}}(\mathcal{A}_{(d)}, \underline{H_{\mathbf{a},\mathbf{b}}}) \longrightarrow \dots \longrightarrow C_c^{\mathrm{or}}(\mathcal{A}_{(0)}, \underline{H_{\mathbf{a},\mathbf{b}}}) \longrightarrow H_{\mathbf{a},\mathbf{b}} \longrightarrow 0$$

of oriented chains which is exact because of the contractibility of certain subcomplexes of  $\mathcal{A}$ . In Proposition 2.18 we show that

$$C_c^{or}(\mathcal{A}_{(i)}, \underline{H_{\mathbf{a}, \mathbf{b}}}) \cong \bigoplus_{F \in \mathcal{F}_i} H_{\mathbf{a}, \mathbf{b}}(j_F) \otimes_{H_F} H_{\mathbf{a}, \mathbf{b}}$$

where  $\mathcal{F}_i$  is a set of facets of dimension  $i$  in the standard chamber,  $H_F$  is the (finite) Hecke algebra attached to  $F$  and  $(j_F)$  is the twist of the action of  $H_F$  by a certain orientation character. Subsequently, (1) becomes an exact complex of  $H_{\mathbf{a}, \mathbf{b}}$ -bimodules (cf. Corollary 2.19)

$$(2) \quad 0 \longrightarrow \bigoplus_{F \in \mathcal{F}_d} H_{\mathbf{a}, \mathbf{b}}(j_F) \otimes_{H_F} H_{\mathbf{a}, \mathbf{b}} \longrightarrow \dots \longrightarrow \bigoplus_{F \in \mathcal{F}_0} H_{\mathbf{a}, \mathbf{b}}(j_F) \otimes_{H_F} H_{\mathbf{a}, \mathbf{b}} \longrightarrow H_{\mathbf{a}, \mathbf{b}} \longrightarrow 0.$$

This resolution is inspired by [OS1] which proves (2) for the Iwahori Hecke  $k$ -algebra of a connected split reductive group  $G$  over a  $p$ -adic field  $\mathfrak{F}$ , namely for the specialization of  $H_{\mathbf{q}}$  to  $\mathbf{q} \mapsto p^f$  where  $p^f$  is the size of the residue field of  $\mathfrak{F}$ . The construction in [OS1] is different in that one starts from a resolution of the smooth representation  $k[G/I]$  of  $G$  given in [SS], where  $I$  is an Iwahori subgroup of  $G$ . Then passing to  $I$ -invariants gives a resolution of  $H_{p^f} \cong k[I \backslash G/I]$  as an  $H_{p^f}$ -bimodule.

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## 2. AFFINE HECKE ALGEBRAS

**2.1. Based root systems.** Consider a (reduced) based root system  $(X, \check{X}, \Phi, \check{\Phi}, \Pi)$  (cf. [Lu, 1.1]). Then  $X$  and  $\check{X}$  are free abelian groups of finite rank equipped with a perfect pairing  $\langle -, - \rangle : X \times \check{X} \rightarrow \mathbb{Z}$ . The finite sets  $\Phi \subset X$  and  $\check{\Phi} \subset \check{X}$  are the sets of roots and coroots. There is a bijection  $\alpha \leftrightarrow \check{\alpha}$  such that  $\langle \alpha, \check{\alpha} \rangle = 2$ . For every  $\alpha \in \Phi$ , the reflections

$$s_\alpha : X \rightarrow X, x \mapsto x - \langle x, \check{\alpha} \rangle \alpha \quad \text{resp.} \quad s_{\check{\alpha}} : \check{X} \rightarrow \check{X}, \check{x} \mapsto \check{x} - \langle \alpha, \check{x} \rangle \check{\alpha}$$

preserve  $\Phi$  and  $\Phi^\vee$  respectively. The base  $\Pi \subset \Phi$  consists of simple roots and defines the sets  $\Phi^+$  and  $\Phi^-$  of positive and negative roots.

Denote by  $Q = \mathbb{Z}[\Phi]$  the root lattice. We let  $Q^\perp := \{\check{x} \in \check{X} : \langle \alpha, \check{x} \rangle = 0, \forall \alpha \in \Phi\}$  and  $\check{X}^+ = \{\check{x} \in \check{X} : \langle \alpha, \check{x} \rangle \geq 0, \forall \alpha \in \Phi\}$  the set of dominant coweights.

Define the set of affine roots by  $\Phi_{\text{aff}} = \Phi \times \mathbb{Z} = \Phi_{\text{aff}}^+ \amalg \Phi_{\text{aff}}^-$  where

$$\Phi_{\text{aff}}^+ := \{(\alpha, r), \alpha \in \Phi, r > 0\} \cup \{(\alpha, 0), \alpha \in \Phi^+\}, \quad \Phi_{\text{aff}}^- := \{(\alpha, r), \alpha \in \Phi, r < 0\} \cup \{(\alpha, 0), \alpha \in \Phi^-\}.$$

There is a partial order on  $\Phi$  given by  $\alpha \preceq \beta$  if and only if  $\beta - \alpha$  is a linear combination with (integral) nonnegative coefficients of elements in  $\Pi$ . Denote by  $\Pi_m$  the set of roots that are minimal elements for  $\preceq$ . The set of simple affine roots is  $\Pi_{\text{aff}} := \{(\alpha, 0), \alpha \in \Pi\} \cup \{(\alpha, 1), \alpha \in \Pi_m\}$ .

We denote by  $W_0$  the finite Weyl group, namely the subgroup of  $\text{GL}(X)$  generated by  $\{s_\alpha\}_{\alpha \in \Phi}$ . Let  $S_0 := \{s_\alpha\}_{\alpha \in \Pi}$ . Then  $(W_0, S_0)$  is a (finite) Coxeter system. The extended affine Weyl group is  $W = W_0 \ltimes \check{X}$ . An element  $w_0 \check{x} \in W_0 \ltimes \check{X}$  acts on  $\Phi_{\text{aff}}$  by  $w_0 \check{x} : (\alpha, r) \mapsto (w_0 \alpha, r - \langle \alpha, \check{x} \rangle)$ .

The length  $\ell$  on the Coxeter system  $(W_0, S_0)$  extends to  $W$  in such a way that, the length of  $w \in W$  is the number of affine roots  $A \in \Phi_{\text{aff}}^+$  such that  $w(A) \in \Phi_{\text{aff}}^-$ . For any  $A \in \Pi_{\text{aff}}$  and  $w \in W$  it satisfies

$$(3) \quad \ell(ws_A) = \begin{cases} \ell(w) + 1 & \text{if } w(A) \in \Phi_{\text{aff}}^+, \\ \ell(w) - 1 & \text{if } w(A) \in \Phi_{\text{aff}}^-. \end{cases}$$

where  $s_A$  is the affine reflection associated to  $A$ .

Let  $S_{\text{aff}} := \{s_A\}_{A \in \Pi_{\text{aff}}}$  and define the affine Weyl group as  $W_{\text{aff}} := \langle s_A \rangle_{A \in \Phi_{\text{aff}}} \subset W$ . The pair  $(W_{\text{aff}}, S_{\text{aff}})$  is a Coxeter system with length function  $\ell$  ([Bki-LA, V.3.2 Thm. 1(i)]). If  $\Omega \subset W$  is the abelian subgroup consisting of length zero elements then  $W \cong \Omega \ltimes W_{\text{aff}}$  ([Lu, 1.5]).

The action of  $\Omega$  on  $W$  by conjugation preserves  $S_{\text{aff}}$ . Consider the character

$$(4) \quad W \rightarrow W/W_{\text{aff}} \cong \Omega \rightarrow \{\pm 1\}$$

where the second map is the signature of  $\Omega$  acting on  $S_{\text{aff}}$ . In §2.4 we will denote this character by  $\epsilon_C$  and it will be used in Remark 2.14 to define the involution (11).

**2.2. Generic Hecke algebras: definitions and basic properties.** Fix a Noetherian ring  $R$ . The extended affine Hecke algebra  $H_{\mathbf{q}}$  is the  $R[\mathbf{q}]$ -algebra which is free as an  $R[\mathbf{q}]$ -module with basis  $\{\tau_w\}_{w \in W}$  and subject to relations

$$\begin{aligned} \tau_v \tau_w &= \tau_{vw} & \text{if } \ell(vw) = \ell(v) + \ell(w) \\ (\tau_s - \mathbf{q})(\tau_s + 1) &= 0 & \text{for } s \in S_{\text{aff}}. \end{aligned}$$

We denote  $H_{\mathbf{q}^\pm} := H_{\mathbf{q}} \otimes_{R[\mathbf{q}]} R[\mathbf{q}^{\pm 1}]$  and  $H_0 := H_{\mathbf{q}}/\mathbf{q}$ . There is a natural filtration on  $H_{\mathbf{q}}$  such that  $F_i H_{\mathbf{q}}$  is the free  $R$ -module with basis  $\{\mathbf{q}^j \tau_w : j + \ell(w) \leq i\}$ . The associated Rees algebra with parameter  $x$  is the graded algebra  $\text{Rees}(H_{\mathbf{q}}) := \bigoplus_{i \geq 0} x^i F_i H_{\mathbf{q}}$  equipped with the natural multiplication.

On the other hand, consider the  $R[\mathbf{a}, \mathbf{b}]$ -algebra  $H_{\mathbf{a}, \mathbf{b}}$  which is a free  $R[\mathbf{a}, \mathbf{b}]$ -module with basis  $\{T_w, w \in W\}$  and subject to relations

$$\begin{aligned} (5) \quad T_v T_w &= T_{vw} & \text{if } \ell(vw) = \ell(v) + \ell(w) & \text{ (braid relations)} \\ (6) \quad (T_s - \mathbf{a})(T_s - \mathbf{b}) &= 0 & \text{for } s \in S_{\text{aff}} & \text{ (quadratic relations)} \end{aligned}$$

It is generated as an  $R[\mathbf{a}, \mathbf{b}]$ -algebra by  $\{T_s\}_{s \in S_{\text{aff}}}$  and  $\{T_w\}_{w \in \Omega}$ . One can check that  $H_{\mathbf{a}, \mathbf{b}} \cong \text{Rees}(H_{\mathbf{q}})$  by taking  $\mathbf{a} \mapsto -\mathbf{q}x$ ,  $\mathbf{b} \mapsto x$  and  $T_w \mapsto (-x)^{\ell(w)} \tau_w$  for  $w \in W$ .

*Remark 2.1.* By [Bki-LA, IV Exercices §2, 23], there is a unique  $R[\mathbf{a}, \mathbf{b}]$ -algebra  $H_{\text{aff}}$  with basis  $\{T_w\}_{w \in W_{\text{aff}}}$  satisfying (5) and (6). One can then check that  $H_{\mathbf{a}, \mathbf{b}} \cong H_{\text{aff}} \otimes_{R[\mathbf{a}, \mathbf{b}]} R[\mathbf{a}, \mathbf{b}][\Omega]$  with the product on the right given by  $(T_v \otimes T_w) \cdot (T_{v'} \otimes T_{w'}) = (T_v T_{v'} \omega^{-1}) \otimes (T_w T_{w'})$ .

*Remark 2.2.* Notice that  $H_{\mathbf{a}, 1} \cong H_{\mathbf{q}}$  and  $H_{0, 1} \cong H_0$  while  $H_{0, 0}$  recovers the affine nil-Coxeter algebra.

**Proposition 2.3.** *There exists an  $R[\mathbf{a}, \mathbf{b}]$ -linear algebra involution  $\iota$  of  $H_{\mathbf{a}, \mathbf{b}}$  determined by*

$$(7) \quad \iota(T_w) = T_w \text{ if } \ell(w) = 0 \quad \text{and} \quad \iota(T_s - \mathbf{a}) = -(T_s - \mathbf{b}) \text{ for } s \in S_{\text{aff}}.$$

*Proof.* Recall that  $H_{\mathbf{q}^\pm}$  is equipped with a  $R[\mathbf{q}]$ -linear algebra involution  $\tau_w \mapsto (-\mathbf{q})^{\ell(w)} \tau_w^{-1}$  which restricts to an involution  $\iota_1$  of  $H_{\mathbf{q}}$  ([Vig1, Cor. 2]). This involution preserves the filtration  $(F_i H_{\mathbf{q}})_{i \geq 0}$  and induces an involution  $\iota$  on  $\text{Rees}(H_{\mathbf{q}}) \cong H_{\mathbf{a}, \mathbf{b}}$ . Since  $\iota_1(\tau_w) = \tau_w$  if  $\ell(w) = 0$  and  $\iota_1(\tau_s) = \mathbf{q} - 1 - \tau_s$  for  $s \in S_{\text{aff}}$  it follows that  $\iota(T_w) = T_w$  if  $\ell(w) = 0$  and  $\iota(T_s) = \mathbf{a} + \mathbf{b} - T_s$  for  $s \in S_{\text{aff}}$ .  $\square$

**Proposition 2.4.** *The algebra  $H_{0, 0}$  is finite over its center which itself is a finitely generated  $R$ -algebra.*

*Proof.* The relations in  $H_{0, 0}$  are

$$T_v T_w = \begin{cases} T_{vw} & \text{if } \ell(vw) = \ell(v) + \ell(w) \\ 0 & \text{otherwise} \end{cases}$$

for any  $v, w \in W$ . It contains the commutative subalgebra  $A_{0, 0}$  with  $R$ -basis  $\{T_{\check{x}}\}_{\check{x} \in \check{X}}$ . Following the proof of [OS1, Prop. 8.5] we find that  $H_{0, 0}$  is finite over  $A_{0, 0}$  which is itself a finitely generated  $R$ -algebra. Consider the subalgebra  $A_{0, 0}^{W_0}$  where  $W_0$  acts via its natural action on  $\check{X}$ . Then  $A_{0, 0}^{W_0}$  is a finitely generated  $R$ -algebra and  $A_{0, 0}$  is finite over it. Therefore,  $H_{0, 0}$  is finite over  $A_{0, 0}^{W_0}$ .

We now check that  $A_{0, 0}^{W_0}$  is central in  $H_{0, 0}$ . For  $\omega \in \Omega$  and  $\check{x} \in \check{X}$  we have  $T_\omega T_{\check{x}} = T_{\omega \check{x} \omega^{-1}} T_\omega$  where  $\omega \check{x} \omega^{-1}$  is a  $W_0$ -conjugate of  $\check{x}$ . Thus  $T_\omega$  commutes with  $A_{0, 0}^{W_0}$ . On the other hand, for  $s \in S_{\text{aff}}$  we have  $\ell(s\check{x}) = \ell(\check{x}) + 1$  (resp.  $\ell(\check{x}s) = \ell(\check{x}) + 1$ ) if and only if  $\langle \alpha_s, \check{x} \rangle \geq 0$  (resp.  $\langle \alpha_s, \check{x} \rangle \leq 0$ ). In this case  $T_s T_{\check{x}} = T_{s\check{x}}$  (resp.  $T_{\check{x}} T_s = T_{\check{x}s}$ ). Otherwise  $T_s T_{\check{x}} = 0$  (resp.  $T_{\check{x}} T_s = 0$ ). This shows that  $T_s$  commutes with the subspace  $A_{0, 0}^{(s)}$  of  $s$ -invariants in  $A_{0, 0}$  and therefore it commutes with  $A_{0, 0}^{W_0}$ .

Thus  $A_{0, 0}^{W_0}$  is contained in the center of  $H_{0, 0}$ . Since  $H_{0, 0}$  is finite over  $A_{0, 0}^{W_0}$  it is also finite over its center. Moreover,  $A_{0, 0}^{W_0}$  is Noetherian (since  $R$  is Noetherian) which implies that the center is also finite over  $A_{0, 0}^{W_0}$ . This means that the center of  $H_{0, 0}$  is a finitely generated  $R$ -algebra.  $\square$

**Corollary 2.5.** *The algebra  $H_{\mathbf{a}, \mathbf{b}}$  is Noetherian.*

*Proof.* Recall that  $H_{\mathbf{a}, \mathbf{b}} = \text{Rees}(H_{\mathbf{q}})$  is a graded algebra with  $\mathbf{a}, \mathbf{b}$  homogeneous of degree one. By Proposition 2.4 we know  $H_{0, 0}$  is Noetherian. It follows that  $H_{\mathbf{a}, \mathbf{b}}$  is also Noetherian by applying [ATVdB, Lemma 8.2].  $\square$

We denote  $\mathfrak{z} := R[\mathbf{a}, \mathbf{b}][Q^\pm] \subset H_{\mathbf{a}, \mathbf{b}}$ . This is a central subalgebra of  $H_{\mathbf{a}, \mathbf{b}}$ . Since  $Q^\pm \subset W$  is a free group of finite rank  $\mathfrak{z}$ , is a Laurent series ring over  $R[\mathbf{a}, \mathbf{b}]$ .

**2.3. Coxeter complexes.** Consider the affine Coxeter complex  $\mathcal{A}$  associated to  $(W_{\text{aff}}, S_{\text{aff}})$  (cf. [Bki-LA, Ch. V. §3] and [BT, I.3.1]). It is a polysimplicial complex of dimension  $d := \text{rk}(Q)$  ([BT, I.3.4]). The group  $W$  acts on  $\mathcal{A}$  and we call  $C$  the chamber of  $\mathcal{A}$  whose stabilizer in  $W$  is  $\Omega$  ([Bki-LA, VI, §2.3]).

*Remark 2.6.* The group  $W_{\text{aff}}$  acts simply transitively on the chambers and given a facet  $F$  there is a unique facet contained in  $\overline{C}$  which is  $W_{\text{aff}}$ -conjugate to  $F$  ([Bki-LA, V.3.2 Thm 1], [BT, I.3.5]).

Let  $i > 0$  and  $F$  a facet of dimension  $i$ . The  $(i+1)!$  arrangements of the  $i+1$  vertices of  $F$  decompose into two classes under the action of the even permutations. These two classes are called the orientations of  $F$ . For a formal definition of the oriented facets of dimension  $\geq 0$ , we refer to [SS, II.1]. We will denote an oriented facet by  $(F, c)$  as in *loc. cit.* with the convention that the 0-dimensional faces always carry the trivial orientation. A facet  $F$  of dimension  $\geq 1$  has two possible orientations,  $(F, c)$  and  $(F, -c)$ . The orientation  $(F, c)$  induces an orientation  $(F, c)|_{F'}$  on each of the facets  $F'$  of  $F$  of dimension  $i-1$ . It satisfies  $(F, -c)|_{F'} = -(F, c)|_{F'}$ .

To a facet  $F$  contained in  $\overline{C}$  we associate the subset  $S_F \subset S_{\text{aff}}$  fixing  $F$  (pointwise) and the corresponding subset  $\Pi_F \subset \Pi$ . Let  $W_F^0 \subset W_{\text{aff}}$  be the finite subgroup generated by  $S_F$  ([Bki-LA, V.3.6 Prop. 4]). The pair  $(W_F^0, S_F)$  is a Coxeter system with length function  $\ell|_{W_F^0}$  ([Bki-LA, IV.1.8 Cor. 4]). We let  $\Phi_F := \{A \in \Phi_{\text{aff}} : s_A \text{ fixes } F\}$  and  $\Phi_F^+ := \Phi_F \cap \Phi_{\text{aff}}^+$ .

**Proposition 2.7.** *Let  $F$  be a facet contained in  $\overline{C}$ .*

i. *The set  $\mathcal{D}_F := \{d \in W : d(\Phi_F^+) \subset \Phi_{\text{aff}}^+\}$  is a system of representatives of the left cosets  $W/W_F^0$ . It satisfies*

$$(8) \quad \ell(dw) = \ell(d) + \ell(w)$$

*for any  $w \in W_F^0$  and  $d \in \mathcal{D}_F$ . In particular,  $d$  is the unique element with minimal length in  $dW_F^0$ .*

ii. *If  $s \in S_{\text{aff}}$  and  $d \in \mathcal{D}_F$  then we are in one of the following situations:*

**A.**  $\ell(sd) = \ell(d) - 1$  in which case  $sd \in \mathcal{D}_F$ .

**B.i.**  $\ell(sd) = \ell(d) + 1$  and  $sd \in \mathcal{D}_F$ .

**B.ii.**  $\ell(sd) = \ell(d) + 1$  and  $sd \in dW_F^0$ .

*Proof.* This result follows as in [OS1, Prop. 4.6]. The main point to note is that the argument for (ii) goes through with  $s \in S_{\text{aff}}$  even if only the case  $s \in S_F$  is considered in [OS1].  $\square$

Denote by  $\Omega_F \subset \Omega$  the subgroup stabilizing  $F$ . We have  $\Omega_F = \{\omega \in \Omega, \omega S_F \omega^{-1} = S_F\}$  and  $W_F^0$  is normalized by  $\Omega_F$  ([OS1, §4.5]). Denote by  $W_F \subset W$  the subgroup generated by  $W_F^0$  and  $\Omega_F$  (it is a semi-direct product of these two subgroups). Note that  $W_C = \Omega$  and  $W_F \cap W_{\text{aff}} = W_F^0$ . By [OS1, Lemma 4.9], we have  $W_F = \{w \in W : wF = F\}$ .

**Proposition 2.8.** i. *Let  $F$  be a facet of  $\mathcal{A}$ . There is a unique chamber  $C(F)$  at closest distance to  $C$  (in terms of gallery distance) which contains  $F$  in its closure and a unique element  $d_F$  in  $W_{\text{aff}}$  such that  $C(F) = d_F C$ . We have  $d_F \in \mathcal{D}_{F_0}$  where  $F_0 := d_F^{-1} F$ .*

ii. *If  $F$  and  $F'$  are two facets such that  $F' \subseteq \overline{F}$  we have  $\ell(d_{F'}) + \ell(d_{F'}^{-1} d_F) = \ell(d_F)$ .*

*Proof.* Let  $F$  be a facet of  $\mathcal{A}$ . By [OS1, Prop. 4.13-i], there is a unique chamber  $C(F)$  at closest distance to  $C$  (in terms of gallery distance) which contains  $F$  in its closure. Since  $W_{\text{aff}}$  acts simply transitively on the chambers, there is a unique  $d_F \in W_{\text{aff}}$  such that  $C(F) = d_F C$ .

Let  $F_0 := d_F^{-1} F$  and  $d \in \mathcal{D}_{F_0}$  such that  $dF = du \in dW_{F_0}^0$ . Then  $dC$  contains  $F$  in its closure and  $\ell(d) = \ell(d_F) - \ell(u)$  of  $C$ . Therefore  $u = 1$  and  $d_F = d \in \mathcal{D}_{F_0}$ . Now let  $F'$  a facet such that  $F' \subseteq \overline{F}$ . Both facets  $F'_0 := d_{F'}^{-1} F'$  and  $d_F^{-1} d_{F'} F'_0$  are contained in  $\overline{C}$ . By Remark 2.6 we have  $d_F^{-1} d_{F'} F'_0 = F'_0$  and  $d_F^{-1} d_F \in W_{F'_0}^0$ . Point ii then follows from (8).  $\square$

*Definition 2.9.* Given  $s \in S_{\text{aff}}$ , a facet  $F$  of  $\mathcal{A}$  and  $F_0 := d_F^{-1} F \subseteq \overline{C}$  we say that  $F$  is

- of type **A** for  $s$  if  $\ell(sd_F) = \ell(d_F) - 1$  in which case we recall that  $sd_F \in \mathcal{D}_{F_0}$ ,
- of type **B.i** for  $s$  if  $\ell(sd_F) = \ell(d_F) + 1$  and  $sd_F \in \mathcal{D}_{F_0}$ ,
- of type **B.ii** for  $s$  if  $\ell(sd_F) = \ell(d_F) + 1$  and  $sd_F \notin \mathcal{D}_{F_0}$ . Equivalently,  $F$  is of type **B.ii** for  $s$  when  $sF = F$ .

**Lemma 2.10.** *Given two facets  $F', F$  such that  $F' \subseteq \overline{F}$  and  $s \in S_{\text{aff}}$ ,*

- if  $F$  is of type **A**, then  $F'$  is of type **A** or **B.ii** for  $s$ .
- if  $F$  is of type **B.i**, then  $F'$  is of type **B.i** or **B.ii** for  $s$ .
- if  $F$  is of type **B.ii**, then  $F'$  is of type **B.ii** for  $s$ .

*Proof.* With the notation of the proof of Proposition 2.8, we have  $d_F = d'_F u$  where  $u \in W_{F'_0}^0$  and  $\ell(d_F) = \ell(d_{F'}) + \ell(u)$ . If  $F'$  is of type **B.i**, then  $\ell(sd_F) = \ell(sd_{F'}u) = \ell(sd_{F'}) + \ell(u) = \ell(d_{F'}) + 1 + \ell(u) = \ell(d_F) + 1$  so  $F$  is not of type **A**. If  $F'$  is of type **A**, then  $\ell(sd_F) = \ell(sd_{F'}u) = \ell(sd_{F'}) + \ell(u) = \ell(d_{F'}) - 1 + \ell(u) = \ell(d_F) - 1$  so  $F$  is not of type **B.i**. Suppose that  $F$  is of type **B.ii**, then  $sF = F$  so  $sF' = F'$  and  $F$  is also of type **B.ii**.  $\square$

For  $F$  a facet in  $\overline{C}$ , the set  $\mathcal{D}_F$  is stable by right multiplication by  $\Omega_F$ . By [OS1, Lemma 4.12-i], a chosen system of representatives  $\mathcal{D}_F^\dagger$  of the orbits of  $\mathcal{D}_F$  under the right action of  $\Omega_F$  is a system of representatives of the left cosets  $W/W_F$ .

**2.4. Finite Hecke algebras and orientation characters.** For a facet  $F$  in  $\overline{C}$  consider the free  $R[\mathbf{a}, \mathbf{b}]$ -submodule  $H_F \subset H_{\mathbf{a}, \mathbf{b}}$  with basis  $\{T_w\}_{w \in W_F}$ . It is a subring of  $H_{\mathbf{a}, \mathbf{b}}$ .

**Proposition 2.11.**  $H_{\mathbf{a}, \mathbf{b}}$  is free as an  $H_F$ -module on the right (resp. left) with basis  $\{T_d\}_{d \in \mathcal{D}_F^\dagger}$  (resp.  $\{T_{d^{-1}}\}_{d \in \mathcal{D}_F^\dagger}$ ).

*Proof.* This follows from Proposition 2.7 and (5) (cf. [OS1, Prop. 4.21]).  $\square$

**Proposition 2.12.** We have

- (1)  $H_F$  is finite and free over  $\mathfrak{z}$ .
- (2)  $H_F$  is a Noetherian ring.
- (3) As a right (resp. left)  $H_F$ -module,  $H_{\mathbf{a}, \mathbf{b}}$  is free of basis  $\{T_d, d \in \mathcal{D}_F^\dagger\}$  (resp.  $\{T_{d^{-1}}, d \in \mathcal{D}_F^\dagger\}$ ).

*Proof.* Recall that  $W_F$  is the semidirect product of the finite group  $W_F^0$  and of the subgroup  $\Omega_F$  (containing  $Q^\perp$ ) of  $\Omega$ . Hence  $W_F/Q^\perp$  is finite and  $H_F$  is free over  $\mathfrak{z}$  with basis the set  $\{T_w\}$  where  $w$  ranges over a system of representatives  $[W_F/Q^\perp]$ . Point 2 follows immediately. Point 3 comes from the definition of  $\mathcal{D}_F^\dagger$  (see the very end of §2.3 and (8)) and from the braid relations (5).  $\square$

For  $w \in W_F$ , set  $\epsilon_F(w) = +1$  (resp.  $-1$ ) if  $w$  preserves (resp. reverses) a given orientation of  $F$ . This defines a character  $W_F \rightarrow \{\pm 1\}$  which is trivial on  $Q^\perp$  (cf. [OS1, 3.1 and 3.3.1]).

**Lemma 2.13.** The  $R[\mathbf{a}, \mathbf{b}]$ -linear map

$$(9) \quad j_F : H_F \longrightarrow H_F, \quad T_w \longmapsto \epsilon_F(w)T_w$$

is an involutive automorphism of  $H_F$  which acts trivially on  $\mathfrak{z}$ .

*Proof.* An element  $w \in W_F$  fixes  $F$  pointwise so  $\epsilon_F$  factors through  $W_F/W_F^0 \cong \Omega_F \rightarrow \{\pm 1\}$ ; namely, for  $w = w_0\omega \in W_F^0 \rtimes \Omega_F = W_F$ , we have  $j_F(T_w) = \epsilon_F(T_w)T_w$ . We want to show that  $j_F(T_v T_w) = j_F(T_v)j_F(T_w)$  for all  $v, w \in W_F$ . By induction it is enough to do it when  $v \in \Omega_F$  and  $v \in S_F$  which is immediate using (5) and (6).  $\square$

Given a left (resp. right)  $H_F$ -module  $M$  we will denote by  $(j_F)M$  (resp.  $M(j_F)$ ) the left (resp. right)  $H_F$ -module  $M$  where the action of  $H_F$  is twisted by  $j_F$ .

*Remark 2.14.* Recall that  $W_C = \Omega$ . The character  $\epsilon_C$  of  $\Omega$  extends to a character of  $W$  as in (4). It is easy to check that the involution  $j_C$  of  $H_C$  extends to an involution of  $H_{\mathbf{a}, \mathbf{b}}$  which we still denote by  $j_C$  and which is given by

$$(10) \quad j_C : H_{\mathbf{a}, \mathbf{b}} \longrightarrow H_{\mathbf{a}, \mathbf{b}}, \quad T_{\omega w} \mapsto \epsilon_C(\omega w)T_{\omega w} = \epsilon_C(w)T_{\omega w} \text{ for } \omega \in \Omega \text{ and } w \in W_{\text{aff}}.$$

Define

$$(11) \quad \iota := \iota \circ j_C$$

where  $\iota$  was given in (7). It is an involution of  $H_{\mathbf{a}, \mathbf{b}}$  which acts trivially on  $\mathfrak{z}$ .

**2.5. Coefficient systems.** Following [SS, II.2] we define a (contravariant) coefficient system  $\underline{\mathbf{M}}$  of right  $H_{\mathbf{a}, \mathbf{b}}$ -modules on  $\mathcal{A}$  as the following data: a right  $H_{\mathbf{a}, \mathbf{b}}$ -module  $\mathcal{M}_F$  for each facet  $F$  of  $\mathcal{A}$  and a right  $H_{\mathbf{a}, \mathbf{b}}$ -equivariant transition map  $r_{F'}^F : \mathcal{M}_F \rightarrow \mathcal{M}_{F'}$  for each pair of facets  $F$  and  $F'$  such that  $F' \subseteq \overline{F}$  satisfying

$$r_{F'}^F = \text{id}_{\mathcal{M}_{F'}}, \text{ and } r_{F''}^{F'} = r_{F''}^{F'} \circ r_{F'}^F, \text{ whenever } F' \subseteq \overline{F} \text{ and } F'' \subseteq \overline{F'}.$$

For  $i \geq 0$  we denote by  $\mathcal{A}_i$  (resp.  $\mathcal{A}_{(i)}$ ) the set of facets (resp. oriented faces) of dimension  $i$  of  $\mathcal{A}$ . To a coefficient system  $\underline{\mathbf{M}}$  as above, we attach the oriented chain complex of right  $H_{\mathbf{a}, \mathbf{b}}$ -modules

$$(12) \quad 0 \longrightarrow C_c^{\text{or}}(\mathcal{A}_{(d)}, \underline{\mathbf{M}}) \xrightarrow{\partial} \dots \xrightarrow{\partial} C_c^{\text{or}}(\mathcal{A}_{(0)}, \underline{\mathbf{M}}) \longrightarrow 0$$

where, for  $i \in \{0, \dots, d\}$ , the space of oriented  $i$ -chains  $C_c^{\text{or}}(\mathcal{A}_{(i)}, \underline{\mathbf{M}})$  consists of all finitely supported maps  $\gamma : \mathcal{A}_{(i)} \rightarrow \prod_{F \in \mathcal{A}_i} V_F$  such that for any oriented facet  $(F, c)$  of dimension  $i$  we have

- $\gamma((F, c)) \in \mathcal{M}_F$  and if  $i \geq 1$ ,
- $\gamma((F, -c)) = -\gamma((F, c))$ ,

and when  $i \geq 1$ , the differential  $\partial : C_c^{or}(\mathcal{A}_{(i)}, \underline{\mathbf{M}}) \rightarrow C_c^{or}(\mathcal{A}_{(i-1)}, \underline{\mathbf{M}})$  is given by

$$\gamma \mapsto [(F', c') \mapsto \sum_{(F, c) \in \mathcal{A}_{(i)}, F' \subseteq \overline{F}} r_{F'}^F(\gamma(F, c_{(F', c')}))]$$

where  $(F, c_{(F', c')})$  is the orientation on  $F$  which induces the orientation  $(F', c')$  on  $F'$ .

**2.6. A resolution for generic Hecke algebras.** In Proposition 2.8, we introduced, for a facet  $F$  of  $\mathcal{A}$ , the chamber  $C(F)$  which is closest to  $C$  in terms of gallery distance and such that  $F \subset \overline{C(F)}$  and the element  $d_F \in W_{\text{aff}}$  such that  $C(F) = d_F C$ . For facets  $F$  and  $F'$  such that  $F' \subseteq \overline{F}$ , we consider the right  $H_{\mathbf{a}, \mathbf{b}}$ -equivariant maps

$$(13) \quad \begin{aligned} r_{F'}^F : H_{\mathbf{a}, \mathbf{b}} &\longrightarrow H_{\mathbf{a}, \mathbf{b}} \\ h &\longmapsto T_{d_{F'}^{-1} d_F} h \end{aligned}$$

*Remark 2.15.* If  $C(F') = C(F)$  then  $r_{F'}^F$  is the identity map.

The following technical lemma will be used in the proof of Proposition 2.18. We refer to Definition 2.9. For  $w \in W$ , we see  $T_w$  below as a the right  $H_{\mathbf{a}, \mathbf{b}}$ -equivariant map of left multiplication by  $T_w$  on  $H_{\mathbf{a}, \mathbf{b}}$ .

**Lemma 2.16.** *Let  $F$  a facet of dimension  $> 0$  and  $F'$  a facet in  $\overline{F}$  of codimension 1. Let  $s \in S_{\text{aff}}$ .*

- If  $F$  and  $F'$  are of same type for  $s$ , then  $r_{sF'}^s = r_{F'}^F$ .
- If  $F$  is of type **A** and  $F'$  of type **B.ii** for  $s$ , then  $(\mathbf{a} + \mathbf{b})r_{F'}^F - \mathbf{ab}r_{sF'}^s = T_{d_{F'}^{-1} s d_F} \circ r_{F'}^F$ .
- If  $F$  is of type **B.i** and  $F'$  of type **B.ii** for  $s$ , then  $r_{sF'}^s = T_{d_{F'}^{-1} s d_F} \circ r_{F'}^F$ .
- If  $F$  is of type **B.ii** then  $r_{sF'}^s \circ T_{d_{F'}^{-1} s d_F} = T_{d_{F'}^{-1} s d_F} \circ r_{F'}^F$ .

*Proof.* We make a preliminary remark: for  $F$  a facet in  $\mathcal{A}$ ,  $F_0 := d_F^{-1} F$  and  $s \in S_{\text{aff}}$ , we have  $s d_F \in \mathcal{D}_{F_0}$  if and only if  $sF \neq F$ . More precisely, if  $s d_F \in \mathcal{D}_{F_0}$  then  $d_{sF} = s d_F$  and in particular  $sF \neq F$ . Otherwise, by Proposition 2.7-ii, we have  $s d_F = d_F u$  with  $u \in W_{F_0}^0$  and in particular  $sF = F$ .

We turn to the proof of the Lemma.

For (a), notice that if none of  $F$  and  $F'$  is of type **B.ii** for  $s$  then  $d_{sF} = s d_F$ ,  $d_{sF'} = s d_{F'}$ . If they are both of type **B.ii** then  $F = sF$  and  $F' = sF'$ .

For the other properties we introduce  $A \in \Pi_{\text{aff}}$  such that  $s = s_A$  and we let  $B := d_{F'}^{-1} A$ . Note that if  $F'$  is of type **B.ii** then  $B \in \Pi_{\text{aff}}$  and  $s_B = d_{F'}^{-1} s_A d_{F'}$ .

For (b), assume that  $F$  is of type **A** and  $F'$  of type **B.ii** for  $s$ . We have  $(d_{F'}^{-1} d_F)^{-1} B \in \Phi_{\text{aff}}^-$  so  $\ell(s_B d_{F'}^{-1} d_F) = \ell(d_{F'}^{-1} d_F) - 1$  and

$$T_{s_B} T_{d_{F'}^{-1} d_F} = (\mathbf{a} + \mathbf{b}) T_{d_{F'}^{-1} d_F} - \mathbf{ab} T_{s_B d_{F'}^{-1} d_F} = (\mathbf{a} + \mathbf{b}) T_{d_{F'}^{-1} d_F} - \mathbf{ab} T_{d_{F'}^{-1} s_A d_F} = (\mathbf{a} + \mathbf{b}) T_{d_{F'}^{-1} d_F} - \mathbf{ab} T_{d_{F'}^{-1} d_{s_A F}}.$$

For (c), assume that  $F$  is of type **B.i** and  $F'$  of type **B.ii** for  $s$ . Then  $(d_{F'}^{-1} d_F)^{-1} B \in \Phi_{\text{aff}}^+$  so  $\ell(s_B d_{F'}^{-1} d_F) = 1 + \ell(d_{F'}^{-1} d_F)$  and  $T_{s_B} T_{d_{F'}^{-1} d_F} = T_{d_{F'}^{-1} s_A d_F} = T_{d_{F'}^{-1} d_{s_A F}}$ .

Lastly if  $F$  (hence  $F'$ ) is of type **B.ii** for  $s$ , let  $C := d_F^{-1} A \in \Pi_{\text{aff}}$  and  $s_C := d_F^{-1} s_A d_F$ . We have  $d_{F'}^{-1} d_F C \in \Phi_{\text{aff}}^+$  so  $\ell(d_{F'}^{-1} d_F) + 1 = \ell(d_{F'}^{-1} d_F s_C) = \ell(d_{F'}^{-1} s_A d_F)$  and  $T_{d_{F'}^{-1} s_A d_F} = T_{d_{F'}^{-1} d_F} T_{s_C}$ . On the other hand  $(d_{F'}^{-1} d_F)^{-1} B \in \Phi_{\text{aff}}^+$  so  $1 + \ell(d_{F'}^{-1} d_F) = \ell(s_B d_{F'}^{-1} d_F) = \ell(d_{F'}^{-1} s_A d_F)$  and  $T_{s_B} T_{d_{F'}^{-1} d_F} = T_{d_{F'}^{-1} s_A d_F}$ . Hence  $T_{s_B} T_{d_{F'}^{-1} d_F} = T_{d_{F'}^{-1} d_F} T_{s_C}$ .  $\square$

Obviously  $r_F^F$  is the identity map. Furthermore, it follows from Proposition 2.8-ii that  $\ell(d_{F''}^{-1} d_{F'}) + \ell(d_{F'}^{-1} d_F) = \ell(d_{F''}^{-1} d_F)$  for facets  $F, F', F''$  such that  $F'' \subseteq \overline{F'} \subseteq \overline{F}$ , and therefore, using (5), we have  $r_{F''}^{F'} \circ r_{F'}^F = r_{F''}^F$ . We may therefore consider the coefficient system of right  $H_{\mathbf{a}, \mathbf{b}}$ -modules  $\underline{H_{\mathbf{a}, \mathbf{b}}}$  given by the following data: to each facet  $F$  we attach right  $H_{\mathbf{a}, \mathbf{b}}$ -module  $H_{\mathbf{a}, \mathbf{b}}$  and, for facets  $F$  and  $F'$  satisfying  $F' \subseteq \overline{F}$ , we choose the transition maps  $r_{F'}^F$  as defined in (13). The coefficient system  $\underline{H_{\mathbf{a}, \mathbf{b}}}$  yields a complex of right  $H_{\mathbf{a}, \mathbf{b}}$ -modules as in (12). We define a right  $H_{\mathbf{a}, \mathbf{b}}$ -equivariant augmentation map by

$$\begin{aligned} \alpha : C_c^{or}(\mathcal{A}_{(0)}, \underline{H_{\mathbf{a}, \mathbf{b}}}) &\cong \bigoplus_{x \in \mathcal{A}_{(0)}} H_{\mathbf{a}, \mathbf{b}} \longrightarrow H_{\mathbf{a}, \mathbf{b}} \\ (h_x)_x &\longmapsto \sum_x T_{d_x} h_x. \end{aligned}$$

**Theorem 2.17.** *The augmented complex*

$$(14) \quad 0 \longrightarrow C_c^{or}(\mathcal{A}_{(d)}, \underline{H_{\mathbf{a}, \mathbf{b}}}) \xrightarrow{\partial} \dots \xrightarrow{\partial} C_c^{or}(\mathcal{A}_{(0)}, \underline{H_{\mathbf{a}, \mathbf{b}}}) \xrightarrow{\alpha} H_{\mathbf{a}, \mathbf{b}} \longrightarrow 0$$

is an exact complex of right  $H_{\mathbf{a}, \mathbf{b}}$ -modules.

*Proof.* We first verify  $\alpha \circ \partial = 0$ . Given a 1-dimensional facet  $F$  with vertices  $x$  and  $y$  we consider the 1-chain with support  $F$  and value  $h \in H_{\mathbf{a}, \mathbf{b}}$  at  $(F, c_F)$ . Its image by  $\partial$  is, up to a sign, the 0-chain supported by  $\{x, y\}$  with values

$$x \mapsto T_{d_x^{-1}d_F} h \text{ and } y \mapsto T_{d_y^{-1}d_F} h .$$

The image of this 0-chain by the augmentation map is  $T_{d_x} T_{d_x^{-1}d_F} h - T_{d_y} T_{d_y^{-1}d_F} h$  (up to a sign) which, by (5) and Proposition 2.8-ii, is zero.

The proof of the exactness goes through exactly as in [OS1, Theorem 3.4]. The ingredients are the following.

- 1) Given a facet  $F$  in  $\mathcal{A}$ , the transition map  $r_F^{C(F)}$  is the identity map (Remark 2.15).
- 2) For  $n \geq 1$  and  $D$  a chamber at (gallery) distance  $n$  of  $C$ , define  $\mathcal{A}(n-1)$  to be the set of facets contained in the closure of the chambers at distance  $\leq n-1$  of  $C$ . The simplicial subcomplexes  $\mathcal{A}(n-1)$  and  $\mathcal{A}(n-1) \cup \bar{D}$  are contractible. This is proved in [OS1, Proposition 4.16].  $\square$

For  $i \geq 0$  fix a (finite) subset of facets  $\mathcal{F}_i \subset \mathcal{A}_i \cap \bar{C}$  representing the  $W$ -orbits of  $\mathcal{A}_i$ .

**Proposition 2.18.** *Each  $C_c^{or}(\mathcal{A}_{(i)}, \underline{H_{\mathbf{a}, \mathbf{b}}})$  carries the structure of an  $H_{\mathbf{a}, \mathbf{b}}$ -bimodule such that:*

- (1) *this extends its natural structure as a right  $H_{\mathbf{a}, \mathbf{b}}$ -module,*
- (2)  $C_c^{or}(\mathcal{A}_{(i)}, \underline{H_{\mathbf{a}, \mathbf{b}}}) \cong \bigoplus_{F \in \mathcal{F}_i} H_{\mathbf{a}, \mathbf{b}}(j_F) \otimes_{H_F} H_{\mathbf{a}, \mathbf{b}},$
- (3) *the maps  $\partial$  and  $\alpha$  in (14) are  $H_{\mathbf{a}, \mathbf{b}}$ -bivequivariant.*

*Proof.* Fix an orientation  $(F_0, c_{F_0})$  for each facet in  $\bar{C}$ . For any facet  $F$  of  $\mathcal{A}$  we let  $F_0 := d_F^{-1}F$  and we choose  $(F, c_F) := d_F(F_0, c_{F_0})$ . Given  $w \in W_{\text{aff}}$ , it is then easy to check that

$$(15) \quad w(F, c_F) = (wF, c_{wF}) .$$

Given two orientations  $(F, c)$  and  $(F, c')$  of  $F$  we let  $\delta_{(F, c')}^{(F, c)} := 1$  if  $(F, c) = (F, c')$  and  $\delta_{(F, c')}^{(F, c)} := -1$  otherwise.

Given a facet  $F$  and  $h \in H_{\mathbf{a}, \mathbf{b}}$  we denote by  $(F, c_F) \mapsto h$  the oriented chain with support  $F$  and value  $h$  at  $(F, c_F)$ .

We define an isomorphism of right  $H_{\mathbf{a}, \mathbf{b}}$ -modules

$$(16) \quad C_c^{or}(\mathcal{A}_{(i)}, \underline{H_{\mathbf{a}, \mathbf{b}}}) \longrightarrow \bigoplus_{G_0 \in \mathcal{F}_i} H_{\mathbf{a}, \mathbf{b}}(j_{G_0}) \otimes_{H_{G_0}} H_{\mathbf{a}, \mathbf{b}}$$

as follows. Let  $F$  be a facet of dimension  $i$ . For  $h \in H_{\mathbf{a}, \mathbf{b}}$ , we consider the oriented  $i$ -chain  $\gamma : (F, c_F) \mapsto h$ . The facet  $F_0 := d_F^{-1}F$  in  $\bar{C}$  is  $\Omega$ -conjugate to a unique  $G_0 \in \mathcal{F}_i$ . We choose  $\omega \in \Omega$  such that  $F_0 := \omega G_0$ . We attach to  $\gamma$  the element

$$\delta_{\omega(G_0, c_{G_0})}^{(F_0, c_{F_0})} T_{d_F} T_\omega \otimes T_{\omega^{-1}} h \in H_{\mathbf{a}, \mathbf{b}}(j_{G_0}) \otimes_{H_{G_0}} H_{\mathbf{a}, \mathbf{b}} .$$

It is easy to see that this does not depend on the choice of  $\omega$  because if  $\omega' \in \Omega$  is such that  $\omega' G_0 = F_0$ , then  $u := \omega^{-1} \omega'$  lies in  $\Omega_{G_0}$  and by definition  $\epsilon_{G_0}(u) = \delta_{(G_0, c_{G_0})}^{u(G_0, c_{G_0})} = \delta_{\omega(G_0, c_{G_0})}^{\omega'(G_0, c_{G_0})}$ .

We define a map in the other direction. Given  $G_0 \in \mathcal{F}_i$ , we have  $H_{\mathbf{a}, \mathbf{b}}(j_{G_0}) \otimes_{H_{G_0}} H_{\mathbf{a}, \mathbf{b}} \cong \bigoplus_{d \in \mathcal{D}_{G_0}^\dagger} T_d \otimes H$  (Proposition 2.11). Let  $d \in \mathcal{D}_{G_0}^\dagger$ ,  $h \in H$  and  $F := dG_0$ . Then  $\omega := d_F^{-1}d$  lies in  $\Omega$  (because  $d^{-1}F$  and  $d_F^{-1}F$  both are facets in  $\bar{C}$ ). We attach to the element  $T_d \otimes h \in H_{\mathbf{a}, \mathbf{b}}(j_{G_0}) \otimes_{H_{G_0}} H_{\mathbf{a}, \mathbf{b}}$  the oriented  $i$  chain  $(F, c_F) \mapsto \delta_{d(G_0, c_{G_0})}^{(F, c_F)} T_\omega h$ . It is easy to check that this defines an inverse for (16).

Let  $i \geq 0$ . We endow  $C_c^{or}(\mathcal{A}_{(i)}, \underline{H_{\mathbf{a}, \mathbf{b}}})$  with the structure of  $H_{\mathbf{a}, \mathbf{b}}$ -bimodule inherited from  $\bigoplus_{F \in \mathcal{F}_i} H_{\mathbf{a}, \mathbf{b}}(j_F) \otimes_{H_F} H_{\mathbf{a}, \mathbf{b}}$ , thus extending its natural structure of right  $H_{\mathbf{a}, \mathbf{b}}$ -module. We describe the left action of  $H_{\mathbf{a}, \mathbf{b}}$  on  $C_c^{or}(\mathcal{A}_{(i)}, \underline{H_{\mathbf{a}, \mathbf{b}}})$ . Let  $F$  be a facet and  $F_0 := d_F^{-1}F$ . For  $h \in H_{\mathbf{a}, \mathbf{b}}$ , we consider the oriented  $i$ -chain  $\gamma : (F, c_F) \mapsto h$ .

**I.** Let  $\omega \in \Omega$ . The action of  $T_\omega$  maps  $\gamma$  onto the oriented  $i$ -chain  $T_\omega \cdot \gamma : (\omega F, c_{\omega F}) \mapsto \delta_{(\omega F_0, c_{\omega F_0})}^{\omega(F_0, c_{F_0})} T_\omega h$ .

**II.** Let  $s \in S_{\text{aff}}$ . We refer to Definition 2.9.

– Suppose  $F$  is of type  $\mathbf{A}$  for  $s$ . The action of  $T_s$  maps  $\gamma$  onto the sum of oriented chains

$$(F, c_F) \mapsto (\mathbf{a} + \mathbf{b})h \quad + \quad (sF, c_{sF}) \mapsto -\mathbf{a}\mathbf{b}h .$$



- Suppose  $F$  is of type **B.i** for  $s$ . The action of  $T_s$  maps  $\gamma$  onto the oriented chain  $(sF, c_{sF}) \mapsto h$ .
- Suppose  $F$  is of type **B.ii** for  $s$ . The action of  $T_s$  maps  $\gamma$  onto the oriented chain  $(F, c_F) \mapsto T_{d_F^{-1}sd_F} h$ .

Before showing that  $\alpha$  and  $\partial$  are left  $H$ -equivariant, we recall the remark at the beginning of the proof of Lemma 2.16 and add the following one: for a facet  $F$  and  $\omega \in \Omega$ , we have  $d_{\omega F} = \omega d_F \omega^{-1}$ .

We show that  $\alpha$  is left  $H$ -equivariant. Let  $F = x$  be a vertex and recall that  $\alpha(\gamma) = T_{d_x} h$ .

- (1) We have  $d_{\omega x} = \omega d_x \omega^{-1}$ . Therefore  $\alpha(T_\omega \cdot \gamma) = T_{\omega d_x \omega^{-1}} T_\omega h = T_\omega T_{d_x} h = T_\omega \alpha(\gamma)$ .
- (2) Let  $s \in S_{\text{aff}}$ . We refer to Definition 2.9.
  - (a) Suppose that  $x$  is of type **A** for  $s$ . Then  $sd_x = d_{sx}$  and

$$\alpha(T_s \cdot \gamma) = T_{d_x}(\mathbf{a} + \mathbf{b})h - \mathbf{ab}T_{sd_x} h = T_s T_{sd_x}(\mathbf{a} + \mathbf{b})h - \mathbf{ab}T_{sd_x} h = T_s \alpha(\gamma).$$

- (b) Suppose  $\ell(sd_x) = \ell(d_x) + 1$ .
  - (i) if  $x$  is of type **B.i** then  $d_{sx} = sd_x$  so  $\alpha(T_s \cdot \gamma) = T_{sd_x} h = T_s T_{d_x} h = T_s \alpha(\gamma)$ .
  - (ii) if  $x$  is of type **B.ii** then  $\alpha(T_s \cdot \gamma) = T_{d_x} T_{d_x^{-1}sd_x} h = T_{sd_x} h = T_s T_{d_x} h = T_s \alpha(\gamma)$ .

We show that  $\partial$  is left  $H$ -equivariant. Suppose  $i \geq 1$ . By definition,  $\partial(\gamma)$  is the sum over the facets  $F'$  of dimension  $i-1$  contained in  $\overline{F}$  of the chains  $(F', c_{F'}) \mapsto \delta_{(F', c_{F'})}^{(F, c_F)|_{F'}} T_{d_{F'}^{-1}d_F} h$  where we recall that  $(F, c_F)|_{F'}$  is the facet  $F'$  equipped with the orientation induced by  $(F, c_F)$ . We let  $G'_0 := d_{F'}^{-1}F'$ .

- (1) The action of  $T_\omega$  on  $\partial(\gamma)$  gives the sum over the same  $F'$ 's of

$$(\omega F', c_{\omega F'}) \mapsto \delta_{(\omega F'_0, c_{\omega F'_0})}^{\omega(G'_0, c_{G'_0})} \delta_{(F', c_{F'})}^{(F, c_F)|_{F'}} T_\omega T_{d_{F'}^{-1}d_F} h$$

while  $\partial(T_\omega \cdot \gamma)$  is the sum over the  $F'$ 's of

$$(\omega F', c_{\omega F'}) \mapsto \delta_{(\omega F', c_{\omega F'})}^{(\omega F, c_{\omega F})|_{\omega F'}} \delta_{(\omega F_0, c_{\omega F_0})}^{\omega(F_0, c_{F_0})} T_{d_{\omega F'}^{-1}d_{\omega F}} T_\omega h = \delta_{(\omega F', c_{\omega F'})}^{(\omega F, c_{\omega F})|_{\omega F'}} \delta_{(\omega F_0, c_{\omega F_0})}^{\omega(F_0, c_{F_0})} T_\omega T_{d_{F'}^{-1}d_F} h.$$

We check that the two displayed formulas above are equal. Note that  $u := d_{F'}^{-1}d_F \in W_{F'_0}$ . So

$$\delta_{(\omega F'_0, c_{\omega F'_0})}^{\omega(G'_0, c_{G'_0})} \delta_{(F', c_{F'})}^{(F, c_F)|_{F'}} = \delta_{(\omega F'_0, c_{\omega F'_0})}^{\omega(G'_0, c_{G'_0})} \delta_{d_{F'}(F_0, c_{F_0})|_{F'}} = \delta_{(\omega F'_0, c_{\omega F'_0})}^{\omega(G'_0, c_{G'_0})} \delta_{(F'_0, c_{F'_0})}^{u(F_0, c_{F_0})|_{F'_0}} = \delta_{\omega^{-1}(\omega F'_0, c_{\omega F'_0})}^{u(F_0, c_{F_0})|_{F'_0}} = \delta_{(\omega F'_0, c_{\omega F'_0})}^{\omega u(F_0, c_{F_0})|_{\omega F'_0}}$$

while, if we let  $(F_0, \kappa_{F_0}) := \omega^{-1}(\omega F_0, c_{\omega F_0})$ ,

$$\delta_{(\omega F', c_{\omega F'})}^{(\omega F, c_{\omega F})|_{\omega F'}} \delta_{(\omega F_0, c_{\omega F_0})}^{\omega(F_0, c_{F_0})} = \delta_{(\omega F'_0, c_{\omega F'_0})}^{\omega u \omega^{-1}(\omega F_0, c_{\omega F_0})|_{\omega F'_0}} \delta_{(\omega F_0, c_{\omega F_0})}^{\omega(F_0, c_{F_0})} = \delta_{(\omega F'_0, c_{\omega F'_0})}^{\omega u(F_0, \kappa_{F_0})|_{\omega F'_0}} \delta_{(F_0, \kappa_{F_0})}^{\omega(F_0, c_{F_0})} = \delta_{(\omega F'_0, c_{\omega F'_0})}^{\omega u(F_0, c_{F_0})|_{\omega F'_0}}.$$

- (2) Now we consider the action of  $T_s$  for  $s \in S_{\text{aff}}$ .
  - Suppose that  $F$  is of type **A** for  $s$ . Recall (using (15)) that  $\partial(T_s \cdot \gamma)$  is the sum over the facets  $F'$  of codimension 1 in  $\overline{F}$  of the chains  $(F', c_{F'}) \mapsto \delta_{(F', c_{F'})}^{(F, c_F)|_{F'}}(\mathbf{a} + \mathbf{b})r_{F'}^F(h)$  and  $(sF', c_{sF'}) \mapsto -\delta_{(F', c_{F'})}^{(F, c_F)|_{F'}} \mathbf{ab}r_{sF'}^{sF'}(h)$ .

To see that it is equal to  $T_s \cdot \partial(\gamma)$ , we use Lemma 2.16(a),(b) and we write it as the sum of

$$(F', c_{F'}) \mapsto \delta_{(F', c_{F'})}^{(F, c_F)|_{F'}}(\mathbf{a} + \mathbf{b})r_{F'}^F(h) \text{ and } (sF', c_{sF'}) \mapsto -\delta_{(F', c_{F'})}^{(F, c_F)|_{F'}} \mathbf{ab}r_{sF'}^{sF'}(h)$$

where  $F'$  ranges over the facets  $F'$  of codimension 1 in  $\overline{F}$  which are of type **A** for  $s$  and of

$$(F', c_{F'}) \mapsto \delta_{(F', c_{F'})}^{(F, c_F)|_{F'}} [(\mathbf{a} + \mathbf{b})r_{F'}^F(h) - \mathbf{ab}r_{sF'}^{sF'}(h)] = \delta_{(F', c_{F'})}^{(F, c_F)|_{F'}} T_{d_{F'}^{-1}sd_{F'}} r_{F'}^F(h)$$

where  $F'$  ranges over the facets  $F'$  of codimension 1 in  $\overline{F}$  which are of type **B.ii**.

- Suppose that  $F$  of type **B.i** for  $s$ . Here  $\partial(T_s \cdot \gamma)$  is the sum over the facets  $F'$  of codimension 1 in  $\overline{F}$  of the chains  $(sF', c_{sF'}) \mapsto \delta_{(F', c_{F'})}^{(F, c_F)|_{F'}} r_{sF'}^{sF'}(h)$ . To see that it is equal to  $T_s \cdot \partial(\gamma)$ , we use Lemma 2.16(a),(c) and write it as the sum of the chains

$$(sF', c_{sF'}) \mapsto \delta_{(F', c_{F'})}^{(F, c_F)|_{F'}} r_{sF'}^{sF'}(h).$$

where  $F'$  ranges over the facets  $F'$  of codimension 1 in  $\overline{F}$  which are of type **B.i** and of

$$(F', c_{F'}) \mapsto \delta_{(F', c_{F'})}^{(F, c_F)|_{F'}} T_{d_{F'}^{-1}sd_{F'}} r_{F'}^F(h).$$

where  $F'$  ranges over the facets  $F'$  of codimension 1 in  $\overline{F}$  which are of type **B.ii**.

- Lastly suppose that  $F$  is of type **B.ii**. Here  $\partial(T_s \cdot \gamma)$  is the sum over the facets  $F'$  of codimension 1 in  $\overline{F}$  of the chains (using (15))  $(F', c_{F'}) \mapsto \delta_{(F', c_{F'})}^{(F, c_F)|_{F'}} r_{F'}^F(T_{d_{F'}^{-1}sd_{F'}} h)$ . To see that it is equal to  $T_s \cdot \partial(\gamma)$ ,

we use Lemma 2.16(d) and write it as the sum over the facets  $F'$  of codimension 1 in  $\overline{F}$  of the chains  $(F', c_{F'}) \mapsto \delta_{(F', c_{F'})}^{(F, c_F)}|_{F'} T_{d_{F'}^{-1} s_{d_{F'}}} r_{F'}^F(h)$ .

□

**Corollary 2.19.** *We have an exact resolution of  $H_{\mathbf{a}, \mathbf{b}}$  by  $H_{\mathbf{a}, \mathbf{b}}$ -bimodules*

$$(17) \quad 0 \longrightarrow \bigoplus_{F \in \mathcal{F}_d} H_{\mathbf{a}, \mathbf{b}}(j_F) \otimes_{H_F} H_{\mathbf{a}, \mathbf{b}} \longrightarrow \dots \longrightarrow \bigoplus_{F \in \mathcal{F}_0} H_{\mathbf{a}, \mathbf{b}}(j_F) \otimes_{H_F} H_{\mathbf{a}, \mathbf{b}} \longrightarrow H_{\mathbf{a}, \mathbf{b}} \longrightarrow 0.$$

Moreover, each term in this resolution is free as a left (resp. right)  $H_{\mathbf{a}, \mathbf{b}}$ -module.

For later use we record an explicit description of the first (left) differential in (17). For simplicity, fix an orientation  $(C, c_C)$  of  $C$  and for each of its codimension 1 facet  $F$  choose the orientation  $(F, c_F) := (C, c_C)|_F$ . In this case one checks (using the explicit form of the isomorphism (16), also compare with [OS1, Cor. 6.7]) that the first differential in (17) is given by

$$(18) \quad \partial : H_{\mathbf{a}, \mathbf{b}}(j_C) \otimes_{H_C} H_{\mathbf{a}, \mathbf{b}} \ni 1 \otimes 1 \mapsto \sum_{F \in \mathcal{F}_{d-1}} \sum_{\omega \in \Omega/\Omega_F} j_C(T_\omega) \otimes T_{\omega-1} \in \bigoplus_{F \in \mathcal{F}_{d-1}} H_{\mathbf{a}, \mathbf{b}}(j_F) \otimes_{H_F} H_{\mathbf{a}, \mathbf{b}}.$$

### 3. DUALIZING COMPLEXES

In this section we work over a fixed ground field  $k$ . We assume that all rings are left and right Noetherian algebras over  $k$ . For a  $k$ -algebra  $A$  we denote by  $D^b(A)$  the bounded derived category of finitely generated  $A$ -modules.

**3.1. Rigid dualizing complexes.** The following definition follows [Ye1].

*Definition 3.1.* An object  $\mathbf{R} \in D^b(A \otimes_k A^o)$  is called a dualizing if

- (1)  $\mathbf{R}$  has finite injective dimension over  $A$  and  $A^o$ ,
- (2) the cohomology of  $\mathbf{R}$  is given by bimodules which are finitely generated on both sides,
- (3) the natural morphisms  $A \rightarrow \mathrm{RHom}_A(\mathbf{R}, \mathbf{R})$  and  $A \rightarrow \mathrm{RHom}_{A^o}(\mathbf{R}, \mathbf{R})$  are isomorphisms in  $D(A \otimes_k A^o)$ .

More generally, suppose  $\mathfrak{z} \subset A$  is a finitely generated, commutative, central  $k$ -subalgebra so that  $A$  is flat over  $\mathfrak{z}$ . Then  $\mathbf{R} \in D^b(A \otimes_{\mathfrak{z}} A^o)$  is called dualizing if its restriction to  $D^b(A \otimes_k A^o)$  is dualizing.

*Remark 3.2.* We could weaken the assumption that  $A$  is flat over  $\mathfrak{z}$  to  $A$  having finite tor-dimension over  $\mathfrak{z}$  but at the cost of working with dg-algebras.

*Remark 3.3.* A dualizing complex  $\mathbf{R} \in D^b(A \otimes_k A^o)$  induces equivalences

$$\mathrm{RHom}_A(-, \mathbf{R}) : D^b(A) \xrightarrow{\sim} D^b(A^o) : \mathrm{RHom}_{A^o}(-, \mathbf{R})$$

which explains the terminology “dualizing complex” (see [Ye1, Prop. 3.5]).

*Definition 3.4.* Let  $\mathfrak{z} \subset A$  be as in Definition 3.1. Then  $\mathbf{R} \in D^b(A \otimes_{\mathfrak{z}} A^o)$  is  $\mathfrak{z}$ -rigid if there is an isomorphism

$$\phi : \mathbf{R} \rightarrow \mathrm{RHom}_{A \otimes_{\mathfrak{z}} A^o}(A, \mathbf{R} \otimes_{\mathfrak{z}} \mathbf{R})$$

in  $D(A \otimes_{\mathfrak{z}} A^o)$ . If  $\mathfrak{z} = k$  then we say that  $\mathbf{R}$  is rigid.

*Remark 3.5.* The definition above appears in [Ber, Def. 8.1] when  $\mathfrak{z} = k$ . We will need the mild generalization above for our applications. It is shown in [Ber] that, if they exist, rigid dualizing complexes are unique. The same argument also shows that  $\mathfrak{z}$ -rigid dualizing complexes are unique (when  $\mathfrak{z}$  is regular this also follows from Lemma 3.6 below). When it exists we will denote the rigid (resp.  $\mathfrak{z}$ -rigid) dualizing complex by  $\mathbf{R}_A$  (resp.  $\mathbf{R}_{A/\mathfrak{z}}$ ).

If  $\pi_{\mathfrak{z}} : \mathrm{Spec} \mathfrak{z} \rightarrow \mathrm{Spec} k$  is the natural map then  $\mathbf{R}_{\mathfrak{z}} := \pi_{\mathfrak{z}}^!(k) \in D(\mathfrak{z})$  is rigid when viewed as a  $\mathfrak{z}$ -bimodule. Here  $\pi_{\mathfrak{z}}^!$  is the usual twisted inverse image functor from Grothendieck duality. Similarly, if  $A$  is commutative then  $\mathbf{R}_A := \pi_A^!(k) \in D(A)$  is rigid (where  $\pi_A : \mathrm{Spec} A \rightarrow \mathrm{Spec} k$ ) and  $\mathbf{R}_{A/\mathfrak{z}} := \pi^!(\mathfrak{z}) \in D(A)$  is  $\mathfrak{z}$ -rigid where  $\pi : \mathrm{Spec} A \rightarrow \mathrm{Spec} \mathfrak{z}$ . Thus Definition 3.4 is an attempt to identify this canonical relative dualizing object when  $A$  is not commutative.

Since  $\pi$  is flat it also follows that  $\pi^!(\mathbf{R}_{\mathfrak{z}}) \cong \pi^!(\mathfrak{z}) \otimes_{\mathfrak{z}} \mathbf{R}_{\mathfrak{z}}$  (see for example [LH, Thm. 4.9.4]). In other words,  $\mathbf{R}_A \cong \mathbf{R}_{A/\mathfrak{z}} \otimes_{\mathfrak{z}} \mathbf{R}_{\mathfrak{z}}$ . The following result is a non-commutative analogue of this observation.

**Lemma 3.6.** *Let  $\mathfrak{z} \subset A$  be as in Definition 3.1 and suppose  $\mathfrak{z}$  is regular with rigid dualizing complex  $\mathbf{R}_{\mathfrak{z}}$ . Then  $A$  has a rigid dualizing complex  $\mathbf{R}_A$  if and only if it has  $\mathfrak{z}$ -rigid dualizing complex  $\mathbf{R}_{A/\mathfrak{z}}$ . In this case*

$$(19) \quad \mathbf{R}_A \cong \mathbf{R}_{A/\mathfrak{z}} \otimes_{\mathfrak{z}} \mathbf{R}_{\mathfrak{z}} \in D(A \otimes_{\mathfrak{z}} A^o).$$

*Proof.* Since  $\mathfrak{z}$  is Noetherian and regular its rigid dualizing complex  $\mathbf{R}_{\mathfrak{z}}$  is invertible. Thus, for  $\mathbf{R}_A$  and  $\mathbf{R}_{A/\mathfrak{z}}$  satisfying (19), it follows that  $\mathbf{R}_A$  is dualizing if and only if  $\mathbf{R}_{A/\mathfrak{z}}$  is dualizing. It remains to show that  $\mathbf{R}_A$  is rigid if and only if  $\mathbf{R}_{A/\mathfrak{z}}$  is  $\mathfrak{z}$ -rigid.

To see this, consider the following sequences of isomorphisms

$$\begin{aligned}
\mathrm{RHom}_{A \otimes_k A^\circ}(A, \mathbf{R}_A \otimes_k \mathbf{R}_A) &\cong \mathrm{RHom}_{A \otimes_{\mathfrak{z}} A^\circ}(A \otimes_{A \otimes_k A^\circ} (A \otimes_{\mathfrak{z}} A^\circ), (\mathbf{R}_{A/\mathfrak{z}} \otimes_{\mathfrak{z}} \mathbf{R}_{\mathfrak{z}}) \otimes_k (\mathbf{R}_{A/\mathfrak{z}} \otimes_{\mathfrak{z}} \mathbf{R}_{\mathfrak{z}})) \\
&\cong \mathrm{RHom}_{A \otimes_{\mathfrak{z}} A^\circ}(A \otimes_{\mathfrak{z} \otimes_k \mathfrak{z}} \mathfrak{z}, (\mathbf{R}_{\mathfrak{z}} \otimes_k \mathbf{R}_{\mathfrak{z}}) \otimes_{\mathfrak{z} \otimes_k \mathfrak{z}} (\mathbf{R}_{A/\mathfrak{z}} \otimes_k \mathbf{R}_{A/\mathfrak{z}})) \\
&\cong \mathrm{RHom}_{A \otimes_{\mathfrak{z}} A^\circ}(A, \mathrm{RHom}_{\mathfrak{z} \otimes_k \mathfrak{z}}(\mathfrak{z}, (\mathbf{R}_{\mathfrak{z}} \otimes_k \mathbf{R}_{\mathfrak{z}}) \otimes_{\mathfrak{z} \otimes_k \mathfrak{z}} (\mathbf{R}_{A/\mathfrak{z}} \otimes_k \mathbf{R}_{A/\mathfrak{z}}))) \\
&\cong \mathrm{RHom}_{A \otimes_{\mathfrak{z}} A^\circ}(A, \mathrm{RHom}_{\mathfrak{z} \otimes_k \mathfrak{z}}(\mathfrak{z}, \mathbf{R}_{\mathfrak{z}} \otimes_k \mathbf{R}_{\mathfrak{z}}) \otimes_{\mathfrak{z} \otimes_k \mathfrak{z}} (\mathbf{R}_{A/\mathfrak{z}} \otimes_k \mathbf{R}_{A/\mathfrak{z}})) \\
&\cong \mathrm{RHom}_{A \otimes_{\mathfrak{z}} A^\circ}(A, \mathbf{R}_{\mathfrak{z}} \otimes_{\mathfrak{z} \otimes_k \mathfrak{z}} (\mathbf{R}_{A/\mathfrak{z}} \otimes_k \mathbf{R}_{A/\mathfrak{z}})) \\
&\cong \mathrm{RHom}_{A \otimes_{\mathfrak{z}} A^\circ}(A, \mathbf{R}_{\mathfrak{z}} \otimes_{\mathfrak{z}} (\mathbf{R}_{A/\mathfrak{z}} \otimes_{\mathfrak{z}} \mathbf{R}_{A/\mathfrak{z}})) \\
&\cong \mathrm{RHom}_{A \otimes_{\mathfrak{z}} A^\circ}(A, \mathbf{R}_{A/\mathfrak{z}} \otimes_{\mathfrak{z}} \mathbf{R}_{A/\mathfrak{z}}) \otimes_{\mathfrak{z}} \mathbf{R}_{\mathfrak{z}}
\end{aligned}$$

Here the first isomorphism is by adjunction of restriction and induction, the second is by base change noting that

$$A \otimes_{\mathfrak{z}} A^\circ \cong (A \otimes_k A^\circ) \otimes_{\mathfrak{z} \otimes_k \mathfrak{z}} \mathfrak{z}$$

the third is by adjunction between tensor product and hom, the fourth uses that  $\mathfrak{z}$  is perfect inside  $D(\mathfrak{z} \otimes_k \mathfrak{z})$  because  $\mathfrak{z}$  is regular, the fifth uses that  $\mathbf{R}_{\mathfrak{z}}$  is rigid, the sixth uses that the left and right actions of  $\mathfrak{z}$  on  $\mathbf{R}_{\mathfrak{z}}$  agree and the last uses that  $\mathbf{R}_{\mathfrak{z}}$  is (up to shift) a locally free  $\mathfrak{z}$ -module since  $\mathfrak{z}$  is regular. Since  $\mathbf{R}_{\mathfrak{z}}$  is invertible, the result follows.  $\square$

### 3.2. Traces.

*Definition 3.7.* Let  $f : A \rightarrow B$  be a finite morphism of  $k$ -algebras and suppose  $A$  and  $B$  have rigid dualizing complexes  $(\mathbf{R}_A, \phi_A)$  and  $(\mathbf{R}_B, \phi_B)$ . Then  $\mathrm{tr}_{B/A} : \mathbf{R}_B \rightarrow \mathbf{R}_A$  in  $D(A \otimes_k A)$  is called a trace morphism if the following conditions hold:

(1)  $\mathrm{tr}_{B/A}$  induces an isomorphism in  $D(A \otimes_k A)$

$$(20) \quad \mathbf{R}_B \cong \mathrm{RHom}_A(B, \mathbf{R}_A) \cong \mathrm{RHom}_{A^\circ}(B, \mathbf{R}_A)$$

(2) the following diagram in  $D(A \otimes_k A)$  commutes

$$(21) \quad \begin{array}{ccc} \mathbf{R}_B & \xrightarrow{\phi_B} & \mathrm{RHom}_{B \otimes_k B}(B, \mathbf{R}_B \otimes_k \mathbf{R}_B) \\ \downarrow \mathrm{tr}_{B/A} & & \downarrow \mathrm{tr}_{B/A} \otimes \mathrm{tr}_{B/A} \\ \mathbf{R}_A & \xrightarrow{\phi_A} & \mathrm{RHom}_{A \otimes_k A}(A, \mathbf{R}_A \otimes_k \mathbf{R}_A) \end{array}$$

If they exist, trace morphisms  $\mathrm{tr}_{B/A}$  are unique [YZ1, Thm. 3.2]. A consequence of traces is the following duality result. It is a non-commutative counterpart of the result in algebraic geometry which states that for a proper morphism  $f : X \rightarrow Y$  of schemes of finite type one has

$$f_* \mathrm{RHom}_X(M, f^!(N)) \cong \mathrm{RHom}_Y(f_* M, N)$$

for coherent sheaves  $M, N$  on  $X, Y$  respectively.

**Corollary 3.8** (Prop. 3.9(1) [YZ1]). *Suppose we are in the setup of Definition 3.7. Then  $\mathrm{tr}_{B/A}$  induces a natural isomorphism*

$$(22) \quad \mathrm{Res}_{A^\circ}^{B^\circ} \circ \mathrm{RHom}_B(-, \mathbf{R}_B) \cong \mathrm{RHom}_A(\mathrm{Res}_A^B(-), \mathbf{R}_A) : D_f(B) \rightarrow D_f(A^\circ).$$

**3.3. Existence results.** There are various results which guarantee the existence of rigid dualizing complexes. We highlight the ones which are relevant to our situation.

*Definition 3.9.* Following [YZ2] we say that  $A$  is a differential  $k$ -algebra of finite type if it has an exhaustive filtration  $\{F_i A\}_{i \in \mathbb{Z}}$  such that the associated graded  $\mathrm{gr}^F(A)$  is a finite module over its center which is a finitely generated  $k$ -algebra.

A nice consequence of [YZ2, Thm. 3.1] is that any differential  $k$ -algebra  $A$  of finite type has a rigid dualizing complex  $\mathbf{R}_A$  [YZ2, Thm. 8.1]. More generally, if  $A \rightarrow B$  is a finite centralizing homomorphism of  $k$ -algebras then  $B$  also has a rigid dualizing complex  $\mathbf{R}_B$  and moreover there exists a trace morphism  $\mathrm{tr}_{B/A} : \mathbf{R}_B \rightarrow \mathbf{R}_A$  [YZ1, Thm. 6.17]. Here  $A \rightarrow B$  is finite centralizing if there exists a finite set  $\{b_i\} \subset B$  commuting with  $A$  such that  $B = \sum A \cdot b_i$ . In particular, this implies the following result.

**Proposition 3.10.** *Suppose  $A$  is finite over a central subalgebra  $Z \subset A$  which is a finitely generated  $k$ -algebra. Then there exist rigid dualizing complexes  $\mathbf{R}_A$  and  $\mathbf{R}_Z$  with  $\mathbf{R}_A \cong \mathrm{RHom}_Z(A, \mathbf{R}_Z)$ . Moreover, there exists a trace map  $\mathrm{tr}_{A/Z} : \mathbf{R}_A \rightarrow \mathbf{R}_Z$ . If  $Z$  is also regular then  $\mathbf{R}_{A/Z} \cong \mathrm{RHom}_Z(A, Z)$ .*

*Proof.* Since  $Z \rightarrow A$  is finite centralizing we know there exist rigid dualizing complexes  $\mathbf{R}_A$  and  $\mathbf{R}_Z$  as well as the trace map  $\mathrm{tr}_{A/Z}$ . The isomorphism  $\mathbf{R}_A \cong \mathrm{RHom}_Z(A, \mathbf{R}_Z)$  follows from the proof of [Ye2, Prop. 5.9]. Finally, if  $Z$  is regular, then  $\mathbf{R}_Z$  is invertible and  $\mathrm{RHom}_Z(A, \mathbf{R}_Z) \cong \mathrm{RHom}_Z(A, Z) \otimes_Z \mathbf{R}_Z$ . Thus the last isomorphism follows from Lemma 3.6.  $\square$

We say  $A$  is Gorenstein if  $A$  itself is a dualizing complex (cf. [Ye1, p. 68]). In this case any dualizing complex is invertible ([Ye1, Thm. 3.9]).

**Proposition 3.11.** *Consider  $\mathfrak{z} \subset A$  as in Definition 3.1 where  $\mathfrak{z}$  is furthermore regular and  $A$  is Gorenstein. If  $A$  has a  $\mathfrak{z}$ -rigid dualizing complex  $\mathbf{R}_{A/\mathfrak{z}}$  then*

$$(23) \quad \mathbf{R}_{A/\mathfrak{z}}^{-1} = \mathrm{RHom}_{A \otimes_{\mathfrak{z}} A^o}(A, A \otimes_{\mathfrak{z}} A)$$

where the action of  $A \otimes_{\mathfrak{z}} A^o$  is via the outer action on  $A \otimes_{\mathfrak{z}} A$ .

*Proof.* This is proved in [Ber, Prop. 8.4] when  $\mathfrak{z} = k$  but essentially the same argument works more generally. We reproduce it here for completeness. Using that  $\mathbf{R}_A$  and  $\mathbf{R}_{\mathfrak{z}}$  are invertible we find, using Lemma 3.6, that so is  $\mathbf{R}_{A/\mathfrak{z}}$ . Then we get

$$\begin{aligned} \mathrm{RHom}_{A \otimes_{\mathfrak{z}} A^o}(A, A \otimes_{\mathfrak{z}} A^o) &\cong \mathrm{RHom}_{A \otimes_{\mathfrak{z}} A^o}(A, \mathbf{R}_{A/\mathfrak{z}} \otimes_A \mathbf{R}_{A/\mathfrak{z}}^{-1} \otimes_{\mathfrak{z}} \mathbf{R}_{A/\mathfrak{z}}^{-1} \otimes_{A^o} \mathbf{R}_{A/\mathfrak{z}}) \\ &\cong \mathrm{RHom}_{A \otimes_{\mathfrak{z}} A^o}(A, (\mathbf{R}_{A/\mathfrak{z}} \otimes_{\mathfrak{z}} \mathbf{R}_{A/\mathfrak{z}}) \otimes_{A \otimes_{\mathfrak{z}} A^o} (\mathbf{R}_{A/\mathfrak{z}}^{-1} \otimes_{\mathfrak{z}} \mathbf{R}_{A/\mathfrak{z}}^{-1})) \\ &\cong \mathrm{RHom}_{A \otimes_{\mathfrak{z}} A^o}(A, \mathbf{R}_{A/\mathfrak{z}} \otimes_{\mathfrak{z}} \mathbf{R}_{A/\mathfrak{z}}) \otimes_{A \otimes_{\mathfrak{z}} A^o} (\mathbf{R}_{A/\mathfrak{z}}^{-1} \otimes_{\mathfrak{z}} \mathbf{R}_{A/\mathfrak{z}}^{-1}) \\ &\cong \mathbf{R}_{A/\mathfrak{z}} \otimes_{A \otimes_{\mathfrak{z}} A^o} (\mathbf{R}_{A/\mathfrak{z}}^{-1} \otimes_{\mathfrak{z}} \mathbf{R}_{A/\mathfrak{z}}^{-1}) \\ &\cong \mathbf{R}_{A/\mathfrak{z}}^{-1} \otimes_A \mathbf{R}_{A/\mathfrak{z}} \otimes_A \mathbf{R}_{A/\mathfrak{z}}^{-1} \cong \mathbf{R}_{A/\mathfrak{z}}^{-1}. \end{aligned}$$

$\square$

**3.4. Base change.** Let  $\mathfrak{z} \subset A$  be as in Definition 3.1 and  $\mathfrak{z}'$  a commutative, finitely generated  $k$ -algebra. Our running assumption in this section is that  $\mathfrak{z}, \mathfrak{z}'$  are regular. Consider the base change  $A' := A \otimes_{\mathfrak{z}} \mathfrak{z}'$  with respect some homomorphism  $\mathfrak{z} \rightarrow \mathfrak{z}'$ .

**Lemma 3.12.** *If  $\mathbf{R} \in D^b(A \otimes_{\mathfrak{z}} A^o)$  has finite injective dimension over  $A$  (resp.  $A^o$ ) then  $\mathrm{RHom}_{A \otimes_{\mathfrak{z}} A^o}(A' \otimes_{\mathfrak{z}'} A', \mathbf{R})$  has finite injective dimension over  $A'$  (resp.  $A'^o$ ).*

*Proof.* For any  $N' \in D^b(A')$  we have

$$\begin{aligned} \mathrm{RHom}_{A'}(N', \mathrm{RHom}_{A \otimes_{\mathfrak{z}} A^o}(A' \otimes_{\mathfrak{z}'} A', \mathbf{R})) &\cong \mathrm{RHom}_{A \otimes_{\mathfrak{z}} A^o}((A' \otimes_{\mathfrak{z}'} A') \otimes_{A'} N', \mathbf{R}) \\ &\cong \mathrm{RHom}_{A \otimes_{\mathfrak{z}} A^o}(A' \otimes_{\mathfrak{z}'} N', \mathbf{R}) \\ &\cong \mathrm{RHom}_{A \otimes_{\mathfrak{z}} A^o}(A \otimes_{\mathfrak{z}} N', \mathbf{R}) \\ &\cong \mathrm{RHom}_{A \otimes_{\mathfrak{z}} A^o}((A \otimes_{\mathfrak{z}} A^o) \otimes_A N', \mathbf{R}) \\ &\cong \mathrm{RHom}_A(N', \mathbf{R}) \end{aligned}$$

Thus, if  $\mathbf{R}$  has finite injective dimension over  $A$  then  $\mathrm{RHom}_{A \otimes_{\mathfrak{z}} A^o}(A' \otimes_{\mathfrak{z}'} A', \mathbf{R})$  has finite injective dimension over  $A'$ . The case of  $A^o$  and  $A'^o$  is similar.  $\square$

**Proposition 3.13.** *If  $\mathbf{R}$  is a dualizing complex of  $A$  then  $\mathbf{R} \otimes_{\mathfrak{z}} \mathfrak{z}'$  is a dualizing complex of  $A'$ .*

*Proof.* We need to check that  $\mathbf{R}' := \mathbf{R} \otimes_{\mathfrak{z}} \mathfrak{z}'$  satisfies the three conditions of Definition 3.1. Condition (2) is easy to see since  $\mathfrak{z}'$  has finite tor-dimension over  $\mathfrak{z}$  (since they are both regular rings). Condition (3) follows from the commutativity of the following rectangle

$$\begin{array}{ccc} A' & \xrightarrow{\sim} & A \otimes_{\mathfrak{z}} \mathfrak{z}' \\ \downarrow & & \downarrow \\ \mathrm{RHom}_{A'}(\mathbf{R}', \mathbf{R}') & \xrightarrow{\sim} \mathrm{RHom}_{A'}(\mathbf{R} \otimes_{\mathfrak{z}} \mathfrak{z}', \mathbf{R} \otimes_{\mathfrak{z}} \mathfrak{z}') \xrightarrow{\sim} \mathrm{RHom}_A(\mathbf{R}, \mathbf{R} \otimes_{\mathfrak{z}} \mathfrak{z}') \xrightarrow{\sim} & \mathrm{RHom}_A(\mathbf{R}, \mathbf{R}) \otimes_{\mathfrak{z}} \mathfrak{z}' \end{array}$$

It remains to prove condition (1), namely that  $\mathbf{R}'$  has finite injective dimension over  $A'$  and  $A'^o$ . We first reduce to the case  $\mathfrak{z}'$  is finite over  $\mathfrak{z}$ . To do this it suffices to check condition (1) when  $\mathfrak{z}' \cong \mathfrak{z}[x]$ . This follows since if  $\mathbf{R}$  has injective dimension  $e$  over  $A$  (resp.  $A^o$ ) then  $\mathbf{R} \otimes_{\mathfrak{z}} \mathfrak{z}[x]$  has injective dimension  $e + 1$  over  $A \otimes_{\mathfrak{z}} \mathfrak{z}[x]$  (resp.  $A^o \otimes_{\mathfrak{z}} \mathfrak{z}[x]$ ).

Thus we can assume  $\mathfrak{z}'$  is finite over  $\mathfrak{z}$ . In this case,

$$\begin{aligned} \mathrm{RHom}_{A \otimes_{\mathfrak{z}} A^o}(A' \otimes_{\mathfrak{z}'} A', \mathbf{R}) &\cong \mathrm{RHom}_{A \otimes_{\mathfrak{z}} A^o}(A \otimes_{\mathfrak{z}} A \otimes_{\mathfrak{z}} \mathfrak{z}', \mathbf{R}) \\ &\cong \mathrm{RHom}_{A \otimes_{\mathfrak{z}} A^o}(A \otimes_{\mathfrak{z}} A, \mathbf{R}) \otimes_{\mathfrak{z}} \mathrm{RHom}_{\mathfrak{z}}(\mathfrak{z}', \mathfrak{z}) \\ &\cong \mathbf{R} \otimes_{\mathfrak{z}} \mathrm{RHom}_{\mathfrak{z}}(\mathfrak{z}', \mathfrak{z}). \end{aligned}$$

By Lemma 3.12 it follows that  $\mathbf{R} \otimes_{\mathfrak{z}} \mathrm{RHom}_{\mathfrak{z}}(\mathfrak{z}', \mathfrak{z})$  has finite injective dimension over  $A'$  and  $A'^o$ . Since  $\mathfrak{z}'$  is finite over  $\mathfrak{z}$  with both regular rings it follows that  $\mathrm{RHom}_{\mathfrak{z}}(\mathfrak{z}', \mathfrak{z}) \cong \mathbf{R}_{\mathfrak{z}'/\mathfrak{z}}$  is invertible as a  $\mathfrak{z}'$ -module and thus  $\mathbf{R} \otimes_{\mathfrak{z}} \mathfrak{z}'$  also has finite injective dimension over  $A'$  and  $A'^o$ . This completes the proof.  $\square$

**Corollary 3.14.** *If  $\mathbf{R}_{A/\mathfrak{z}}$  is the  $\mathfrak{z}$ -rigid dualizing complex of  $A$  then  $\mathbf{R}_{A/\mathfrak{z}} \otimes_{\mathfrak{z}} \mathfrak{z}'$  is the  $\mathfrak{z}'$ -rigid dualizing complex of  $A'$ .*

*Proof.* By Proposition 3.13 we know  $\mathbf{R}_{A'/\mathfrak{z}'} := \mathbf{R}_{A/\mathfrak{z}} \otimes_{\mathfrak{z}} \mathfrak{z}'$  is a dualizing complex so it remains to show that it is  $\mathfrak{z}'$ -rigid. Note that

$$(24) \quad A \otimes_{A \otimes_{\mathfrak{z}} A} (A' \otimes_{\mathfrak{z}'} A') \cong A \otimes_{A \otimes_{\mathfrak{z}} A} ((A \otimes_{\mathfrak{z}} \mathfrak{z}') \otimes_{\mathfrak{z}'} (A \otimes_{\mathfrak{z}} \mathfrak{z}')) \cong A \otimes_{A \otimes_{\mathfrak{z}} A} (A \otimes_{\mathfrak{z}} A \otimes_{\mathfrak{z}} \mathfrak{z}') \cong A \otimes_{\mathfrak{z}} \mathfrak{z}' \cong A'.$$

Thus we get

$$\begin{aligned} \mathrm{RHom}_{A' \otimes_{\mathfrak{z}'} A'}(A', \mathbf{R}_{A'/\mathfrak{z}'} \otimes_{\mathfrak{z}'} \mathbf{R}_{A'/\mathfrak{z}'}) &\cong \mathrm{RHom}_{A' \otimes_{\mathfrak{z}'} A'}(A', (\mathbf{R}_{A/\mathfrak{z}} \otimes_{\mathfrak{z}} \mathfrak{z}') \otimes_{\mathfrak{z}'} (\mathbf{R}_{A/\mathfrak{z}} \otimes_{\mathfrak{z}} \mathfrak{z}')) \\ &\cong \mathrm{RHom}_{A' \otimes_{\mathfrak{z}'} A'}(A \otimes_{A \otimes_{\mathfrak{z}} A} (A' \otimes_{\mathfrak{z}'} A'), \mathbf{R}_{A/\mathfrak{z}} \otimes_{\mathfrak{z}} \mathbf{R}_{A/\mathfrak{z}} \otimes_{\mathfrak{z}} \mathfrak{z}') \\ &\cong \mathrm{RHom}_{A \otimes_{\mathfrak{z}} A}(A, \mathbf{R}_{A/\mathfrak{z}} \otimes_{\mathfrak{z}} \mathbf{R}_{A/\mathfrak{z}} \otimes_{\mathfrak{z}} \mathfrak{z}') \\ &\cong \mathrm{RHom}_{A \otimes_{\mathfrak{z}} A}(A, \mathbf{R}_{A/\mathfrak{z}} \otimes_{\mathfrak{z}} \mathbf{R}_{A/\mathfrak{z}}) \otimes_{\mathfrak{z}} \mathfrak{z}' \\ &\cong \mathbf{R}_{A/\mathfrak{z}} \otimes_{\mathfrak{z}} \mathfrak{z}' \cong \mathbf{R}_{A'/\mathfrak{z}'} \end{aligned}$$

where the second isomorphism is by rearranging and using (24), the third is by adjunction between induction and restriction, the fourth uses that  $\mathfrak{z}$  and  $\mathfrak{z}'$  are regular, the fifth is because  $\mathbf{R}_{A/\mathfrak{z}}$  is  $\mathfrak{z}$ -rigid and the last is by definition. This proves that  $\mathbf{R}_{A'/\mathfrak{z}'}$  is  $\mathfrak{z}'$ -rigid.  $\square$

### 3.5. Further consequences.

**Proposition 3.15.** *Suppose  $A$  is finite over a connected, regular, central subalgebra  $Z \subset A$  which is finitely generated as a  $k$ -algebra. Then  $\mathbf{R}_A$  is supported in one degree (i.e.  $A$  is Cohen-Macaulay) if and only if  $A$  is projective over  $Z$ . In this case  $\mathbf{R}_A$  is supported in degree  $-d$  where  $d$  is the Krull dimension of  $Z$ .*

*Proof.* Note that by Proposition 3.10 we know  $A$  has a rigid dualizing complex  $\mathbf{R}_A$ . Since  $Z$  is regular we have

$$(25) \quad \mathrm{RHom}_Z(A, M) \cong \mathrm{RHom}_Z(A, Z \otimes_Z M) \cong \mathrm{RHom}_Z(A, Z) \otimes_Z M$$

for any  $M \in D^b(Z)$ . In particular, taking  $M = \mathbf{R}_Z$ , this gives

$$\mathrm{RHom}_Z(A, Z) \cong \mathrm{RHom}_Z(A, \mathbf{R}_Z) \otimes_Z \mathbf{R}_Z^{-1} \cong \mathbf{R}_A \otimes_Z \mathbf{R}_Z^{-1}.$$

Thus, if  $\mathbf{R}_A$  is Cohen-Macaulay, then  $\mathrm{RHom}_Z(A, Z)$  is supported in one degree. But  $Z \hookrightarrow A$  and after localizing this map splits. It follows that  $\mathrm{RHom}_Z(A, Z)$  must be supported in degree zero.

On the other hand,  $\mathrm{Hom}_Z(A, -)$  is left exact and tensoring is right exact so (25) must be supported in degree zero for any module  $M$ . In particular, this means  $\mathrm{Hom}_Z(A, -)$  is exact and thus  $A$  is projective over  $Z$ .

Conversely, if  $A$  is projective over  $Z$  then  $\mathrm{RHom}_Z(A, \mathbf{R}_Z) \cong \mathbf{R}_A$  is supported in the same degree as  $\mathbf{R}_Z$ . Since  $\mathbf{R}_Z$  is supported in degree  $-d$  the result follows.  $\square$

*Remark 3.16.* The result of Corollary 3.15 when  $A$  is commutative is called Hironaka's criterion (or miracle flatness).

**Proposition 3.17.** *Suppose  $A$  is a differential  $k$ -algebra of finite type and  $M$  a finite dimensional  $A$ -module. Then*

$$(26) \quad \mathrm{RHom}_A(M, \mathbf{R}_A) \cong M^\vee \in D(A^o)$$

where  $\mathbf{R}_A$  is the rigid dualizing complex of  $A$ .

*Proof.* The following argument follows the one from [Ye3, Cor. 2.2] (we thank Amnon Yekutieli for pointing out his result). Let  $B := A/I$  where  $I$  is the kernel of the canonical map  $A \rightarrow \text{End}_k(M)$ . Note that  $A \rightarrow B$  is surjective and hence finite centralizing. It follows by [YZ1, Thm. 6.17] that there exists a trace map  $\text{tr}_{B/A}$ .

By construction we have a canonical  $M' \in D(B)$  with  $M = \text{Res}_A^B(M')$ . Thus, using (22), we get that

$$(27) \quad \text{Res}_{A^\circ}^{B^\circ} \text{RHom}_B(M', \mathbf{R}_B) \cong \text{RHom}_A(M, \mathbf{R}_A).$$

On the other hand, since  $B$  is finite dimensional over  $k$ ,  $\mathbf{R}_B \cong B^\vee = \text{Hom}_k(B, k)$ . It is standard to check that  $\text{Hom}_B(M', \text{Hom}_k(B, k)) \cong \text{Hom}_k(M', k)$ . Since  $\text{Res}_{A^\circ}^{B^\circ}(\text{Hom}_k(M', k)) \cong M^\vee$  the required isomorphism (26) follows from (27).  $\square$

#### 4. RIGID DUALIZING COMPLEXES OF AFFINE HECKE ALGEBRAS

In this section we assume  $R$  is a regular, finitely generated  $k$ -algebra. This condition on  $R$  is only used to ensure that  $\mathfrak{z} = R[\mathbf{a}, \mathbf{b}][Q^\perp] \subset H_{\mathbf{a}, \mathbf{b}}$  is also regular.

**4.1. The rigid dualizing complex of  $H_F$ .** Let  $F$  be a facet in  $\overline{C}$  and  $H_F$  the associated Hecke algebra as in §2.4. Let  $w_0$  be the longest element of the finite Weyl group  $W_F^0$  and define the  $R$ -linear involution

$$i_F : H_F \longrightarrow H_F, \quad T_w \longmapsto T_{w_0 w w_0^{-1}}.$$

As usual  $(i_F)H_F$  is the  $H_F$ -bimodule  $H_F$  with the left action twisted by  $i_F$ .

**Lemma 4.1.** (1) *The map  $i_F$  is an algebra automorphism which acts trivially on  $\mathfrak{z}$ .*

(2) *There exists an isomorphism of  $H_F \otimes_{\mathfrak{z}} H_F^\circ$ -modules*

$$(28) \quad (i_F)H_F \cong \text{RHom}_{\mathfrak{z}}(H_F, \mathfrak{z})$$

*Proof.* From Proposition 2.12 and its proof,  $H_F$  is free over  $\mathfrak{z}$  with basis  $\{T_w\}_{w \in [W_F/Q^\perp]} = \{T_{w^{-1}w_0}\}_{w \in [W_F/Q^\perp]}$ . Consider, as in [OS1, Prop. 5.4-iii], the  $\mathfrak{z}$ -linear map

$$\theta : H_F \longrightarrow \mathfrak{z}, \quad \sum_{w \in W_F^+} a_w T_w \longmapsto \sum_{\xi \in Q^\perp} a_{\xi w_0} \xi.$$

The same arguments as in *loc. cit.* ensure that the matrix  $[\theta(T_w T_{v^{-1}w_0})]_{v, w \in [W_F/Q^\perp]}$  with coefficients in  $\mathfrak{z}$  is invertible. This means that the homomorphism of right  $H_F$ -modules

$$(29) \quad H_F \longrightarrow \text{Hom}_{\mathfrak{z}}(H_F, \mathfrak{z}), \quad 1 \longmapsto \theta$$

is an isomorphism. We are going to check that  $\theta(i_F(x)_-) = \theta(-x)$  for any  $x \in H_F$ . Given that (29) is bijective, this identity will imply that  $i_F$  is an algebra automorphism while also proving (28). To prove the identity, we show for  $w \in W_F$  that

$$(30) \quad \theta(i_F(T_w)T_v) = \theta(T_v T_w) \text{ for any } v \in W_F.$$

By induction it is enough to verify this for  $\ell(w) \leq 1$ .

- An element  $\omega \in \Omega_F$  normalizes  $W_F^0$  and since the longest element of  $W_F^0$  is unique, we have  $\omega w_0 \omega^{-1} = w_0$ . Furthermore, since  $w_0 \Phi_F^+ = \Phi_F^-$ , we have  $w_0 \omega w_0^{-1} \in \Omega_F$ . This allows to check (30) when  $w = \omega$ .

- Now assume  $w$  has length 1. Write  $w = s\omega$  for  $s \in S_F$  and  $\omega \in \Omega_F$ . Since  $w_0 \Pi_F = -\Pi_F$  there is  $s' \in S_F$  and  $\omega' \in \Omega_F$  such that  $w' := w_0 w w_0^{-1}$  can be written as  $\omega' s'$ . Let  $v \in W_F$ . Note that  $vw \in Q^\perp w_0$  if and only if  $w'v \in Q^\perp w_0$  in which case they are equal.

If  $vw \in Q^\perp w_0$  and  $w'v \in Q^\perp w_0$  then  $\ell(vw) = \ell(v) + 1$ ,  $\ell(w'v) = \ell(v) + 1$ . So  $\theta(T_v T_w) = \theta(T_v T_w) = \theta(T_{w'v}) = \theta(T_{w'} T_v)$ . Otherwise  $vw \notin Q^\perp w_0$  and  $w'v \notin Q^\perp w_0$ .

- If  $\ell(vw) = \ell(v) + 1$  and  $\ell(w'v) = \ell(v) + 1$  then  $\theta(T_v T_w) = \theta(T_{w'} T_v) = 0$ .
- If  $\ell(vw) = \ell(v) + 1$  and  $\ell(w'v) = \ell(v) - 1$ , we still have  $\theta(T_v T_w) = 0$  and the quadratic relations implies that  $T_{w'} T_v$  is a linear combination of  $T_{w'v}$  and  $T_{\omega'v}$ . None of  $w'v$  and  $\omega'v$  lie in  $Q^\perp w_0$ . So again  $\theta(T_{w'} T_v) = 0$ .
- If  $\ell(vw) = \ell(v) - 1$  and  $\ell(w'v) = \ell(v) + 1$  then  $\theta(T_{w'} T_v) = 0$ , as above we find  $\theta(T_v T_s) = \theta(T_{s'} T_v) = 0$ .
- Suppose that  $\ell(vw) = \ell(v) - 1$  and  $\ell(w'v) = \ell(v) - 1$ . If  $vw \in Q^\perp w_0$  then  $\omega'v = v\omega$  and (by (6)) we have:  $\theta(T_v T_w) = \theta((\mathbf{a} + \mathbf{b})T_{v\omega}) = (\mathbf{a} + \mathbf{b})\theta(T_{\omega'v}) = \theta(T_{w'} T_v)$ . If  $vw \notin Q^\perp w_0$ , then  $\omega'v \notin Q^\perp w_0$  and again,  $\theta(T_v T_w) = \theta(T_{w'} T_v) = 0$ .

$\square$

Recall from Proposition 2.11 that  $H_F$  is finitely generated over  $\mathfrak{z}$  which is a finitely generated  $k$ -algebra. Hence  $H_F$  has a rigid dualizing complex.

**Corollary 4.2.** *The  $\mathfrak{z}$ -rigid dualizing complex  $\mathbf{R}_{H_F/\mathfrak{z}}$  of  $H_F$  is isomorphic to  $(i_F)H_F$ . In particular,*

$$\mathbf{R}_{H_F} \cong \mathbf{R}_{\mathfrak{z}} \otimes_{\mathfrak{z}} (i_F)H_F$$

and  $H_F$  is Gorenstein with the same self-injective dimension as  $\mathfrak{z}$ .

*Proof.* Recall that  $\mathfrak{z}$  is regular. By Proposition 3.10 and Lemma 4.1 it follows that  $\mathbf{R}_{H_F/z} \cong (i_F)H_F$ . Lemma 3.6 then implies that  $\mathbf{R}_{H_F} \cong \mathbf{R}_{\mathfrak{z}} \otimes_{\mathfrak{z}} (i_F)H_F$ .

By Proposition 3.10 and Corollary 3.8 the injective dimension of  $\mathbf{R}_{H_F}$  (and hence of  $H_F$ ) is at most the injective dimension of  $\mathbf{R}_{\mathfrak{z}}$  (which is the same as the self-injective dimension of  $\mathfrak{z}$ ). But since  $H_F$  is free over  $\mathfrak{z}$  (by Proposition 2.12) this inequality must be an equality. Thus  $H_F$  is Gorenstein with the same self-injective dimension as  $\mathfrak{z}$ .  $\square$

#### 4.2. The rigid dualizing complex of $H_{\mathbf{a},\mathbf{b}}$ .

**Proposition 4.3.** *The algebra  $H_{\mathbf{a},\mathbf{b}}$  is a differential  $k$ -algebra of finite type.*

*Proof.* One can filter  $H_{\mathbf{a},\mathbf{b}}$  by length so that  $R[\mathbf{a},\mathbf{b}]$  lies in the smallest filtered piece. Then the associated graded algebra is isomorphic to  $H_{0,0} \otimes_R R[\mathbf{a},\mathbf{b}]$ . By Proposition 2.4 this is finite over its center which is a finitely generated  $k$ -algebra.  $\square$

As a consequence of Proposition 4.3 and the discussion in Section 3.3 it follows that  $H_{\mathbf{a},\mathbf{b}}$  has a rigid dualizing complex. In this section we will identify this complex explicitly.

**Theorem 4.4.** *We have  $\mathbf{R}_{H_{\mathbf{a},\mathbf{b}}/\mathfrak{z}} \cong (\iota)H_{\mathbf{a},\mathbf{b}}[d] \in D^b(H_{\mathbf{a},\mathbf{b}} \otimes_{\mathfrak{z}} H_{\mathbf{a},\mathbf{b}}^o)$  where  $d = \text{rk}(Q)$  and  $\iota$  is defined in (11).*

Since  $\mathfrak{z}$  is regular, this has the following consequence by combining with Lemma 3.6.

**Corollary 4.5.** *We have  $\mathbf{R}_{H_{\mathbf{a},\mathbf{b}}} \cong (\iota)H_{\mathbf{a},\mathbf{b}}[d] \otimes_{\mathfrak{z}} \mathbf{R}_{\mathfrak{z}}$ .*

The rest of this section is devoted to proving Theorem 4.4. To simplify notation we will write  $H$  instead of  $H_{\mathbf{a},\mathbf{b}}$ . Recall the resolution

$$(31) \quad H_d \rightarrow \cdots \rightarrow H_0 \rightarrow H$$

of  $H \otimes_{\mathfrak{z}} H^o$ -modules from (17) where  $H_i := \bigoplus_{F \in \mathcal{F}_i} H(j_F) \otimes_{H_F} H$ . We will check below that:

- (1)  $H$  is Gorenstein (Lemma 4.6),
- (2) each  $\text{RHom}_{H \otimes_{\mathfrak{z}} H^o}(H_i, H \otimes_{\mathfrak{z}} H)$  is supported in cohomological degree zero (Lemma 4.8),
- (3) the cokernel of the induced map

$$\text{RHom}_{H \otimes_{\mathfrak{z}} H^o}(H_{d-1}, H \otimes_{\mathfrak{z}} H) \rightarrow \text{RHom}_{H \otimes_{\mathfrak{z}} H^o}(H_d, H \otimes_{\mathfrak{z}} H)$$

is isomorphic to  $(\iota)H$  as an  $H$ -bimodule (Lemma 4.9).

By (1) and Lemma 3.6, [Ye1, Thm. 3.9] we know that the  $\mathfrak{z}$ -rigid dualizing complex  $\mathbf{R}_{H/\mathfrak{z}}$  is supported in one cohomological degree. By Proposition 3.11 we also know that  $\mathbf{R}_{H/\mathfrak{z}}^{-1} \cong \text{RHom}_{H \otimes_{\mathfrak{z}} H^o}(H, H \otimes_{\mathfrak{z}} H)$ . Finally, from (2) and (3) we conclude that this is isomorphic to  $(\iota)H[-d]$ , from which Theorem 4.4 follows.

**Lemma 4.6.** *The algebra  $H$  is Gorenstein with self-injective dimension  $\text{rk}(X)$ .*

*Proof.* Tensoring (31) with an  $H$ -module  $M$ , we get a length  $d$  resolution of  $M$  by  $H$ -modules of the form  $H(j_F) \otimes_{H_F} M$ . On the other hand, by adjunction we have

$$\text{RHom}_H(H(j_F) \otimes_{H_F} M, H) \cong \text{RHom}_{H_F}(M|_{H_F}, H|_{H_F}).$$

Since  $H$  is a free  $H_F$ -module  $H|_{H_F}$  and  $H_F$  have the same (finite) injective dimension. It follows that  $H$  has finite self-injective dimension. Lastly,  $H$  is Noetherian by Corollary 2.5.

The argument above actually implies that the self-injective dimension of  $H$  is bounded above by  $d + r$  where  $d = \text{rk}(Q)$  and  $r$  is the self-injective dimension of  $\mathfrak{z}$ . Given Corollary 4.5, an application of Proposition 3.17 implies this bound is sharp, namely  $H$  has injective dimension  $d + r = \text{rk}(X)$ .  $\square$

*Remark 4.7.* Compare Lemma 4.6 with [OS1, Theorems 0.1, 0.2-ii].

**Lemma 4.8.** *The object  $\text{RHom}_{H \otimes_{\mathfrak{z}} H^o}(H_i, H \otimes_{\mathfrak{z}} H)$  is supported in cohomological degree zero.*

*Proof.* For  $F \in \mathcal{F}_i$  we want to show that

$$\mathrm{RHom}_{H \otimes_3 H^o}(H(j_F) \otimes_{H_F} H, H \otimes_3 H)$$

is supported in degree zero. First note that, by base change

$$H(j_F) \otimes_{H_F} H \cong (H(j_F) \otimes_3 H) \otimes_{H_F \otimes_3 H_F} H_F \cong (H \otimes_3 H) \otimes_{H_F \otimes_3 H_F} (j_F)H_F$$

where, for the second isomorphism, we use the fact that  $j_F$  is an involution. Thus we get

$$\begin{aligned} \mathrm{RHom}_{H \otimes_3 H^o}(H(j_F) \otimes_{H_F} H, H \otimes_3 H) &\cong \mathrm{RHom}_{H \otimes_3 H^o}((H \otimes_3 H^o) \otimes_{H_F \otimes_3 H_F^o} (j_F)H_F, H \otimes_3 H) \\ &\cong \mathrm{RHom}_{H_F \otimes_3 H_F^o}((j_F)H_F, H \otimes_3 H) \end{aligned}$$

where the second isomorphism is by adjunction. Since  $H$  is a free  $H_F$ -module (Proposition 2.11), it follows that  $H \otimes_3 H$  is a free  $H_F \otimes_3 H_F^o$ -module. Thus, since  $(j_F)H_F$  is a finitely presented  $H_F \otimes_3 H_F^o$ -module, it suffices to show that  $\mathrm{RHom}_{H_F \otimes_3 H_F^o}((j_F)H_F, H_F \otimes_3 H_F)$  (or equivalently  $\mathrm{RHom}_{H_F \otimes_3 H_F^o}(H_F, H_F \otimes_3 H_F)$ ) is supported in degree zero. Since  $H_F$  is Gorenstein (Corollary 4.2) and  $\mathfrak{z}$  regular, it follows from Proposition 3.11 that  $\mathrm{RHom}_{H_F \otimes_3 H_F^o}(H_F, H_F \otimes_3 H_F)$  is isomorphic to  $\mathbf{R}_{H_F/\mathfrak{z}}^{-1} \cong (i_F^{-1})H_F$  which is clearly supported in degree zero.  $\square$

Next we apply  $\mathrm{RHom}_{H \otimes_3 H^o}(-, H \otimes_3 H)$  to (31) and study the cohomology on the far right.

**Lemma 4.9.** *The cokernel of*

$$\mathrm{RHom}_{H \otimes_3 H^o}(H_{d-1}, H \otimes_3 H) \rightarrow \mathrm{RHom}_{H \otimes_3 H^o}(H_d, H \otimes_3 H)$$

*is isomorphic to  $(\mathfrak{v})H$  as an  $H \otimes_3 H^o$ -module.*

*Proof.* By Lemma 4.8 we need to identify the cokernel of the map

$$(32) \quad \partial^* : \mathrm{Hom}_{H \otimes_3 H^o}\left(\bigoplus_{F \in \mathcal{F}_{d-1}} H(j_F) \otimes_{H_F} H, H \otimes_3 H\right) \rightarrow \mathrm{Hom}_{H \otimes_3 H^o}(H(j_C) \otimes_{H_C} H, H \otimes_3 H)$$

induced by the following map from (18)

$$H(j_C) \otimes_{H_C} H \ni 1 \otimes 1 \mapsto \sum_{F \in \mathcal{F}_{d-1}} \sum_{\omega \in \Omega/\Omega_F} j_C(T_\omega) \otimes T_{\omega^{-1}} \in \bigoplus_{F \in \mathcal{F}_{d-1}} H(j_F) \otimes_{H_F} H.$$

The modules  $H \otimes_3 H$  inside the Homs above carry two actions of  $H \otimes_3 H^o$  that we will need to keep track of. For  $x \otimes y \in H \otimes_3 H$  we have:

- the *outer* action  $(T \otimes S) * (x \otimes y) := Tx \otimes yS$  (where  $T \otimes S \in H \otimes_3 H^o$ ),
- the *inner* action  $(x \otimes y) \star (T \otimes S) := xS \otimes Ty$  (where  $T \otimes S \in H^o \otimes_3 H$ ).

Note that, to simplify notation, we write the inner action as a right action of  $H^o \otimes_3 H$ . Since the inner and outer actions commute the spaces  $\mathrm{RHom}_{H \otimes_3 H^o}(H_i, H \otimes_3 H)$  are  $H \otimes_3 H^o$ -modules via the inner action.

For a facet  $F$  contained in  $\overline{C}$ , we denote by  $\mathfrak{M}_F$  the subspace

$$\mathfrak{M}_F = \{X \in H \otimes_3 H, (j_F(T_w) \otimes 1 - 1 \otimes T_w) * X = 0 \ \forall w \in W_F\}.$$

It is a right submodule of  $H \otimes_3 H$  over  $H^o \otimes_3 H$  (for the  $\star$  action). Using base change as in the proof of Lemma 4.8, the left hand space in (32) identifies with

$$\bigoplus_{F \in \mathcal{F}_{d-1}} \mathrm{Hom}_{H_F \otimes_3 H_F^o}((j_F)H_F, H \otimes_3 H) \cong \bigoplus_{F \in \mathcal{F}_{d-1}} \mathfrak{M}_F$$

and the right hand side with

$$\mathrm{Hom}_{H_C \otimes_3 H_C^o}((j_C)H_C, H \otimes_3 H) \cong \mathfrak{M}_C.$$

Hence we are studying the cokernel of the map

$$(33) \quad \begin{aligned} \partial^* : \bigoplus_{F \in \mathcal{F}_{d-1}} \mathfrak{M}_F &\longrightarrow \mathfrak{M}_C \\ X \in \mathfrak{M}_F &\longmapsto \sum_{\omega \in \Omega/\Omega_F} j_C(T_\omega) \otimes T_{\omega^{-1}} * X. \end{aligned}$$

It is an homomorphism of right  $H^o \otimes_3 H$ -modules. For  $F$  a facet contained in  $\overline{C}$ , we define

$$\theta_F := \sum_{\omega \in \Omega_F/Q^\perp} j_F(T_\omega) \otimes T_{\omega^{-1}} = \sum_{\omega \in \Omega_F/Q^\perp} \epsilon_F(\omega) T_\omega \otimes T_{\omega^{-1}} \in H \otimes_3 H$$

which we will also see as an element in  $H \otimes_3 H^o$  or  $H^o \otimes_3 H$ .



**Fact 4.10.** *We have  $\mathfrak{M}_C = \theta_C * H \otimes_3 H$ . It coincides with the right  $H^o \otimes_3 H$ -module generated by  $\theta_C$ .*

*Proof of fact 4.10.* Since  $H$  is a free  $R[\Omega]$ -module on the left and on the right, the left  $H_C \otimes_3 H_C^o$ -module  $H \otimes_3 H$  (for the  $*$  action) is a direct sum of copies of  $H_C \otimes_3 H_C$ . It is therefore enough to check the equality

$$(34) \quad \{X \in H_C \otimes_3 H_C, (j_C(T_\omega) \otimes 1 - 1 \otimes T_\omega) * X = 0 \ \forall \omega \in \Omega\} = \theta_C * (H_C \otimes_3 H_C) .$$

For the indirect inclusion, it is easy to check that the product  $(j_C(T_\omega) \otimes 1 - 1 \otimes T_\omega)\theta_C$  in  $H_C \otimes_3 H_C^o$  is zero for  $\omega \in \Omega$ . For the direct inclusion, we fix a system of representatives  $U$  of  $\Omega/Q^\perp$  containing  $1 \in \Omega$  and we consider the basis  $\{T_u \otimes T_v\}_{u \in U, v \in \Omega}$  of  $H_C \otimes_3 H_C$ . Consider a generic element

$$(35) \quad X = \sum_{u \in U, v \in \Omega} \lambda_{u,v} T_u \otimes T_v \in H_C \otimes_3 H_C .$$

Assume it lies in the left hand space of (34). Let  $\omega \in U$  and  $v \in \Omega$ . Considering the component in  $T_1 \otimes T_v$  of  $(j_C(T_{\omega^{-1}}) \otimes 1 - 1 \otimes T_{\omega^{-1}}) * X$  we see that  $\epsilon_C(\omega^{-1})\lambda_{\omega,v} = \lambda_{1,\omega v}$  and therefore

$$X = \sum_{u \in U, v \in \Omega} \epsilon_C(u)\lambda_{1,uv} T_u \otimes T_v = \sum_{u \in U, v \in \Omega} \epsilon_C(u)\lambda_{1,v} T_u \otimes T_{vu^{-1}} = \theta_C * \sum_{v \in \Omega} \lambda_{1,v} T_1 \otimes T_v .$$

□

For  $F$  a facet of codimension 1 of  $C$ , we denote by  $s_F \in S_{\text{aff}}$  the reflexion defining the wall containing  $F$ .

**Fact 4.11.** *For  $F$  a facet of codimension 1 of  $C$ , we have  $\mathfrak{M}_F = \theta_F(T_{s_F} \otimes 1 - 1 \otimes \iota(T_{s_F})) * H \otimes_3 H$  which coincides with the right  $H^o \otimes_3 H$ -module generated by  $\theta_F * (T_{s_F} \otimes 1 - 1 \otimes \iota(T_{s_F})) = (T_{s_F} \otimes 1 - 1 \otimes \iota(T_{s_F})) * \theta_F$ .*

*Proof of fact 4.11.* Recall that conjugation by an element in  $\Omega_F$  leaves  $s_F$  invariant. Therefore  $H_F$  is a commutative algebra and  $\theta_F$  and  $(T_{s_F} \otimes 1 - 1 \otimes \iota(T_{s_F}))$  commute in  $H_F \otimes_3 H_F^o$ . Using Proposition 2.11, the left  $H_F \otimes_3 H_F^o$ -module  $H \otimes_3 H$  is a direct sum of copies of  $H_F \otimes_3 H_F$ . Therefore, it is enough to show that

$$\{X \in H_F \otimes_3 H_F, (j_F(T_w) \otimes 1 - 1 \otimes T_w) * X = 0 \ \forall w \in W_F^\dagger\} = (T_{s_F} \otimes 1 - 1 \otimes \iota(T_{s_F}))\theta_F * (H_F \otimes_3 H_F) .$$

For the indirect inclusion, first recall that  $\iota(T_{s_F}) = \iota(T_{s_F}) = \alpha - T_{s_F}$  and  $T_{s_F}\iota(T_{s_F}) = -\beta$  where momentarily we set  $\alpha := \mathbf{a} + \mathbf{b}$  and  $\beta := -\mathbf{a}\mathbf{b}$ . It is enough to verify, in  $H_F \otimes_3 H_F^o$ :

$$\begin{aligned} (j_F(T_{s_F}) \otimes 1 - 1 \otimes T_{s_F})(T_{s_F} \otimes 1 - 1 \otimes \iota(T_{s_F})) &= (T_{s_F} \otimes 1 - 1 \otimes T_{s_F})(T_{s_F} \otimes 1 - 1 \otimes \iota(T_{s_F})) \\ &= (\alpha T_{s_F} + \beta) \otimes 1 - T_{s_F} \otimes \iota(T_{s_F}) - T_{s_F} \otimes T_{s_F} - \beta \otimes 1 \\ &= \alpha T_{s_F} \otimes 1 - T_{s_F} \otimes (\alpha - T_{s_F}) - T_{s_F} \otimes T_{s_F} = 0 \end{aligned}$$

and the identity  $(j_F(T_\omega) \otimes 1 - 1 \otimes T_\omega)\theta_F = 0$  for  $\omega \in \Omega_F$ .

For the direct inclusion, notice that the (commutative) algebra  $H_F \otimes_3 H_F^o$  is a tensor product of  $H_F \otimes_R H_F$  by  $R[\Omega_F] \otimes_3 R[\Omega_F]$ . Therefore, it is enough to show that

1) an element in  $H_F \otimes_R H_F$  which is annihilated by  $(j_F(T_{s_F}) \otimes 1 - 1 \otimes T_{s_F}) *$  lies in  $(T_{s_F} \otimes 1 - 1 \otimes \iota(T_{s_F})) * H_F \otimes_R H_F$ ,  
2) an element in  $R[\Omega_F] \otimes_3 R[\Omega_F]$  which is annihilated by  $(j_F(T_\omega) \otimes 1 - 1 \otimes T_\omega) *$  for all  $\omega \in \Omega$  lies in  $\theta_F * (R[\Omega_F] \otimes_3 R[\Omega_F])$ .  
For 2) we proceed just like in the proof of Fact 4.10. For 1), we consider a generic element

$$X = \lambda_{1,1} T_1 \otimes T_1 + \lambda_{1,s} T_1 \otimes T_{s_F} + \lambda_{s,1} T_{s_F} \otimes T_1 + \lambda_{s,s} T_{s_F} \otimes T_{s_F} \in H_F \otimes_R H_F .$$

Assuming that  $X$  is annihilated by  $(j_F(T_{s_F}) \otimes 1 - 1 \otimes T_{s_F})$ , we obtain by direct calculation that

$$X = (T_{s_F} \otimes 1 - 1 \otimes \iota(T_{s_F})) * (\lambda_{s,s} T_1 \otimes T_{s_F} + \lambda_{1,s} T_1 \otimes T_1) .$$

□

**Fact 4.12.** *The image of the map  $\partial^*$  as in (33) is the right  $H^o \otimes_3 H$ -module generated by all  $\theta_C * (1 \otimes h - \iota(h) \otimes 1)$  for  $h \in H$ .*

*Proof of Fact 4.12.* For the direct inclusion, let  $F \in \mathcal{F}_{d-1}$ . By Fact 4.11, the right  $H^o \otimes_3 H$ -module  $\mathfrak{M}_F$  is generated by  $\theta_F * (1 \otimes T_{s_F} - \iota(T_{s_F}) \otimes 1)$  whose image by  $\partial^*$  is  $\theta_C * (1 \otimes T_{s_F} - \iota(T_{s_F}) \otimes 1)$ . We use here the fact that  $\epsilon_C|_{\Omega_F} = \epsilon_F$  because the choice of an orientation on  $C$  determines an orientation on its codimension 1 facets.

For the indirect inclusion, we show, for  $w \in W$ , that  $\theta_C * (1 \otimes T_w - \iota(T_w) \otimes 1)$  lies in the image of  $\partial^*$ . We proceed by induction on  $\ell(w)$ .

1) If  $\ell(w) = 0$  namely  $w \in \Omega$ , then  $\iota(T_w) = \epsilon_C(w)T_w$  and  $\theta_C * (1 \otimes T_w - \iota(T_w) \otimes 1) = 0$ .

2) If  $\ell(w) = 1$ , we first consider the case  $w = s_F$  for  $F$  a facet in  $\mathcal{F}_{d-1}$ . Then  $\theta_F * (1 \otimes T_{s_F} - \iota(T_{s_F}) \otimes 1)$  lies in  $\mathfrak{M}_F$  and according to (33) its image by  $\partial^*$  is  $\theta_C * (1 \otimes T_{s_F} - \iota(T_{s_F}) \otimes 1)$ . For  $s \in S_{\text{aff}}$ , there is  $\omega \in \Omega$  and  $F \in \mathcal{F}_{d-1}$  such that  $s = \omega s_F \omega^{-1}$ . Then using the identity  $\theta_C(T_\omega \otimes T_{\omega^{-1}}) = \epsilon_C(\omega)\theta_C$ , we verify  $\theta_C * (1 \otimes T_s - \iota(T_s) \otimes 1) = \epsilon_C(\omega)\theta_C * (1 \otimes T_{s_F} - \iota(T_{s_F}) \otimes 1) \star T_\omega \otimes T_{\omega^{-1}} \in \text{im}(\partial^*)$ . Lastly, write an arbitrary element of length 1 as  $\omega s$  for  $s \in S_{\text{aff}}$  and  $\omega \in \Omega$ . We have  $\theta_C * (1 \otimes T_{\omega s} - \iota(T_{\omega s}) \otimes 1) = \theta_C * (1 \otimes T_s - \iota(T_s) \otimes 1) \star T_\omega \otimes 1 \in \text{im}(\partial^*)$  (using  $(j_C(T_\omega) \otimes 1 - 1 \otimes T_\omega)\theta_C = 0$ ).

3) Now let  $w$  of length  $\geq 1$  and  $s \in S_{\text{aff}}$  such that  $\ell(sw) = \ell(w) + 1$ . We have

$$\theta_C * (1 \otimes T_s T_w - \iota(T_s T_w) \otimes 1) = \theta_C * (1 \otimes T_w - \iota(T_w) \otimes 1) \star T_s \otimes 1 + \theta_C * (1 \otimes T_s - \iota(T_s) \otimes 1) \star 1 \otimes \iota(T_w)$$

which lies in  $\text{im}(\partial^*)$  by induction.  $\square$

The  $H \otimes_3 H^o$ -module  $(\iota)H$  is equivalently a right  $H^o \otimes_3 H$ -module with action  $(h, T \otimes S) \mapsto \iota(T)hS$ . The surjective map

$$(36) \quad \begin{aligned} \mu : H \otimes_3 H &\longrightarrow (\iota)H \\ x \otimes y &\longmapsto \iota(y)x \end{aligned}$$

is then equivariant for the  $H^o \otimes_3 H$ -action on the right.

**Fact 4.13.** *The map  $\mu$  factors through the kernel of the (left) action of  $\theta_C *$ .*

*Proof.* In a first step we let  $X = \sum_{u \in U, v \in \Omega} \lambda_{u,v} T_u \otimes T_v$  be a generic element in  $H_C \otimes_3 H_C$  as in (35), where  $U$  is a chosen set of representatives of  $\Omega/Q^\perp$  containing 1. Write  $\theta_C = \sum_{\omega \in U} \epsilon_C(\omega) T_{\omega^{-1}} \otimes T_\omega$ . Given  $v \in \Omega$ , the coefficient of the component in  $T_1 \otimes T_v$  of  $\theta_C * X$  is  $\sum_{\omega \in U} \lambda_{\omega, v\omega^{-1}} \epsilon_C(\omega)$  while  $\mu(X) = \sum_{v \in \Omega} \epsilon_C(v) T_v \sum_{\omega \in U} \lambda_{\omega, v\omega^{-1}} \epsilon_C(\omega)$ . So  $\theta_C * X = 0$  implies  $\mu(X) = 0$ .

Now notice that  $H \otimes_3 H$  is a free left  $H_C \otimes_3 H_C$ -module (for the  $*$  action) with basis  $T_x \otimes T_y$ ,  $x, y \in W_{\text{aff}}$ . An element  $X \in H \otimes_3 H$  may therefore be written as  $X = \sum_{x,y \in W_{\text{aff}}} X_{x,y} * T_x \otimes T_y = \sum_{x,y \in W_{\text{aff}}} X_{x,y} \star T_y \otimes T_x$  with  $X_{x,y} \in H_C \otimes_3 H_C$ . Assume  $\theta_C * X = 0$ . It is equivalent to  $\theta_C * X_{x,y} = 0$  which implies  $\mu(X_{x,y}) = 0$  for all  $x, y \in W_{\text{aff}}$ . But  $\mu$  is right  $H^o \otimes_3 H$ -equivariant so  $\mu(X) = 0$ .  $\square$

By Fact 4.12, it is clear that  $\mu$  also factors through  $\text{im}(\partial^*)$ . Hence we have a well defined surjective right  $H^o \otimes_3 H$ -equivariant map on  $\text{coker}(\partial^*) = \mathfrak{M}_C / \text{im}(\partial^*) = (\theta_C * H \otimes_3 H) / \text{im}(\partial^*)$  given by

$$(37) \quad \begin{aligned} \bar{\mu} : \text{coker}(\partial^*) &\longrightarrow (\iota)H \\ \theta_C * X \text{ mod } \text{im}(\partial^*) &\longmapsto \mu(X) \end{aligned}$$

for  $X \in H \otimes_3 H$ . We show that it is also injective by introducing the linear map

$$f : (\iota)H \longrightarrow \text{coker}(\partial^*), \quad h \longmapsto \theta_C * (h \otimes 1) \text{ mod } \text{im}(\partial^*).$$

Notice, for  $x \otimes y \in H \otimes_3 H$  that

$$f(\mu(x \otimes y)) - \theta_C * (x \otimes y) = \theta_C * (\iota(y)x \otimes 1 - x \otimes y) = \theta_C * (\iota(y) \otimes -1 \otimes y) \star 1 \otimes x \in \text{im}(\partial^*)$$

This shows that  $f \circ \bar{\mu} = \text{id}_{\text{coker}(\partial^*)}$  and  $\bar{\mu}$  is bijective.  $\square$

## 5. THE STRUCTURE OF $H_{\mathbf{a},\mathbf{b}}$ OVER ITS CENTER

In this section we continue to assume  $R$  is a regular, finitely generated  $k$ -algebra.

**5.1. Projectivity over the center.** Let us denote by  $Z_{\mathbf{q}^\pm}$ ,  $Z_0$  and  $Z_{\mathbf{a},\mathbf{b}}$  the centers of  $H_{\mathbf{q}^\pm}$ ,  $H_0$  and  $H_{\mathbf{a},\mathbf{b}}$  respectively.

**Lemma 5.1.** *We have  $Z_{\mathbf{q}^\pm} \cong R[\mathbf{q}^\pm][\check{X}]^{W_0}$  and  $Z_0 \cong R[\check{X}]^{W_0}$  with  $H_{\mathbf{q}^\pm}$  and  $H_0$  finitely generated over them.*

*Proof.* If  $\mathbf{q}$  is invertible then classical results going back to Bernstein ([Lu, Prop. 3.11]) show that there exists a commutative subalgebra  $A_{\mathbf{q}^\pm} \subset H_{\mathbf{q}^\pm}$  such that

- (1)  $H_{\mathbf{q}^\pm}$  is finitely generated and free over  $A_{\mathbf{q}^\pm}$ ,
- (2)  $A_{\mathbf{q}^\pm} \cong R[\mathbf{q}^\pm][\check{X}]$  and
- (3)  $Z_{\mathbf{q}^\pm} := A_{\mathbf{q}^\pm}^{W_0} \cong R[\mathbf{q}^\pm][\check{X}]^{W_0}$  is the center of  $H_{\mathbf{q}^\pm}$ .

This proves the claim involving  $H_{\mathfrak{q}^\pm}$ .

The picture for  $H_0$  is similar. The algebra  $H_0$  again contains a commutative subalgebra  $A_0$  over which it is finite [Vig1, Thm 3]). Moreover,  $Z_0 \cong A_0^{W_0}$  ([Vig1, Thm 4], see also [Ol2, 2.2.3]). One then follows the proof of [Ol2, Prop. 2.10] to identify  $Z_0$  with  $R[\check{X}^+]$  which is isomorphic to  $R[\check{X}]^{W_0}$  (cf. Theorem in Section 2.4 of [Lo]).  $\square$

Lemma 5.1 suggests the following natural extension.

**Conjecture 5.2.** *We have  $Z_{\mathbf{a},\mathbf{b}} \cong R[\mathbf{a}, \mathbf{b}][\check{X}]^{W_0}$  with  $H_{\mathbf{a},\mathbf{b}}$  finitely generated over it.*

Next we recall the following properties involving  $\mathbb{Z}[\check{X}]^{W_0}$ . If  $X/Q$  is free then

- $\mathbb{Z}[\check{X}]$  is free over  $\mathbb{Z}[\check{X}]^{W_0}$ ,
- $\mathbb{Z}[\check{X}]^{W_0} \cong \mathbb{Z}[Q^\perp] \otimes_{\mathbb{Z}} \mathbb{Z}[\check{X}/Q^\perp]^{W_0}$ ,
- $\mathbb{Z}[\check{X}/Q^\perp]^{W_0}$  is a polynomial algebra in the fundamental coweights.

The first result follows from the Pittie-Steinberg theorem [St2, Thm. 1.1]. More precisely, the first statement above is proven in [St2, Thm. 2.2] (with  $X$  in place of  $\check{X}$ ). The second and third results follow from [St2, Thm. 1.2(c)] (interpreted in terms of root systems) and [St1, Thm. 6.1].

In particular, if  $X/Q$  is free,  $R[\check{X}]^{W_0}$  is isomorphic to the tensor product of a Laurent series ring and a polynomial ring. For example, for the based root systems associated to  $\mathrm{PGL}_n$ ,  $\mathrm{GL}_n$  and  $\mathrm{SL}_n$  the quotients  $X/Q$  are trivial,  $\mathbb{Z}$  and  $\mathbb{Z}/n\mathbb{Z}$  respectively. Meanwhile,  $\mathbb{Z}[\check{X}]^{W_0}$  is isomorphic to  $\mathbb{Z}[x_1, \dots, x_{n-1}]$ ,  $\mathbb{Z}[x_1, \dots, x_{n-1}, x_n^\pm]$  and  $\mathbb{Z}[x_1, \dots, x_{n-1}]^{\mathbb{Z}/n\mathbb{Z}}$  respectively (where the  $\mathbb{Z}/n\mathbb{Z}$  acts by  $x_i \mapsto x_{i+1}$  for  $i \neq n-1$  and  $x_{n-1} \mapsto -(x_1 + \dots + x_{n-1})$ ).

**Proposition 5.3.** *If  $X/Q$  is free then  $H_{\mathfrak{q}^\pm}$  and  $H_0$  are both projective over their centers.*

*Proof.* If  $X/Q$  is free then  $Z_0 \cong R[\check{X}]^{W_0}$  is regular, connected. On the other hand, by Corollary 4.5,  $\mathbf{R}_{H_{\mathbf{a},\mathbf{b}}}$  is supported in one degree. By base change (Proposition 3.13) this implies that  $\mathbf{R}_{H_0}$  is also supported in one degree. Thus Proposition 3.15 (miracle flatness) implies that  $H_0$  is projective over  $Z_0$ . The proof for  $H_{\mathfrak{q}^\pm}$  is the same.  $\square$

*Remark 5.4.* If the based root system is associated to the group  $\mathrm{SL}_2$  then  $X/Q \cong \mathbb{Z}/2\mathbb{Z}$  is not free. However, the results of Proposition 5.3 still hold since in this case  $R[\check{X}]^{W_0} \cong R[x]^{\mathbb{Z}/2\mathbb{Z}} \cong R[x^2]$  is a polynomial algebra so the argument from Proposition 5.3 still applies. This recovers [OS2, Cor. 3.4].

*Remark 5.5.* In the case of  $H_{\mathfrak{q}^\pm}$  the standard proof that it is projective over its center uses the fact that it is free over the intermediate subalgebra  $A_{\mathfrak{q}^\pm}$ . However, this direct argument fails in the case of  $H_0$ . In this case the algebra  $A_0$  is more complicated and, in particular,  $H_0$  is not projective over  $A_0$  (see the introduction of [O11]). Nevertheless, it is still true (if  $X/Q$  is free) that  $H_0$  is projective over  $Z_0$  as a result of miracle flatness (as used in the proof of Proposition 5.3).

**Proposition 5.6.** *If  $X/Q$  is free then  $\mathbf{R}_{H_{\mathfrak{q}^\pm}/Z_{\mathfrak{q}^\pm}} \cong (\iota)H_{\mathfrak{q}^\pm}$  and  $\mathbf{R}_{H_0/Z_0} \cong (\iota)H_0$ .*

*Proof.* We prove the claim for  $H_0$  as the proof for  $H_{\mathfrak{q}^\pm}$  is the same. By Proposition 3.10

$$\mathbf{R}_{H_0} \cong \mathrm{RHom}_{Z_0}(H_0, Z_0) \otimes_{Z_0} \mathbf{R}_{Z_0}$$

where  $Z_0$  is regular because  $X/Q$  is free. On the other hand, since  $H_0 = H_{\mathbf{a},\mathbf{b}}/(\mathbf{a}, \mathbf{b} - 1)$ , we obtain from Corollary 4.5 using base change that

$$\mathbf{R}_{H_0} \cong (\iota)H_0[d] \otimes_{\mathfrak{z}_{0,1}} \mathbf{R}_{\mathfrak{z}_{0,1}}$$

where  $\mathfrak{z}_{0,1} := \mathfrak{z}/(\mathbf{a}, \mathbf{b} - 1) \cong R[Q^\perp]$ . It follows that

$$\mathrm{RHom}_{Z_0}(H_0, Z_0) \cong (\iota)H_0[d] \otimes_{Z_0} \mathbf{R}_{Z_0}^{-1} \otimes_{R[Q^\perp]} \mathbf{R}_{R[Q^\perp]} \cong (\iota)H_0[d] \otimes_{Z_0} \mathbf{R}_{Z_0/R[Q^\perp]}^{-1} \cong (\iota)H_0.$$

The third isomorphism above is because  $Z_0 \cong R[Q^\perp] \otimes_R R[\check{X}/Q^\perp]^{W_0}$  where  $R[\check{X}/Q^\perp]^{W_0}$  is a polynomial algebra in  $d$  variables over  $R$  and therefore  $\mathbf{R}_{Z_0/R[Q^\perp]} \cong Z_0[d]$ .  $\square$

**Corollary 5.7.** *If  $X/Q$  is free then assuming Conjecture 5.2 the algebra  $H_{\mathbf{a},\mathbf{b}}$  is finitely generated, projective over  $Z_{\mathbf{a},\mathbf{b}}$  and  $\mathbf{R}_{H_{\mathbf{a},\mathbf{b}}/Z_{\mathbf{a},\mathbf{b}}} \cong (\iota)H_{\mathbf{a},\mathbf{b}}$ .*

*Remark 5.8.* The result above follows as in the proofs of Propositions 5.3 and 5.6. Conversely, applying base change (cf. Corollary 3.13), Corollary 5.7 immediately implies Propositions 5.3 and 5.6.

*Remark 5.9.* By Proposition 3.10, we know that  $\mathbf{R}_{H_0} \cong \mathrm{RHom}_{Z_0}(H_0, \mathbf{R}_{Z_0})$  which implies in particular that  $\mathbf{R}_{H_0}$  is an  $H_0 \otimes_{Z_0} H_0^0$ -module. As we saw above, using base change, we have  $\mathbf{R}_{H_0} \cong (\iota)H_0[d] \otimes_{\mathfrak{z}_{0,1}} \mathbf{R}_{\mathfrak{z}_{0,1}}$ . It follows that  $\iota$  must act trivially on  $Z_0$ . The same is true for  $H_{\mathfrak{q}^\pm}$  and  $Z_{\mathfrak{q}^\pm}$ . This recovers [Ol2, Prop. 3.2] which was proved by explicit calculation.

**5.2. Frobenius structure.** Consider a pair of rings  $S \subset S'$ . Recall that  $S'$  is a Frobenius (resp. free Frobenius) extension of  $S$  if:

- (1)  $S'$  is a finitely generated, projective (resp. free)  $S$ -module,
- (2) there exists an isomorphism  $\phi : S' \xrightarrow{\sim} \text{Hom}_S(S', S)$  of  $(S', S)$ -bimodules.

In such cases one can define the bilinear form  $S' \times S' \rightarrow S$  via  $\langle x, y \rangle := \phi(y)(x)$ . Suppose  $S \subset S'$  is a free Frobenius extension with  $S$  commutative. By [BF, Cor. 1.2], if  $\{x_i\}$  is a basis of  $S'$  over  $S$  then the matrix  $[\langle x_i, x_j \rangle]_{i,j}$  is an invertible matrix over  $S$ .

**Corollary 5.10.** *Suppose  $X/Q$  is free and  $R = k$ . Then  $H_{\mathfrak{q}^\pm}$  and  $H_0$  are both free Frobenius extensions over their centers. The same is true of  $H_{\mathfrak{a},\mathfrak{b}}$  if we assume Conjecture 5.2. The Nakayama automorphism in all three cases is  $\iota$ .*

*Proof.* By Lemma 5.1 and Proposition 5.3,  $H_0$  is finitely generated, projective over  $Z_0$ . Since this center is the tensor products of a polynomial and Laurent series and  $R = k$  it follows (e.g. [Ga, Thm. 2.1]) that  $H_0$  are actually free over  $Z_0$ . The free Frobenius structure now follows since, as we saw in Proposition 5.6,  $\text{RHom}_{Z_0}(H_0, Z_0) \cong (\iota)H_0$ . The proofs involving  $H_{\mathfrak{q}^\pm}$  and  $H_{\mathfrak{a},\mathfrak{b}}$  are the same.  $\square$

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