

Math 538, Lecture 10, 9/2/2024

Bijection: $\left\{ \sum_{i=0}^{\infty} a_i p^i \mid a_i \in \{0, \dots, p-1\} \right\}$
 \updownarrow

Why does $\sum_{i=0}^{\infty} a_i p^i \in \mathbb{Z}_p$ converge?

$|a_i p^i|_p \leq p^{-i} \rightarrow 0$ as $i \rightarrow \infty$ so $\sum_{i=0}^{\infty} a_i p^i$ converges

or: $v_p \left(\sum_{i=0}^{\infty} a_i p^i - \sum_{i=0}^{\infty} b_i p^i \right) = \text{first index } i \text{ s.t. } a_i \neq b_i$

\Rightarrow if $x, y \in \mathbb{Z}_p$, $x \neq y$ then $\exists k$ s.t. $x \not\equiv y \pmod{p^k}$

\Rightarrow map $\mathbb{Z}_p \rightarrow \prod_k \mathbb{Z}/p^k \mathbb{Z}$ is injective.

HW:

$\mathbb{Z}_p \cong \left\{ x \in \prod_k \mathbb{Z}/p^k \mathbb{Z} \mid x_{k+1} \equiv x_k \pmod{p^k} \right\}$

(If know image of x in $\mathbb{Z}/p^k \mathbb{Z}$, it determines the image mod p^l for $l \leq k$)

(\mathbb{Z}_p is the p -adic completion of \mathbb{Z})

realizes \mathcal{U}_p as $\lim_{\leftarrow k} \mathcal{U}_p^{p^k} \mathcal{Z}$ which is cpt as a closed subset of $\prod_k \mathcal{U}_p^{p^k} \mathcal{Z}$.

from this pov, $\sum_{i=0}^{\infty} a_i p^i$ converges because residue mod p^k is eventually constant.

Last time: "functional analysis":

Thm: F complete wrt nontrivial absolute value.

Then F unique topology on F . F -vsy making it a TVS, any fd. subspace of an F -TVS is closed.

Cor: \mathbb{R} & \mathbb{C} algebraic have at most one extension of $|\cdot|_F$ to L .

$$U = \{x \in F \mid |x| \leq 1\}$$

Assume F is non-archimedean

Thm: (Hensel's lemma) for $f \in \mathcal{O}[x]$

(1) If have $\alpha \in \mathcal{O}$ st. $C = \left| \frac{f(\alpha)}{(f'(\alpha))^2} \right| < 1$.

then have $\beta \in \mathcal{O}$ st. $f(\beta) = 0$,

$$|\alpha - \beta| \leq C.$$

$$P = \{x \in F \mid |x| < 1\}$$

(2) Suppose \bar{f} = image of f in $\mathcal{O}/p[x]$ has $\bar{f} \neq 0$ and that $\bar{f} = \bar{g}\bar{h}$ in $K[x]$, $(\bar{g}, \bar{h}) = 1$. $K = \mathcal{O}/p$

Then $\exists g, h \in \mathcal{O}[x]$ lifting \bar{g}, \bar{h} , st. $f = gh$, $\deg g = \deg \bar{g}$.

Pf: (1) Last time (Newton's method)

(2) $d = \deg f$, $k = \deg \bar{g}$. Choose preimages g_0, h_0 of \bar{g}, \bar{h} with $\deg g_0 = \deg \bar{g} = k$, $\deg h_0 = \deg \bar{h}$.

Let $\pi \in \beta$, to be chosen later. Suppose that for some n , have $p_i, q_i \in \mathcal{O}[x]$, $1 \leq i \leq n$ s.t.

$$\begin{aligned} \deg p_i &< k \\ \deg q_i &\leq d \cdot k \end{aligned}$$

$$\text{s.t. for } g_n = g_0 + \sum_{i=1}^n \pi^i p_i$$

$$h_n = h_0 + \sum_{i=1}^n \pi^i q_i$$

$$\text{have } f \equiv g_n h_n \pmod{\pi^{n+1}}$$

Then for any p_{n+1}, q_{n+1} have:

$$\begin{aligned} f - g_{n+1} h_{n+1} &= (f - g_n h_n) - \pi^{n+1} g_n q_{n+1} - \pi^{n+1} p_{n+1} h_n \\ &\quad - \pi^{2n+2} p_{n+1} q_{n+1}. \end{aligned}$$

$$\equiv (f - g_n h_n) - \pi^{n+1} (g_n q_{n+1} + p_{n+1} h_n) \pmod{\pi^{2n+2}}$$

$$\text{so } \pi^{(n+1)} (f - g_{n+1} h_{n+1}) \equiv \frac{f - g_n h_n}{\pi^{n+1}} - (g_n q_{n+1} + p_{n+1} h_n) \pmod{\pi}$$

Goal: choose p_{n+1}, q_{n+1} s.t. RHS is $0 \pmod{\pi}$.

$$\text{Then } f - g_{n+1} h_{n+1} \equiv 0 \pmod{\pi^{n+2}}$$

$$\text{Want } p_{n+1}, q_{n+1} \text{ s.t. } g_n q_{n+1} + p_{n+1} h_n \equiv \frac{f - g_n h_n}{\pi^{n+1}} \pmod{\pi}$$

But $g_n \equiv g_0 \pmod{\pi}$, $h_n \equiv h_0 \pmod{\pi}$, so want

$$g_0 q_{n+1} + p_{n+1} h_0 \equiv \frac{f - g_n h_n}{\pi^{n+1}} \pmod{\pi}.$$

Applying Bezout in $K[x]$, have $a, b \in U[x]$
 s.t. $\bar{a} \bar{g} + \bar{b} \bar{h} = 1$ i.e. $ag_0 + bh_0 \equiv 1 \pmod{\pi}$.

May assume $1 > |\pi| \geq |ag_0 + bh_0 - 1|$.
↑
max |coeff|

let $r_n = \frac{f - g_n h_n}{\pi^{n+1}}$. Then since $ag_0 + bh_0 \equiv 1 \pmod{\pi}$

$$g_0 (ar_n) + h_0 (br_n) \equiv r_n \pmod{\pi}$$

looks like $g_0 q_{n+1} + h_0 p_{n+1} \equiv r_n \pmod{\pi}$ except
deg(r_n) could be $\geq k$.

Key: leading coeff of g_0 is in $U^\times = U \setminus \mathfrak{p}$
(eq. has $1 \cdot 1 = 1$) since $\deg g = \deg \bar{g}$.

\Rightarrow can divide with remainder:

$$br_n = s_{n+1} g_0 + p_{n+1}, \quad \deg p_{n+1} < \deg g_0 = k$$

\Downarrow

$$g_0(a r_n + s_{n+1} h_0) + h_0 p_{n+1} \equiv r_n \pmod{\pi}$$

define q_{n+1} by omitting from $a r_n + s_{n+1} h_0$
any coeff divisible by π .

Then have:

$$g_0 q_{n+1} + h_0 p_{n+1} \equiv r_n \pmod{\pi}$$

deg $p_{n+1} < k$.

Suppose $\deg q_{n+1} = l > d - k$. Then highest-degree
term of $g_0 q_{n+1}$ would have degree $l + k > d$.

But r_n has $\deg \leq d$, so leading coeff of $g_0 q_{n+1}$

would be divisible by π , so leading coeff of q_{n+1} is π^{-1} , contradiction.

For this to work we need: $|\pi| \geq |a_0 + b_0 - 1|$
and need $f \equiv q_0 h_0 \pmod{\pi}$ i.e. $|\pi| \geq |f - q_0 h_0|$

we can do this since $\bar{f} - \bar{q}_0 \bar{h}_0 \equiv 0$. \square

Cor of (2): Suppose $f \in \mathcal{O}[x]$, $\alpha \in \mathcal{O}$ s.t.
 $\bar{f} \neq 0$, $\bar{f}(\bar{\alpha}) = 0$. Then $\exists \beta \in \mathcal{O}$ s.t. $\beta \equiv \alpha \pmod{\mathfrak{p}}$
and $f(\beta) = 0$
 $f'(\alpha) \in \mathcal{O}^\times$

Pf: Now $\bar{f}(\bar{\alpha}) = 0$, $\bar{f}'(\bar{\alpha}) \neq 0$ in k .
so $\bar{\alpha}$ is a simple root, can write $\bar{f} = (x - \bar{\alpha}) \bar{h}(x)$
with $\bar{h}(\bar{\alpha}) \neq 0$, i.e. $(x - \bar{\alpha}, \bar{h}) = 1$

By (2) have $f \equiv (x - \beta) h$ with $\beta \in \mathcal{O}$, $h \in \mathcal{O}[x]$
s.t. $\beta \equiv \bar{\alpha} \pmod{\mathfrak{p}}$

Cor: Let $f \in \mathcal{O}[x]$ be irred, of deg d , so $a_0 a_d \neq 0$
($f = \sum_{i=0}^d a_i x^i$). Then $|f| = \max\{|a_0|, |a_d|\}$

Pf: divide f by $|f|$ to assume wlog that $|f|=1$. If $|a_1|, |a_d| < 1$ then \bar{f} has degree $< d$ & vanishes at ∞ so can write

$$\bar{f} = x^r \cdot \bar{h}$$

with \bar{h} prime to x^r , $1 \leq r < d$

\Rightarrow Can write $f = gh$ with $\bar{g} = x^r$, $1 \leq \deg g < d$, contradicts irreducibility of f . \square

Cor: let $f \in F[x]$ be irred, monic.

If $a_0 \in \mathcal{O}$ then $f \in \mathcal{O}[x]$

if not
(divide by $|f|$ to get poly in $\mathcal{O}[x]$, irred, with largest coeff not a_0, a_d).

Problems $\mathbb{Z} \neq \mathbb{F}$ finite, want to extend $|\cdot|_{\mathbb{F}}$ to \mathbb{Z} .

know: if have an extension, it's unique.

Suppose that $|\cdot|_{\mathbb{Z}}$ is such an extension, $\mathbb{Z} \neq \mathbb{F}$ is Galois
Then for any $\sigma \in \text{Gal}(\mathbb{Z}/\mathbb{F})$, $|\sigma x|_{\mathbb{Z}}$ is also an extension
so $|\sigma x|_{\mathbb{Z}} = |x|_{\mathbb{Z}}$, so $|N_{\mathbb{Z}/\mathbb{F}} x|_{\mathbb{Z}} = |\prod_{\sigma \in G} \sigma x|_{\mathbb{Z}} = \prod_{\sigma} |\sigma x|_{\mathbb{Z}} = |x|_{\mathbb{Z}}^n$
so $|x|_{\mathbb{Z}} = |N_{\mathbb{Z}/\mathbb{F}} x|_{\mathbb{F}}^{1/n}$.

Thm: Let F be complete w.r.t $|\cdot|_F$ which is nontrivial & non-arch. Let L/F be algebraic of degree n . Then $|\alpha|_L \stackrel{\text{def}}{=} |N_F^L \alpha|_F^{1/n}$ is an absolute value on L extending $|\cdot|_F$.

Pf: Certainly $|\alpha\beta|_L = |\alpha|_L |\beta|_L$ ($N(\alpha\beta) = N\alpha \cdot N\beta$)

Let $\alpha \in L^\times$ s.t. $N_F^L \alpha \in \mathcal{O}_F$. Let $f \in F[x]$ be the min poly of α . Then f is monic, const coeff is $N_F^L \alpha \in \mathcal{O}_F$, f is irred $\Rightarrow f \in \mathcal{O}[x]$
 ($N_F^L \alpha = f(\alpha)^{[L:F]/\deg f}$)
 So α is integral over \mathcal{O}_F .

Converse immediate.

$\Rightarrow \{ \alpha \in L : |\alpha|_L \leq 1 \}$ is a subring of L .

\Rightarrow if $|\alpha|_L \leq 1$, then $|1 + \alpha|_L \leq 1$

\Rightarrow if α, β have $|\alpha|_L \leq |\beta|_L$ and $\beta \neq 0$

then

$$|\alpha + \beta|_L = |\beta|_L \left| \frac{\alpha}{\beta} + 1 \right|_L \leq |\beta|_L.$$

$|\alpha|_{\mathbb{B}}|_{\mathbb{L}} = 1 \Rightarrow \frac{\alpha}{\beta}$ is in ring



Cor: Can extend to any algebraic extension.