

Math 535, lecture 26 15/2/2023

Last time:  $\mathfrak{G}$  of ss. rk 1,  $\mathfrak{g}_{\mathbb{C}} = \mathfrak{t}_{\mathbb{C}} \oplus \mathbb{C}e \oplus \mathbb{C}f$

$$h = [e, f] \in \mathfrak{t}_{\mathbb{C}} \text{ st. } [h, e] = 2e, [h, f] = -2f.$$

$$\mathfrak{t}_{\mathbb{C}} = \mathbb{C}h \oplus \mathfrak{z}_{\mathbb{C}} \quad (\mathfrak{z}_{\mathbb{C}} = \mathfrak{Z}(\mathfrak{g}_{\mathbb{C}}))$$

① irreps of  $\mathfrak{g}_{\mathbb{C}}$  have the form  $\text{span}\{v_m\}_{m=-l}^l$   
where  $l \in \frac{1}{2}\mathbb{Z}_{\geq 0}$ ;

$$\mathfrak{z}_{\mathbb{C}} \text{ acts via any character. } \left\{ \begin{array}{l} \pi(h)v_m = 2m v_m \\ \pi(f)v_m = v_{m-1} \\ \pi(e)v_m = (l-m)(l+1+m)v_{m+1} \end{array} \right.$$

② Any f.d. rep of  $\mathfrak{g}$  is a sum of irreps

Cor:  $\pi(\mathfrak{t}_{\mathbb{C}})$  are jointly diagonalizable in any f.d. rep  
( $\Leftrightarrow$  rep is a sum of weight spaces)

Today: Universal enveloping algebra.

(analogue of group rings for lie algebras)

Def: Fix field  $F$ ,  $F$ -vsp  $V$ . The **tensor algebra** of  $V$  is the vsp

$$T(V) = \bigoplus_{n \geq 0} V^{\otimes n}$$

equipped with the graded algebra structure coming from isomorphisms  $V^{\otimes k} \otimes V^{\otimes l} = V^{\otimes (k+l)}$ .

Write  $\tau: V \rightarrow T(V)$  for isom  $V \xrightarrow{\cong} V^{\otimes 1}$ .

Lemma: Let  $B = \{v_i\}_{i \in I} \subset V$  be a basis.

For  $\sigma: [n] \rightarrow I$  write

Then  $\{v_\sigma\}_{\sigma \in I^{[n]}} \subset V^{\otimes n}$  is a basis,  $v_\sigma = v_{\sigma(1)} \otimes v_{\sigma(2)} \otimes \dots \otimes v_{\sigma(n)}$

$\coprod_{n=0}^{\infty} \{v_\sigma\}_{\sigma \in I^{[n]}} \subset T(V)$  is a linear basis

Prop: The tensor algebra is a unital associative  $F$ -algebra, generated by  $V$  (in fact by  $B$ ).

It has the universal property that for any  $F$ -alg.  $A$ ,

any  $F$ -linear map  $f: V \rightarrow A$ , there is a unique

$F$ -alg. hom  $\tilde{f}: T(V) \rightarrow A$  st.  $\tilde{f} \circ \tau = f$ .

Pf: Given  $f$  define  $f_n: V^n \rightarrow A$  by

$$f_n(v_1, \dots, v_n) = f(v_1) \cdot f(v_2) \cdot \dots \cdot f(v_n)$$

this is  $n$ -linear map  $V^n \rightarrow A$ , so extends uniquely to  $\tilde{f}_n: V^{\otimes n} \rightarrow A$ .

Now by univ. of prop of  $\otimes$  have  $f = \bigoplus_n \tilde{f}_n$ .

This is an alg. hom by univ. property of  $V^{\otimes k} \otimes V^{\otimes l}$

$\mathcal{T}(V) =$  ring of non-commutative polynomials in  $B$ .  $V^{\otimes(k+l)}$

If  $\mathfrak{g}$  Lie alg.,  $\pi: \mathfrak{g} \rightarrow \text{End}_F(V)$  set extension  $\tilde{\pi}: \mathcal{T}(\mathfrak{g}) \rightarrow \text{End}_F(V)$ ; if  $X, Y \in \mathfrak{g}$  then  $\tilde{\pi}(XY - YX) = \tilde{\pi}(X)\tilde{\pi}(Y) - \tilde{\pi}(Y)\tilde{\pi}(X)$

$\uparrow$  pds in  $\mathcal{T}(\mathfrak{g})$   $\tilde{\pi}$  is a alg hom

$$\begin{aligned} \tilde{\pi} \text{ attends } \pi &\rightarrow = [\tilde{\pi}(X), \tilde{\pi}(Y)]_{\text{End}_F(V)} \\ \pi \text{ is a Lie alg rep'n} &\rightarrow = [\pi(X), \pi(Y)]_{\text{End}_F(V)} \\ &\rightarrow = \pi([X, Y]) \\ &= \tilde{\pi}(\pi([X, Y])) \end{aligned}$$

Def:  $J \subset \tau(\mathfrak{g})$  be the two-sided ideal generated by  $\{XY - YX - [X, Y]\}_{X, Y \in \mathfrak{g}}$

The **universal enveloping algebra** of  $\mathfrak{g}$  is the algebra  $U(\mathfrak{g}) = \tau(\mathfrak{g})/J$ .

Write  $\iota: \mathfrak{g} \rightarrow U(\mathfrak{g})$  for the composition of inclusion  $\mathfrak{g} \rightarrow \tau(\mathfrak{g})$ , quotient map

Lemma:  $U(\mathfrak{g})$  is central (hence  $\neq 0$ ),

$$\iota([X, Y]) = \iota(X)\iota(Y) - \iota(Y)\iota(X)$$

Pf:  $J$  is contained in the maximal ideal  $\mathfrak{m}$  of  $U(\mathfrak{g})$ .  
 second claim true by construction.  $\Rightarrow$

Prop: For every assoc  $F$ -alg  $A$ , any lie alg. hom  $f: \mathfrak{g} \rightarrow A$  extends uniquely to an  $F$ -alg hom  $\tilde{f}: U(\mathfrak{g}) \rightarrow A$

Pf:  $f$  extends uniquely to  $\tau(\mathfrak{g})$ , extension must factor through  $J$ .

Lemma: Let  $\pi: \mathfrak{g} \rightarrow \text{End}_{\mathbb{F}}(V)$  be a lie alg. hom,  
 $v \in V$ . Then  $U(\mathfrak{g})v$  is the subrep'n gen. by  $v$   
Pf: If  $U(\mathfrak{g})v$  is that subrep'n then  $\pi|_{\mathfrak{g}}$  is a  
 lie alg. hom  $\mathfrak{g} \rightarrow \text{End}_{\mathbb{F}}(U)$  so  $\pi$  acts on  $U$ ,  
 $U(\mathfrak{g}) \cdot v \subset U$ .

Also,  $U(\mathfrak{g})$  is left- $\mathfrak{g}$ -invt  
 so  $U(\mathfrak{g})v$  is a subrep'n

Thm: (Poincaré-Birkhoff-Witt) Suppose  $\text{char}(\mathbb{F}) = \infty$   
 let  $\{x_i\}_{i \in \mathbb{N}}$  be an ordered basis

Then  $\{ \lambda_{\sigma} \mid \sigma: \mathbb{N} \rightarrow \mathbb{Z} \text{ nondecreasing} \}$   $U(\mathfrak{g})$  is a basis

Example:  $\mathfrak{g} = \mathfrak{sl}_2 = \text{span} \{f, h, e\}$

then  $\{ f^i h^j e^k \}_{i, j, k \geq 0}$  is a basis of  $U(\mathfrak{g})$

Pf: (spanning)  $T(U)$  has spanning set consisting  
 of all words in  $f, h, e$ .

NTS: each such word in  $U(\mathfrak{g})$  is in span of  
 ordered words

Say word is  $t \cdot Y \cdot X \cdot s$ ,  $t, s$  words,  $Y > X$

in order. then in  $U(\mathfrak{g})$   $tYXs = t(XY)s + t[YZ]s$   
 $t[YZ]s$  is a combination of shorter words  
 by induction is spanned by claimed basis

After finitely many swaps can sort any words.

Pf: (indep) <sup>to be</sup> added to the notes

Cor: Say  $\mathfrak{g} = \bigoplus_{i=1}^r \mathfrak{g}_i$  where  $\mathfrak{g}_i$  are subalgebras  
 then

$$U(\mathfrak{g}) = U(\mathfrak{g}_1) \cdot U(\mathfrak{g}_2) \cdot \dots \cdot U(\mathfrak{g}_r)$$

Back to  $\mathfrak{g} \cong \mathfrak{lie} \ G$ ,  $G$  cpt ctd.

Def: let  $\mathfrak{n} \subset \mathfrak{g}_{\mathbb{C}}$  be the subalgebra generated by  
 $\{\mathfrak{g}_{\alpha}\}_{\alpha \in \Delta}$ .

Lemma:  $\mathfrak{n} = \bigoplus_{\beta \in P} \mathfrak{g}_{\beta}$  for some subset  $\Delta \subset P \subset \Phi^+$ ;

for each  $\alpha \in \Delta$ :  $\text{ad}_{\mathfrak{g}_{-\alpha}}(\mathfrak{n}) \subset \mathbb{C} \cdot \mathfrak{g}_{-\alpha} \oplus \mathfrak{n}$ .

Pf: First claim holds since  $[\mathfrak{g}_{\beta}, \mathfrak{g}_{\gamma}]$  if nonzero  
 is all of  $\mathfrak{g}_{\beta+\gamma}$ .

For second claim if  $\beta \in \mathcal{P}$ ,  $\beta = \sum_{\alpha} n_{\alpha} \cdot \alpha$  write  $|\beta|_z = \sum_{\alpha} n_{\alpha}$ . Consider

$$\text{ad}_{X_{-\alpha}} \cdot X_{\beta} = [X_{-\alpha}, X_{\beta}]$$

for  $\beta \in \mathcal{P}$ .

(1) if  $\beta = \alpha$ ,  $[X_{-\alpha}, X_{\alpha}] \propto X_{-\alpha}$ .

(2) if  $\beta \in \Delta \setminus \langle \alpha \rangle$ ,  $[X_{-\alpha}, X_{\beta}] = 0$  since  $\beta - \alpha$  is not a root.

If  $\beta \in \mathcal{P}$ ,  $|\beta| \geq 2$  then  $X_{\beta} = [X_{\delta}, X_{\gamma}]$  where  $\delta \in \Delta$ ,  $\gamma \in \mathcal{P}$ ,  $|\gamma| = |\beta| - 1 < |\beta|$ .

$$\begin{aligned} \text{So } \text{ad } X_{-\alpha} [X_{\delta}, X_{\gamma}] &= [\underbrace{\text{ad}_{X_{-\alpha}} \cdot X_{\delta}}_{\in \mathfrak{g}_{\gamma} + [X_{\delta}, \mathbb{C}X_{\alpha} \oplus \mathfrak{n}]}, X_{\gamma}] + [X_{\delta}, \underbrace{\text{ad}_{X_{-\alpha}} X_{\gamma}}_{\in \mathfrak{g}_{\delta} \oplus \mathfrak{n}}] \\ &\in \mathfrak{g}_{\gamma} + [X_{\delta}, \mathbb{C}X_{\alpha} \oplus \mathfrak{n}] \in \mathfrak{g}_{\gamma} + \mathfrak{g}_{\delta} \oplus \mathfrak{n} \in \mathfrak{n}. \end{aligned}$$

Prop:  $\mathfrak{n} = \bigoplus_{\beta \in \mathcal{P}} \mathfrak{g}_{\beta}$  ( $\mathcal{P} = \mathcal{P}^+$ )

Pf: Let  $\bar{\mathfrak{n}}$  be the complex conjugate,

$$\bar{\mathfrak{n}} = \bigoplus_{\beta \in \mathcal{P}} \mathfrak{g}_{-\beta}$$

Claim:  $\bar{\mathfrak{n}} \oplus \mathfrak{t}_{\mathbb{C}} \oplus \mathfrak{n}$  is a subalgebra

Pf: It is  $\text{ad } X_{-\alpha}$ -inv't. for each simple root  $\alpha$

By symmetry also  $\text{ad}_{X_\alpha}$  inv't, also  $\text{ad}_H$ -inv't,  $H \in \mathfrak{h}$

But  $\{t_c\} \cup \{X_{-\alpha}\}_{\alpha \in \Delta} \cup \{X_\alpha\}_{\alpha \in \Delta}$  generate this set.

This Lie algebra is defined over  $\mathbb{R}$ , say it's  $\mathfrak{h}_\mathbb{C}$  for  $\mathfrak{h} \subset \mathfrak{g}$ . It has inv't inner prod, so adjoint rep is cpt; inverse image  $H \subset G$  is closed.

$\Delta$  still system of simple roots of  $H \Rightarrow$  same Weyl grp ( $W$  generated by  $\{s_\alpha\}_{\alpha \in \Delta}$ ).

$\Rightarrow$  roots of  $H$  are  $W$ -inv't. But  $\mathfrak{g} = W \cdot \Delta$   
 so  $\mathfrak{g} =$  roots of  $H$ ,  $H = \mathfrak{g}$ .

Conclusion:  $U(\mathfrak{g}_\mathbb{C}) = U(\mathfrak{n}^-) \cdot U(\mathfrak{t}_\mathbb{C}) \cdot U(\mathfrak{n})$

(cf.  $U(\mathfrak{sl}_2 \mathbb{C}) = U(\mathfrak{C}f) \cdot U(\mathfrak{C}h) \cdot U(\mathfrak{C}e)$ )

That  $n = \bigoplus_{\beta > 0} \mathfrak{g}_\beta$  means: start with  $\{X_\alpha\}_{\alpha \in \Delta}$   
 repeatedly take commutators set all  $\{X_\beta\}_{\beta > 0}$



so  $\{ \chi_\alpha, \chi_{-\alpha} \}_{\alpha \in \Delta} \cup t_e$  generate  $\mathfrak{g}_\mathbb{C}$