# There exists a weakly mixing billiard in a polygon 

Jon Chaika<br>University of Utah<br>June 11, 2020

Joint with Giovanni Forni

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This is a dynamical system on the unit tangent bundle of $Q$,

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x_{Q}:=Q \times S^{1} / \sim
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and we let $F_{Q}^{t}$ denote the straight line flow on $X_{Q}$. $F_{Q}^{t}$ has a natural 3 dimension volume $\mathbf{m}_{Q}$.
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This strengthens,
Theorem
(Kerckhoff-Masur-Smillie '86) There exists a polygon $Q$ so that the flow on $X_{Q}$ is ergodic with respect to $\mathbf{m}_{Q}$.

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2. $F_{Q}^{t}$ has at most a countable number of families of homotopic periodic orbits (Boldrighini-Keane-Marchetti).

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-Yes if $Q$ has all angles rational multiples of $\pi$. These are called rational polygons.
-Yes for triangles with angles of at most 112.3 degrees
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4. Is there a $Q$ so that $F_{Q}^{t}$ is minimal? Is there a $Q$ so that $F_{Q}^{t}$ is topologically mixing?

## Rational polygons

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## Rational polygons

Rational polygons are a special situation.
The group of reflections about the lines through the origin parallel to the sides is a finite group, $G_{Q}$. For each $\theta, Q \times G_{Q} \theta$ is an $F_{Q}^{t}$ invariant surface, $S_{\theta} . X_{Q}$ is foliated by $F_{Q}^{t}$ invariant surfaces. So, when $Q$ is rational $F_{Q}^{t}$ is never ergodic because of these invariant.

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Theorem
(Kerckhoff-Masur-Smillie) For every rational polygon Q, for almost every invariant surface $S_{\theta} \subset X_{Q}, F_{Q}^{t}$ is ergodic with respect to the (2-dimensional) Lebesgue measure on $S_{\theta} \subset X_{Q}$.
We denote this measure $\lambda_{\theta}$.

## A word on the proof of Kerckhoff-Masur-Smillie's Theorem

Let $\operatorname{Lip}\left(X_{Q}\right)$ be the set of 1-Lipschitz functions on $X_{Q}$.
Lemma
$F_{Q}^{t}$ is ergodic iff for all $f \in \operatorname{Lip}\left(X_{Q}\right)$ we have that there exists
$T_{i} \rightarrow \infty$ so that

$$
\begin{equation*}
\lim _{i \rightarrow \infty} \int_{X_{Q}}\left(\left|\frac{1}{T_{i}} \int_{0}^{T_{i}} f\left(F^{t}(\theta, x)\right) d t-\int_{X_{Q}} f d \mathbf{m}_{Q}\right|\right) d \mathbf{m}_{Q}=0 \tag{1}
\end{equation*}
$$

## A word on the proof of Kerckhoff-Masur-Smillie's Theorem

## Proposition

For all $\epsilon>0$ if $Q$ satisfies that for all $f \in \operatorname{Lip}\left(X_{Q}\right)$ there exists a $T$ so that

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\int_{X_{Q}}\left(\left|\frac{1}{T} \int_{0}^{T} f\left(F_{Q}^{t}(\theta, x)\right) d t-\int f d \mathbf{m}_{Q}\right|\right) d \mathbf{m}_{Q}<\epsilon
$$

then the set of $Q^{\prime}$ so that for all $f \in \operatorname{Lip}\left(X\left(Q^{\prime}\right)\right)$ there exists $T$ so that

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\int_{X_{Q^{\prime}}}\left(\left|\frac{1}{T} \int_{0}^{T} f\left(F_{Q^{\prime}}^{t}(\theta, x)\right) d t-\int f d \mathbf{m}_{Q^{\prime}}\right|\right) d \mathbf{m}_{Q^{\prime}}<2 \epsilon
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contains an open neighborhood of $Q$.

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contains an open neighborhood of $Q$.
By the ergodicity result of Kerckhoff-Masur-Smillie this set is dense for each fixed $\epsilon$.

If $Q$ is rational, for almost every $\theta$, for every $f \in \operatorname{Lip}\left(X_{Q}\right)$

$$
\lim _{T \rightarrow \infty} \int_{S_{\theta}}\left(\left|\frac{1}{T} \int_{0}^{T} f\left(F^{t}(\theta, x)\right) d t-\int_{S_{\theta}} f d \lambda_{\theta}\right|\right) d \lambda_{\theta}=0
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If $G_{Q}$ contains a small rotation, for all $f \in \operatorname{Lip}\left(X_{Q}\right)$ we have

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By the Baire Category Theorem we have that a dense $G_{\delta}$ subset of the space of polygons satisfies (??).

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Weak mixing of $F_{Q}^{t}$ is equivalent to the ergodicity of $\left(F_{Q}^{t} \times F_{Q}^{t}\right)$. So our proof is similar to Kerckhoff-Masur-Smillie's proof: Replace $\operatorname{Lip}\left(X_{Q}\right)$ with $\operatorname{Lip}\left(X_{Q} \times X_{Q}\right)$.
Replace the ergodicity of $F_{Q}^{t}$ restricted to a.e. $S_{\theta}$ when $Q$ is rational by the ergodicity of $F_{Q}^{t} \times F_{Q}^{t}$ restricted to a.e. $S_{\theta} \times S_{\phi}$ when $Q$ is rational.

Theorem
(C-Forni) For every rational $Q$, for almost every $(\theta, \phi)$ we have that $F_{Q}^{t} \times F_{Q}^{t}$ is $\lambda_{\theta} \times \lambda_{\phi}$ ergodic.

## Reflection

Figure: Photo Credit: Evelyn Lamb


## A translation surface



## $S L(2, \mathbb{R})$ action

$S L(2, \mathbb{R})$ acts on translation by acting on the charts.

Figure: $\left(\begin{array}{cc}2 & 0 \\ 0 & \frac{1}{2}\end{array}\right)$ applied to a translation surface


$$
\text { Let } g_{t}=\left(\begin{array}{cc}
e^{t} & 0 \\
0 & e^{-t}
\end{array}\right) \text { and } r_{\theta}=\left(\begin{array}{cc}
\cos (\theta) & -\sin (\theta) \\
\sin (\theta) & \cos (\theta)
\end{array}\right) \text {. }
$$

Theorem
(C-Forni)Let $M$ be a translation surface and $F_{\theta}^{t}$ denote the flow in direction $\theta$. For a.e. $\theta, \phi, F_{\theta}^{t} \times F_{\phi}^{t}$ is $\lambda_{M}^{2}$ ergodic.

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We show that for any $\alpha \in \mathbb{R} \backslash\{0\}$ we have
$\lambda_{S^{1}}\left(\left\{\theta: \alpha\right.\right.$ is an eigenvalue for $\left.\left.F_{\theta}^{t}\right\}\right)=0$.

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Eigenvalue equation: $f\left(F_{\theta}^{t} x\right)=e^{2 \pi i t \alpha} f(x)$.

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Is it uniquely ergodic?
Hubert and I showed that almost surely it is with respect to any $S L(2, \mathbb{R})$ invariant measure.

## Transversals for translation surfaces



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## Veech Criterion: continuous case

If $\alpha$ is a continuous eigenvalue of $F^{t}, J_{i}$ are sequence of transversals so that $\operatorname{diam}\left(J_{\ell}\right) \rightarrow 0$ and $\vec{r}_{i}$ are the sequence of return time vectors to $J_{i}$ then

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Indeed $f\left(F^{t} x\right)=e^{2 \pi i t \alpha} f(x)$ and $\lim _{\ell \rightarrow \infty} \sup _{x, y \in J_{\ell}}|f(x)-f(y)|=0$.
So if $x, F^{t} x \in J_{\ell}$ then $e^{2 \pi i \alpha t} \sim 1$.

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Pictures for a translation surface


## Pictures for a translation surface



## Pictures for a translation surface



## Renormalization



## Veech criterion final form

Transversals are given by a cocycle $R V: \mathbb{R} \times \mathcal{H} \rightarrow S L(d, \mathbb{Z})$.
That is, a transversal on $Y$ of size roughly $\frac{1}{L}$ will have its return time vector given by $R V(\log (L), Y) \vec{r}_{1}$.

## Proposition

(Veech Criterion slight lie) If the exists a compact set $\mathcal{K} \subset \mathcal{H}$ and $\epsilon>0$ so that for arbitrarilly large $L$ we have $\left\|\alpha R V(\log (L), Y) \vec{r}_{1}\right\|_{\mathbb{Z}^{d}}>\epsilon$ and $g_{\log (L)} Y \in \mathcal{K}$ then $\alpha$ is not an eigenvalue for $F^{t}$.

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Really there exists $s:=s_{\mathcal{K}}$ and need $\left(\begin{array}{cc}s L & 0 \\ 0 & \frac{1}{s L}\end{array}\right) Y \in \mathcal{K}$ and $\left(\begin{array}{cc}\frac{L}{s} & 0 \\ 0 & \frac{s}{L}\end{array}\right) Y \in \mathcal{K}$ as well.

## Proof (up to some lies)

To use the Veech criterion, we show that for any fixed $\vec{v} \neq 0$ we have that for most $\theta,\left\|R V\left(t, r_{\theta} Y\right) \vec{v}\right\|$ grows exponentially quickly in $t$.

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In fact there exists $\sigma, \rho>0$ so that

$$
\begin{aligned}
\lambda\left(\left\{\theta: \exists t_{\theta}<\log (N)\right.\right. \text { so that } & \left\|R V\left(t_{\theta}, r_{\theta} Y\right) \vec{v}\right\| \\
& \left.\left.>N^{\sigma}\|v\| \text { and } g_{t_{\theta}} r_{\theta} Y \in \mathcal{K}\right\}\right)<N^{-\rho} .
\end{aligned}
$$

$$
\vec{v}=\alpha \vec{r}_{k}-\vec{n} .
$$

Iterating this for $N_{1}=\frac{1}{\|\vec{v}\|}, \quad N_{2}=\frac{1}{\left\|R V\left(t_{\theta}, r_{\theta} Y\right) \vec{v}\right\|}, \ldots$ we obtain Veech's criterion.

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## Proof of large deviations estimate

## Proposition

(C-Eskin Lie) For any $\epsilon>0$ there exists $L$ and $U$ an open set with $\mu_{Y}(U)>1-\epsilon$ such that if $Y \in U$ and $\vec{v}$ is any vector then for all but an $\epsilon$ measure set of $\theta$ we have $\left(\lambda_{1}-\epsilon\right)^{L}<\left|R V\left(g_{L}, r_{\theta} Y\right) \vec{v}\right|<\left(\lambda_{1}+\epsilon\right)^{L}$.

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\left(\lambda_{1}-\epsilon\right)^{L}<\left|R V\left(g_{L}, r_{\theta} Y\right) \vec{v}\right|<\left(\lambda_{1}+\epsilon\right)^{L}
$$

Because $g_{t}$ expands circles, one can show that the conditional probability that $\frac{\left|R V\left(g_{t+L}, r_{\theta} Y\right) \vec{v}\right|}{\left|R V\left(g_{t}, r_{\theta}\right) \vec{v}\right|}<\left(\lambda_{1}-\epsilon\right)^{L}$ given $R V\left(g_{t}, r_{\theta} Y\right)$ and that $g_{t} r_{\theta} Y \in U$ is at most $C \epsilon$.

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(C-Eskin Lie) For any $\epsilon>0$ there exists $L$ and $U$ an open set with $\mu_{Y}(U)>1-\epsilon$ such that if $Y \in U$ and $\vec{v}$ is any vector then for all but an $\epsilon$ measure set of $\theta$ we have

$$
\left(\lambda_{1}-\epsilon\right)^{L}<\left|R V\left(g_{L}, r_{\theta} Y\right) \vec{v}\right|<\left(\lambda_{1}+\epsilon\right)^{L}
$$

Because $g_{t}$ expands circles, one can show that the conditional probability that $\frac{\left|R V\left(g_{t+L}, r_{\theta} Y\right) \vec{v}\right|}{\left|R V\left(g_{t}, r_{\theta}\right) \vec{v}\right|}<\left(\lambda_{1}-\epsilon\right)^{L}$ given $R V\left(g_{t}, r_{\theta} Y\right)$ and that $g_{t} r_{\theta} Y \in U$ is at most $C \epsilon$.
If the measure of $\theta$ so that

$$
\sum_{i=0}^{M} \chi_{U}\left(g_{L i} r_{\theta} Y\right)>M-C M \epsilon
$$

we have the key estimate.

## Proof of large deviations estimate

To prove this result we results of Eskin-Mirzakhani-Mohammadi:
Theorem
(Eskin-Mirzakhani-Mohammadi) We say $Y$ is $T, \epsilon$ bad if

$$
\left|\frac{1}{T \sigma} \int_{0}^{T} \int_{0}^{\sigma} \chi_{U}\left(g_{t} r_{\theta} Y\right) d \theta d t-\mu_{Y}(U)\right|>\epsilon
$$

The $T, \epsilon$ bad set is contained in the union of neighborhoods of finitely many affine $\left(S L_{2}(\mathbb{R})\right.$-invariant) submanifolds. Moreover for fixed $\epsilon, \sigma$ the $\mu_{Y}$-measure of these neighborhoods goes to zero as $T$ goes to infinity.

## Theorem

(Eskin-Mirzakhani-Mohammadi) Let $\mathcal{M}$ be any affine submanifold contained in $\operatorname{supp}(\mu)$. Then there exists an $\mathrm{SO}_{2}$ invariant function $f$, constants $c, b, \sigma, t_{0} \in \mathbb{R}, c<1$ such that

1. $f(x)=\infty$ iff $x \in \mathcal{M}$. Also $f$ is bounded on compact subsets of $\mathcal{H}_{1}(\alpha) \backslash \mathcal{M}$. Also $\overline{\{x: f(x) \leq N\}}$ is compact for any $N$.
2. $\frac{1}{2 \pi} \int_{0}^{2 \pi} f\left(g_{t} r_{\theta} x\right) d \theta \leq c f(x)+b$ for all $t>t_{0}$.
3. $\sigma^{-1} f(x) \leq f\left(g_{s} x\right) \leq \sigma f(x)$ for all $s \in[-1,1]$.

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We now state an anachronistic corollary:
Corollary
(Athreya) For almost every $\theta$ and all large enough $T$ the set of $i$ such that $g_{i T} r_{\theta} Y$ is in the $T, \epsilon$ bad set has upper density at most $\epsilon$.

Using this corollary, our first theorem of
Eskin-Mirzakhani-Mohammadi and the expansion of circles by $g_{t}$ we obtain that for all by an exponentially small in $M$ set of $\theta$, there exists $C$ so that

$$
\sum_{i=0}^{M} \chi u\left(g_{L i} r_{\theta} Y\right)>M-C M \epsilon
$$

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$C$ is independent of $\epsilon$.

