

**Math 539: Problem Set 1 (due 29/1/2014)**

1. (The standard divisor bound)
  - (a) Let  $f(n)$  be multiplicative, and suppose that  $f \rightarrow 0$  along prime powers – that is, for every  $\varepsilon > 0$  there is  $N$  such that if  $p^m > N$  then  $|f(p^m)| \leq \varepsilon$ . Show that  $\lim_{n \rightarrow \infty} f(n) = 0$ .
  - (b) Show that for all  $\varepsilon > 0$ ,  $d(n) = O(n^\varepsilon)$ .
  
2. Establish the following identities in the ring of formal Dirichlet series
  - (a) Let  $d_k(n) = \sum_{\prod_{i=1}^k a_i = n} 1$  be the generalized divisor functions, counting factorizations of  $n$  into  $k$  parts (so  $d_2(n) = d(n)$  is the usual divisor function). Show  $\sum_n d_k(n)n^{-s} = (\zeta(s))^k$  and that  $d_k * d_l = d_{k+l}$ .
  - (b) Define  $d_{1/2}(n)$ . Calculate  $d_{1/2}(p)$ ,  $d_{1/2}(12)$ .
  - (c) Let  $\sigma_\alpha(n) = \sum_{d|n} d^\alpha$  be the generalized sum-of-divisors function. Show that  $\sum_{n=1}^\infty \sigma_\alpha(n)n^{-s} = \zeta(s)\zeta(s-\alpha)$ .
  - (d) Show that  $\sum_{n \geq 1} d(n^2)n^{-s} = \frac{\zeta(s)^3}{\zeta(2s)}$ .
  
3. Let  $D_\varphi(s) = \sum_{n \geq 1} \varphi(n)n^{-s}$ .
  - (a) Represent the series in terms of  $\zeta(s)$  formally.
  - (b) Show the series converges absolutely for  $\Re(s) > 2$ .
  - (c) Show that the series fails to converge at  $s = 2$ .
  
4. Recall the function  $\text{Li}(x) = \int_2^x \frac{dt}{\log t}$ .
  - (a) (“Asymptotic expansion”) Show that for fixed  $K$ ,  $\text{Li}(x) = \sum_{k=1}^K (k-1)! \frac{x}{\log^k x} + O_K\left(\frac{x}{\log^{k+1} x}\right)$ .
  - (b) (Asymptotic expansions are not series expansions) Show that  $\sum_{k=1}^\infty (k-1)! \frac{x}{\log^k x}$  diverges.
  - (c) Use summation by parts to estimate  $\pi(x) = \sum_{p \leq x} 1$  using the known asymptotics for  $\sum_{p \leq x} \frac{1}{p}$ . Can you show  $\pi(x) \ll \text{Li}(x)$ ?  $\pi(x) \gg \text{Li}(x)$ ? That  $\frac{\pi(x)}{\text{Li}(x)} = 1 + o(1)$ ?

NOTATION  $f = \Theta(g)$  means  $f = O(g)$  and  $g = O(f)$ , that is  $0 \leq \frac{1}{C}f(x) \leq g(x) \leq Cf(x)$  for all large enough  $x$ .

  - (d) Deduce  $\pi(x) = \Theta(\text{Li}(x))$  from Chebychev’s estimate  $\psi(x) = \Theta(x)$ .
  
5. (Chebychev’s lower bound) Let  $\theta(x) = \sum_{p \leq x} \log p$ . We will find an explicit  $c > 0$  such that  $\theta(x) \geq cx$  for  $x \geq 2$ .
  - (a) Let  $v_p(n)$  denote the number of times  $p$  divides  $n$ . Show that  $v_p(n!) = \sum_{k=1}^\infty \left\lfloor \frac{n}{p^k} \right\rfloor$ .
  - (b) Show that if  $n < p \leq 2n$  then  $v_p\left(\binom{2n}{n}\right) = 1$ .
  - (c) (main saving) Show that if  $\frac{2}{3}n < p \leq n$  then  $v_p\left(\binom{2n}{n}\right) = 0$  unless  $n = p = 2$ .
  - (d) Show that if  $\sqrt{2n} < p \leq n$  then  $v_p\left(\binom{2n}{n}\right) \leq 1$ .
  - (e) Show that  $v_p\left(\binom{2n}{n}\right) \leq \log_p 2n$ .
  - (f) Show that  $\log\left(\binom{2n}{n}\right) - (\theta(2n) - \theta(n)) \leq \theta\left(\frac{2n}{3}\right) + 2\sqrt{2n}\log(2n)$ .
  - (g) Find a constant  $c > 0$  such that  $\theta(x) \geq cx$  for  $2 \leq x \leq 4$  and such that if  $\theta(x) \geq cx$  for all  $2 \leq x \leq X$  then  $\theta(x) \geq cx$  for  $X < x \leq 2X$ .

6. Notation:  $f(x) = o(g(x))$  (“little oh”) if  $\lim_{x \rightarrow \infty} \frac{|f(x)|}{g(x)} = 0$ .
- (a) Show  $\prod_p \left(1 - \frac{1}{p}\right) e^{\frac{1}{p}}$  converges.
- (b) Show that  $\prod_{p \leq z} \left(1 - \frac{1}{p}\right) = \frac{C(1+o(1))}{\log z}$ .
7. Let  $\sigma > 0$
- (a) Show that  $\prod_{p \leq x} (1 + p^{-\sigma}) \leq \exp(O(x^{1-\sigma}/\log x))$ .
- (b) Let  $a_p \in \mathbb{C}$  satisfy  $|a_p| \leq p^{-\sigma}$ . Show that  $f(n) = \prod_{p|n} (1 + a_p) \leq \exp(O((\log^{1-\sigma} n)(\log \log n)^{-1}))$ .
- (c) Show that  $\sum_{n \leq x} f(n) = cx + O(x^{1-\sigma})$  where  $c = \prod_p \left(1 + \frac{a_p}{p}\right)$ .
8. Let  $\mathcal{A}_n$  denote a set of representative for the isomorphism classes of abelian groups of order  $n$ ,  $A_n = \#\mathcal{A}_n$  the number of isomorphism classes.
- (a) Show that  $\sum_{n \geq 1} A_n n^{-s} = \prod_{k=1}^{\infty} \zeta(ks)$  in the ring of formal Dirichlet series.
- (b) Show that  $\sum_{n \leq x} A_n = cx + O(x^{1/2})$  where  $c = \prod_{k=2}^{\infty} \zeta(k)$ .
9. Let  $A \subset \mathbb{N}$ . We define its lower and upper *natural densities* by

$$\underline{d} = \liminf_{N \rightarrow \infty} \frac{1}{N} \sum_{n \leq N} A(n), \quad \bar{d} = \limsup_{N \rightarrow \infty} \frac{1}{N} \sum_{n \leq N} A(n).$$

If the two are equal the limit exists and we call it the *natural density* of  $A$ . Similarly, the lower and upper *logarithmic densities* are

$$\underline{\delta} = \liminf_{N \rightarrow \infty} \frac{1}{\log N} \sum_{n \leq N} \frac{A(n)}{n}, \quad \bar{\delta} = \limsup_{N \rightarrow \infty} \frac{1}{\log N} \sum_{n \leq N} \frac{A(n)}{n},$$

and a set has a *logarithmic density* if its lower and upper densities agree.

- (a) Show that  $0 \leq \underline{d} \leq \underline{\delta} \leq \bar{\delta} \leq \bar{d} \leq 1$  for all  $A$ . Conclude that if  $A$  has natural density it has logarithmic density and the two are equal.
- (b) Let  $A$  be the set of integers whose most significant digit is 4. Compute the lower and upper natural and logarithmic densities of  $A$ . Does it have natural density? Logarithmic density?

Hint for 4(a): Repeatedly integrate by parts, and for the error estimate effectuate the mantra “log is a constant function” by breaking the interval of integration in two.

### Supplementary problems

- A. Give the ring of formal Dirichlet series the *ultrametric* topology. In other words, say that  $D_n(s) \rightarrow D(s)$  if each coefficient eventually stabilizes. This allows us to define products of Dirichlet series.
- (a) Let  $G(T) = \sum_{n=0}^{\infty} a_n T^n \in \mathbb{C}[[T]]$  be a formal power series, and let  $f$  be an arithmetic function with  $f(1) = 0$ . Show that  $G(D_f) = \sum_{n=0}^{\infty} a_n (D_f(s))^n$  is a convergent series in the ring of formal Dirichlet series.
  - (b) Let  $D(s) = \sum_{n \geq 1} b_n n^{-s}$  be a formal Dirichlet series with  $b_1 = 1$ . Realize  $\log D(s)$  as a formal Dirichlet series without constant term, and show that  $\exp \log D(s) = D(s)$ .
  - (c) If  $f$  is multiplicative then  $\sum_{n \geq 1} f(n) n^{-s} = \prod_p (\sum_{m=0}^{\infty} f(p^m) p^{-ms})$ , in the sense that the product on the right converges in the ultrametric topology.
  - (d) (The complex topology is different) Give a multiplicative function  $f$  and a number  $s$  such that  $\prod_p (1 + |\sum_{m=1}^{\infty} f(p^m) p^{-ms}|)$  converges but  $\sum_{n \geq 1} f(n) n^{-s}$  does not.
- B. For each  $z \in \mathbb{C}$  define a multiplicative function  $d_z(n)$  giving a natural generalization of  $d_k$ , and satisfying  $d_z * d_w = d_{z+w}$ . Evaluate  $d_z(p)$ ,  $d_z(p^k)$ ,  $d_z(360)$ .
- [Note for the future: problem on sum-free sets]